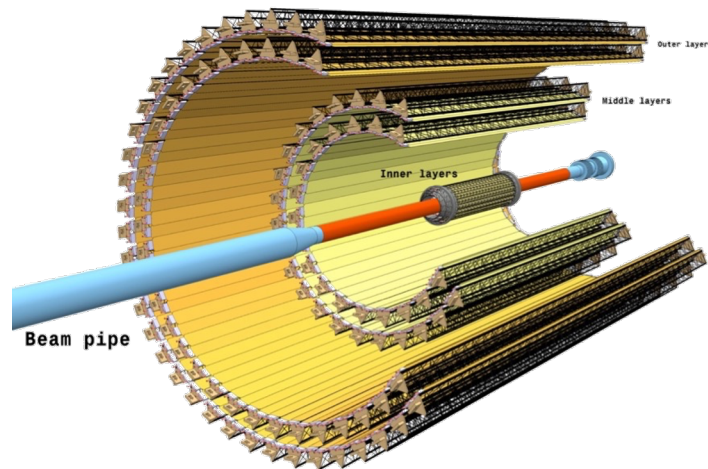
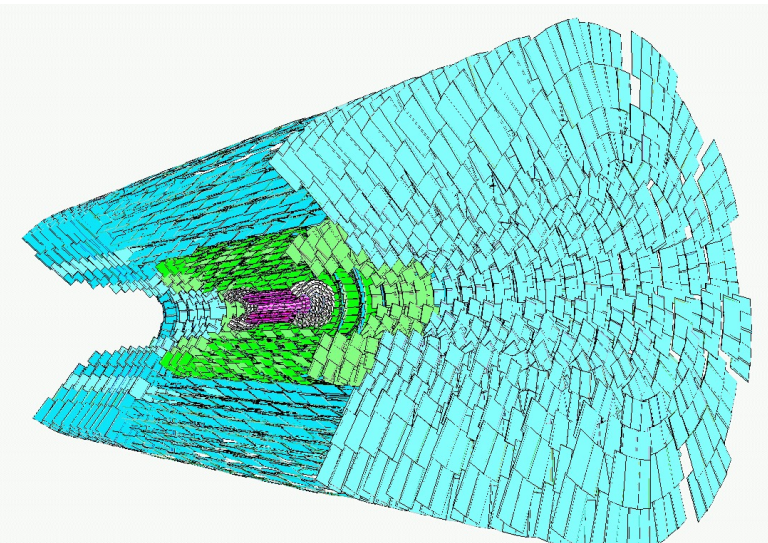


# Silicon Trackers for High Energy Physics

*EIRO Forum School 2021 – Duccio Abbaneo*



# Outline

- **What is a tracker?**
- **Silicon sensors**
- **The ingredients of a Silicon Tracker for HEP**
- **Examples of present and future solutions**

# A particle detector

Exploit interactions of particles with matter, in the right sequence!

Ionisation in a high-granularity detector

In magnetic field, to measure momentum

**Minimize amount of material**

Reconstruct trajectories of charged particles

The **TRACKER**

Absorb all hadrons and measure their energy

**HADRON CALORIMETER**

Large depth (1-2 m) of heavy material

Bremsstrahlung and photon conversions

Absorb electrons and photons with high Z materials

**Measure energy: ELECTROMAGNETIC CALORIMETER**

Hadronic showers start, but are not contained ( $\lambda_I \gg X_0$ )

External detectors for outgoing muons

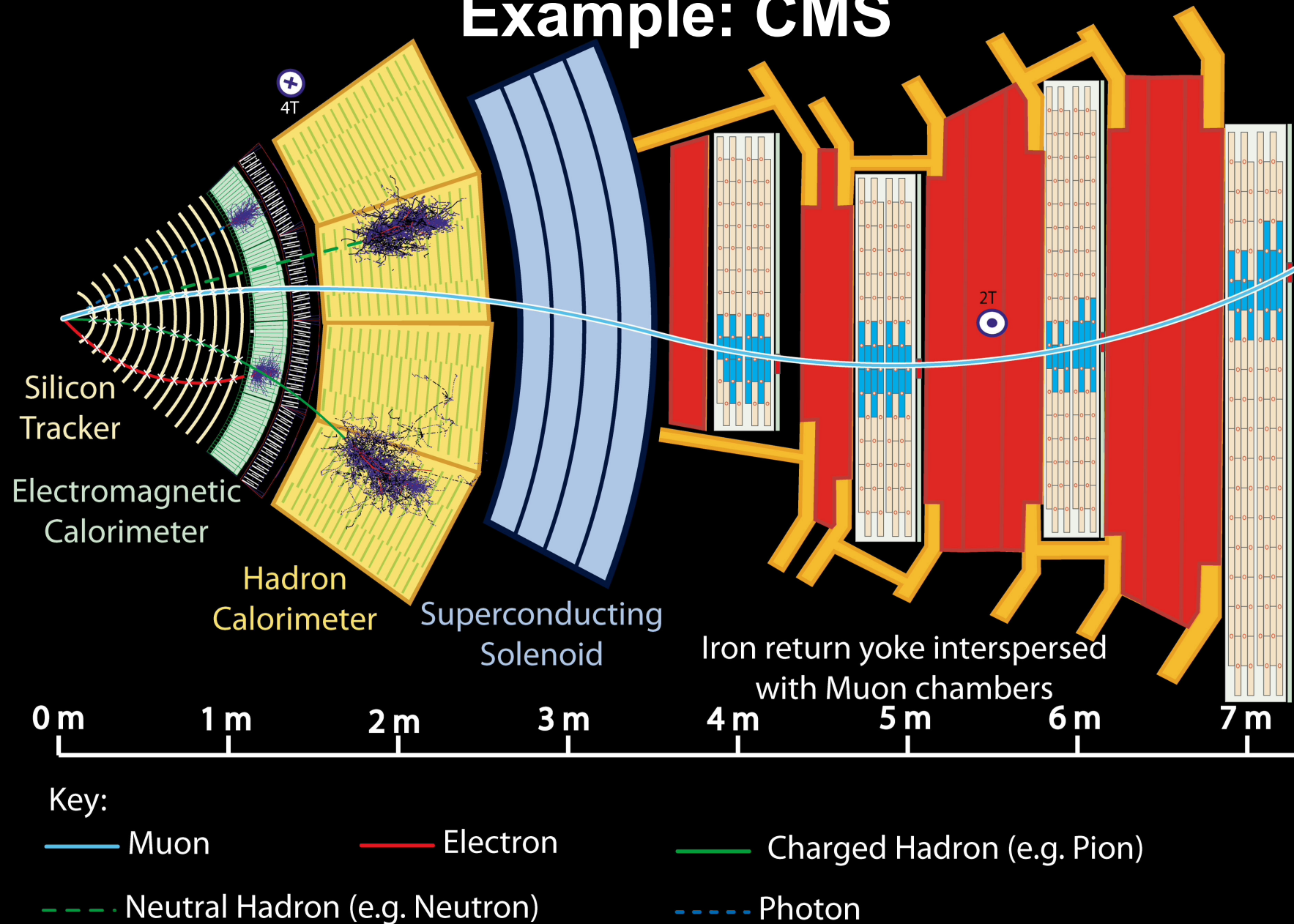
**MUON DETECTORS**

Often large-area gas detectors (ionisation)

Sometimes interleaved with iron to contain the magnetic field



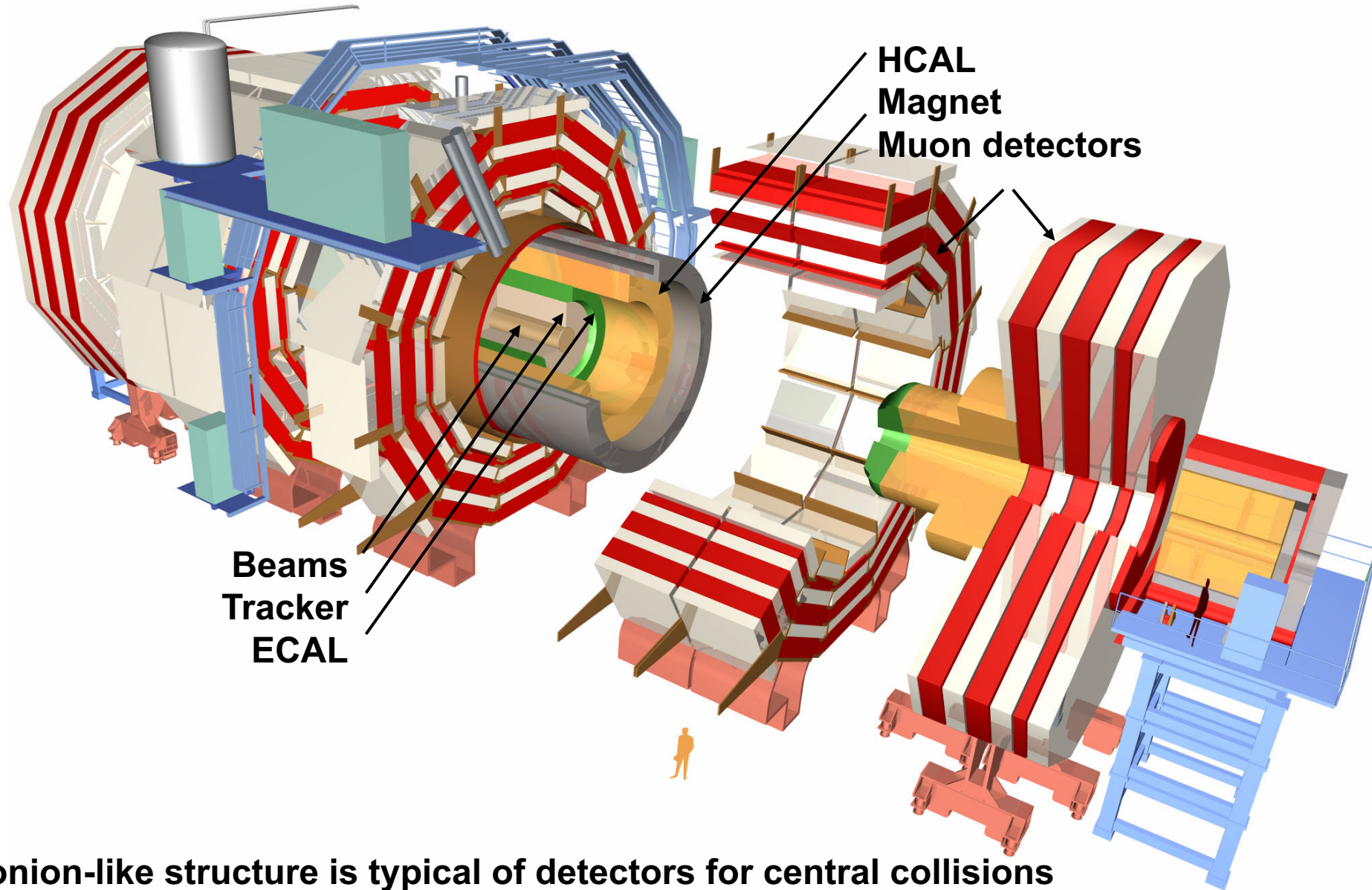
# Example: CMS



Dimensions are dictated by the energy of the particles that we want to measure, and the required precision



# CMS 3D model

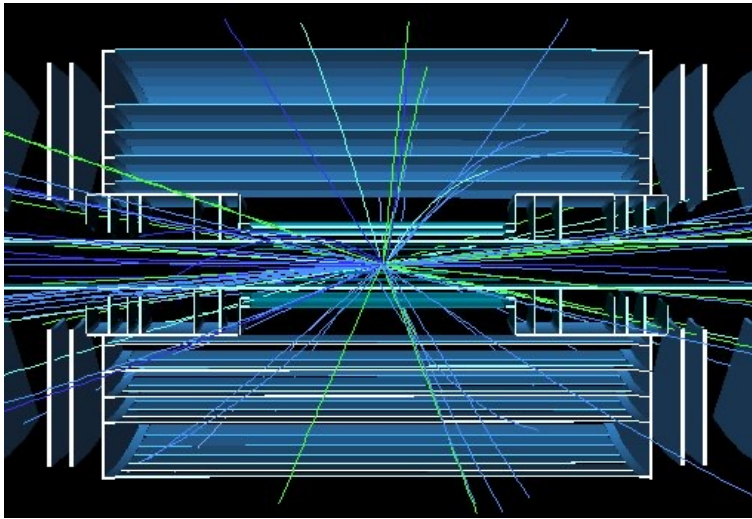


The onion-like structure is typical of detectors for central collisions

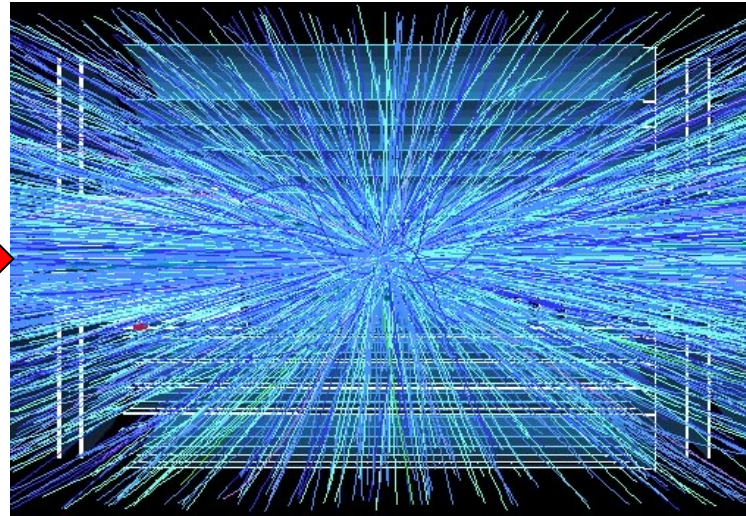
# What a Tracker does

## ➤ Find the tracks

- Extremely challenging in high-density environment
- Huge combinatorics of coordinates to handle
- Requires high granularity



HLC



High-Luminosity LHC

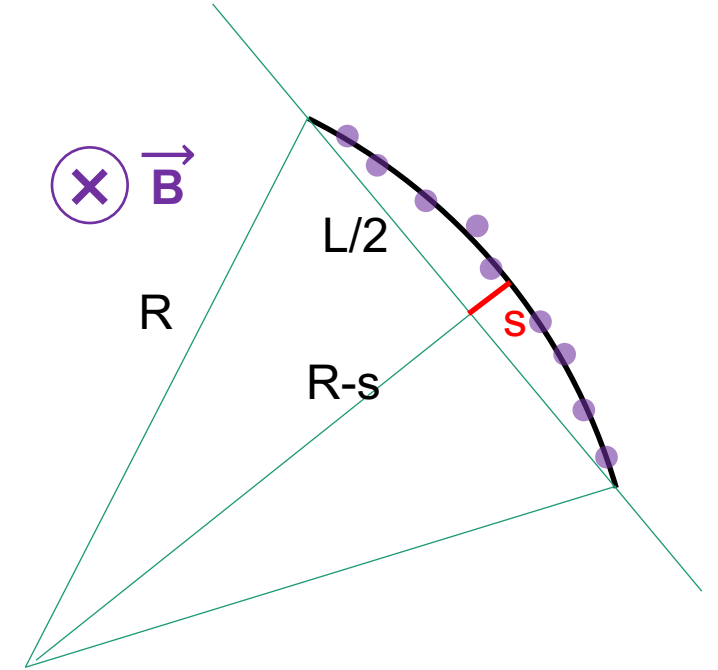
# What a Tracker does

## ➤ Find the tracks

- Extremely challenging in high-density environment
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- Requires high granularity

## ➤ Fit the trajectories to measure momentum

- Measure the **sagitta** of the trajectory in the plan orthogonal to the B field



$$p_T \text{ [GeV/c]} = 0.3 B \text{ [T]} R \text{ [m]}$$

$$R \approx L^2 / 8s$$

$$p_T = \kappa B L^2 / s \quad \kappa = 3/80$$

$$\Delta p_T = \kappa B L^2 \Delta s / s^2$$

$$\Delta p_T / p_T = p_T / (\kappa \text{ B } L^2) \Delta s$$

Magnetic field  
Size  
Resolution

# What a Tracker does

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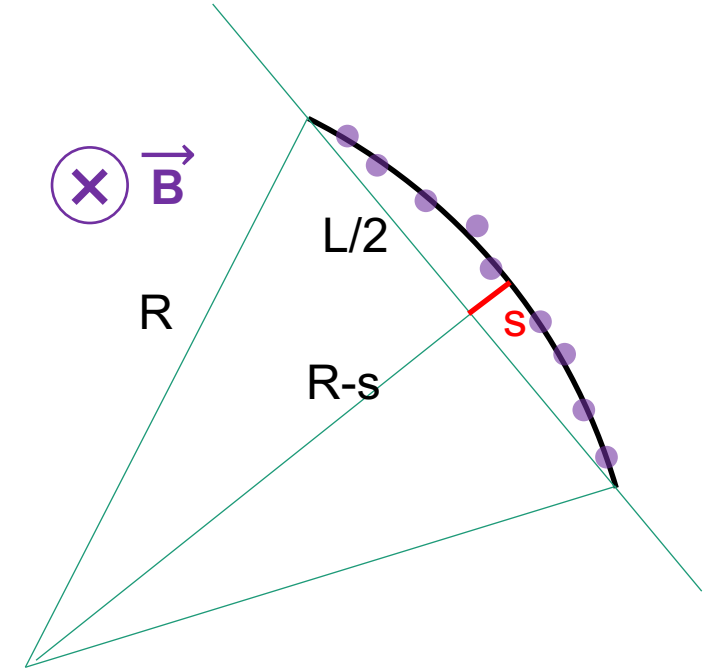
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Example:

$\Delta p_T / p_T = 2\%$  @  $p_T = 100 \text{ GeV}$

With a Tracker where  $B L^2 \approx 4 \text{ Tm}^2$  (CMS)

Need  $\Delta s = 30 \mu\text{m}$



$$p_T [\text{GeV}/c] = 0.3 B [\text{T}] R [\text{m}]$$

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# What a Tracker does

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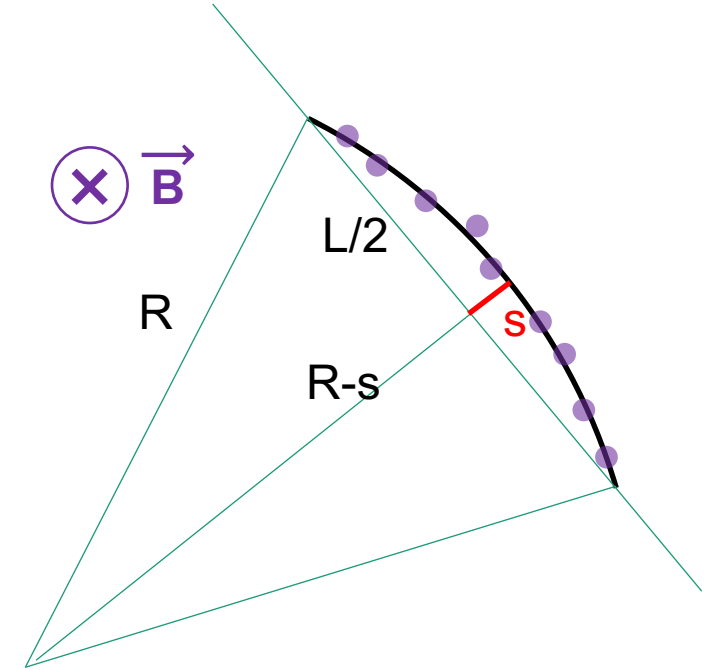
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- Typically the the other coordinate can be less precise – possible use of “strip sensors”

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# What a Tracker does

## ➤ Find the tracks

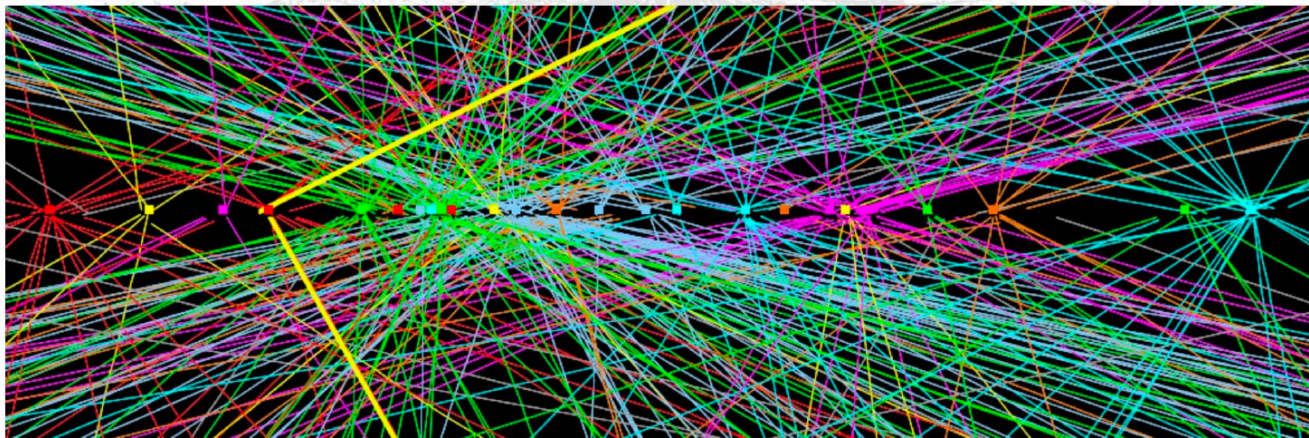
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## ➤ Fit the trajectories to measure momentum

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- Typically the the other coordinate can be less precise – possible use of “strip sensors”

## ➤ Reconstruct primary vertices and secondary vertices

- In case of multiple simultaneous collisions (“pile-up”) it is **vital** to disentangle the products of the different collisions



# What a Tracker does

## ➤ Find the tracks

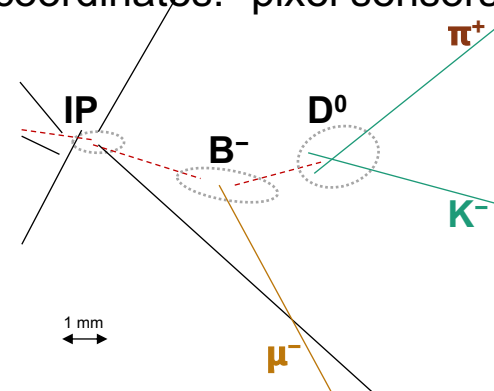
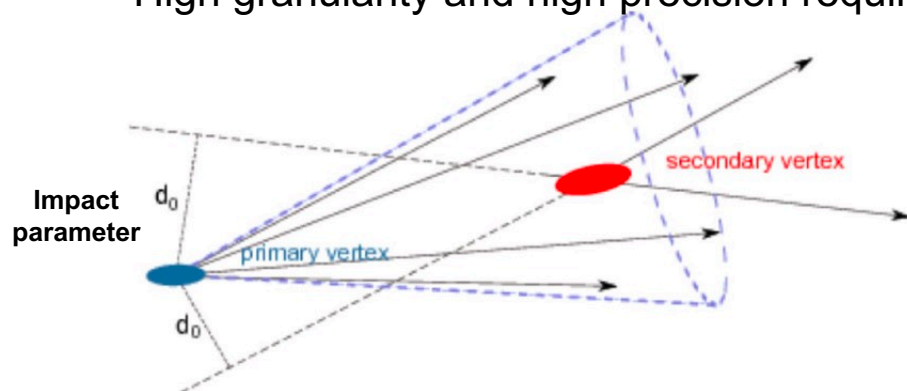
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- Typically the the other coordinate can be less precise – possible use of “strip sensors”

## ➤ Reconstruct primary vertices and secondary vertices

- In case of multiple simultaneous collisions (“pile-up”) it is **vital** to disentangle the products of the different collisions
- Secondary (and tertiary) vertices are signatures of particles containing b and c quarks – essential for many physics channels
- High granularity and high precision required in the first Tracker coordinates: “pixel sensors”

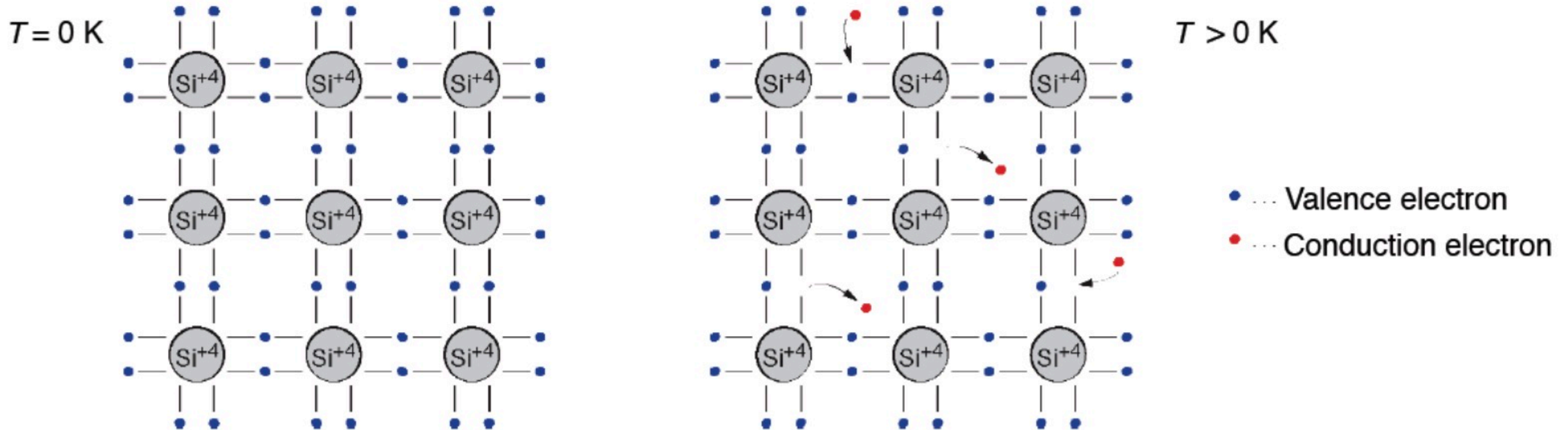


N.B. The first coordinate of the Tracker is typically a few cm away

# Silicon sensors

1 H																	2 He
3 Li	4 Be	5 B	6 C	7 N	8 O	9 F	10 Ne										
11 Na	12 Mg	13 Al	14 Si	15 P	16 S	17 Cl	18 Ar										
19 K	20 Ca	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr										
37 Rb	38 Sr	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe										
55 Cs	56 Ba	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn										
87 Fr	88 Ra	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og										

Silicon lattice:



Si atoms have four electrons in the outer shells

In the reticle those four electrons are shared with the four closest atoms, to form covalent bonds

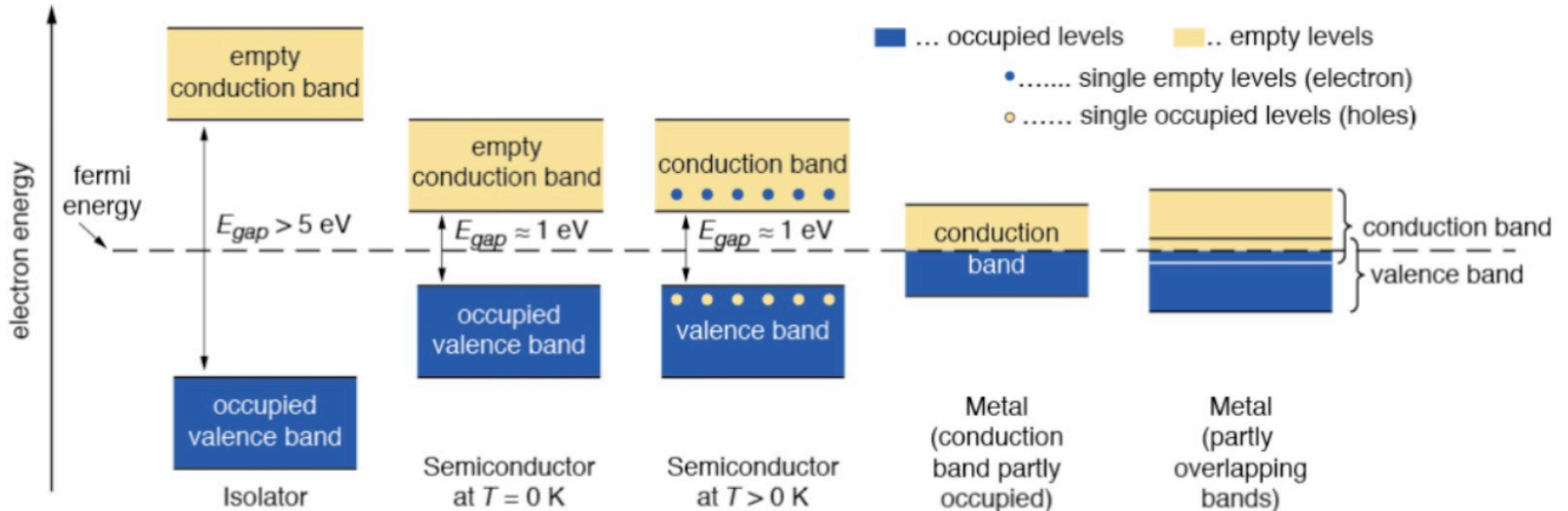
Thermal excitations can break valence bonds, creating “electron – hole” pairs → electrical conductivity



# Energy bands

1 H																	2 He
3 Li	4 Be	5 B	6 C	7 N	8 O	9 F	10 Ne										
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- In isolated atoms the **energy levels** of electrons are **discrete**
- In solid materials energy levels merge into **energy bands**
- In isolators and semiconductors the **conduction band** and the **valence band** are separated by a **band gap**



# Making a particle detector

About 24,000 e-h pairs released by a **M**inimum **I**onising **P**article in 0.3 mm silicon

## Mobility

$$v \text{ [cm/s]} = \mu \text{ [cm}^2\text{/(Vs)}] E \text{ [V/cm]}$$

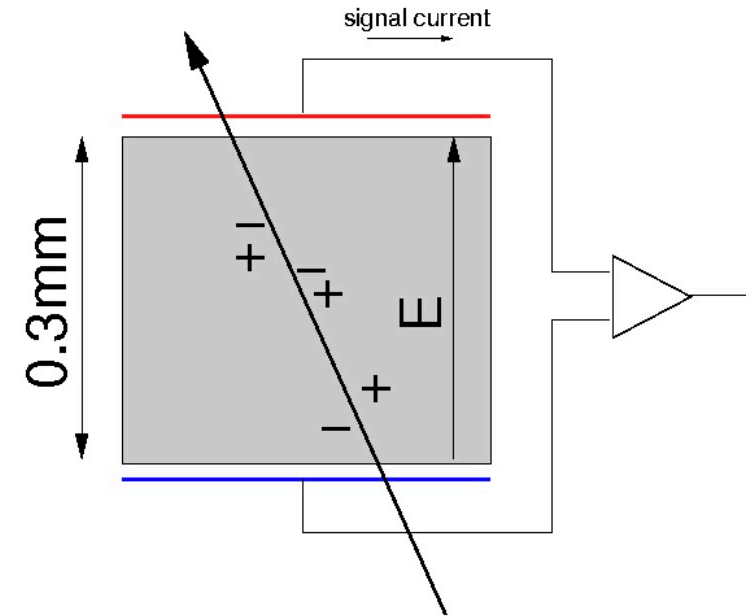
At 300 K:

$$\mu_e \approx 1450 \text{ V/cm}$$

$$\mu_h \approx 450 \text{ V/cm}$$

With 60 V,  $v_e \approx 30 \mu\text{m} / \text{ns}$

Charge collection in ~10 ns



**BUT:** Thermal charge carriers 3-4 orders of magnitude more numerous  
Signal from traversing particle not detectable!

# Doping

1 H							2 He
3 Li	4 Be	5 B	6 C	7 N	8 O	9 F	10 Ne
11 Na	12 Mg	13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
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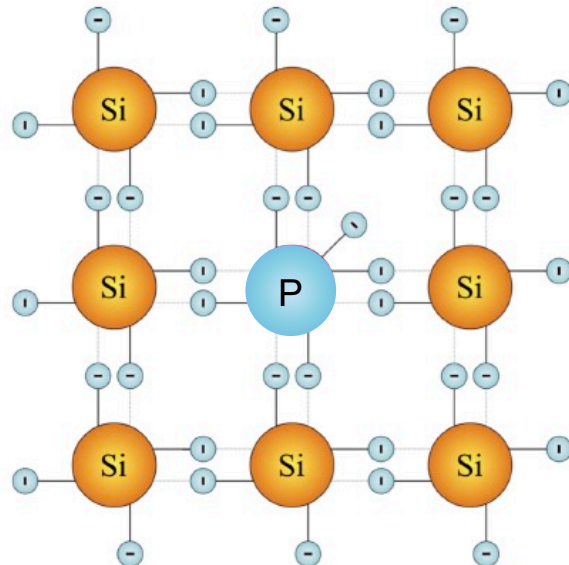


A small fraction of the atoms in the lattice are replaced with atoms from the neighbouring groups III or V

→ create energy levels within the bandgap

→ change conductivity

## n-type silicon

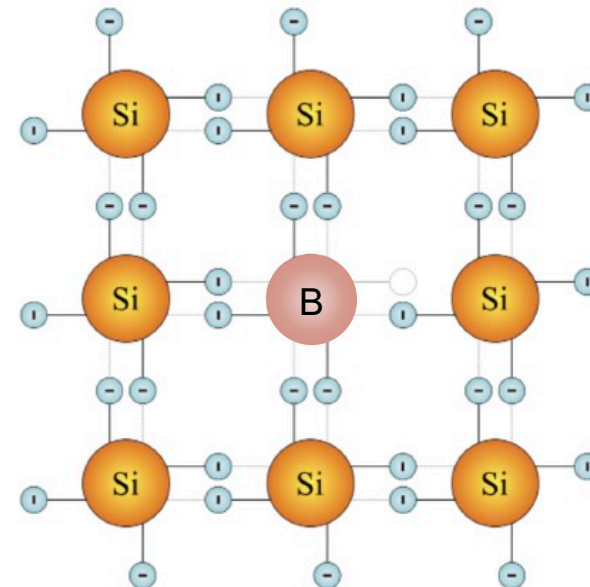


**Dopant:** element V atom (e.g. P)

**Donor:** 5<sup>th</sup> valence electron weakly bound

**Majority carriers** electrons

## p-type silicon



**Dopant:** element III atom (e.g. B)

**Donor:** one valence bond open  
(attracts electrons for neighbour atoms)

**Majority carriers** holes

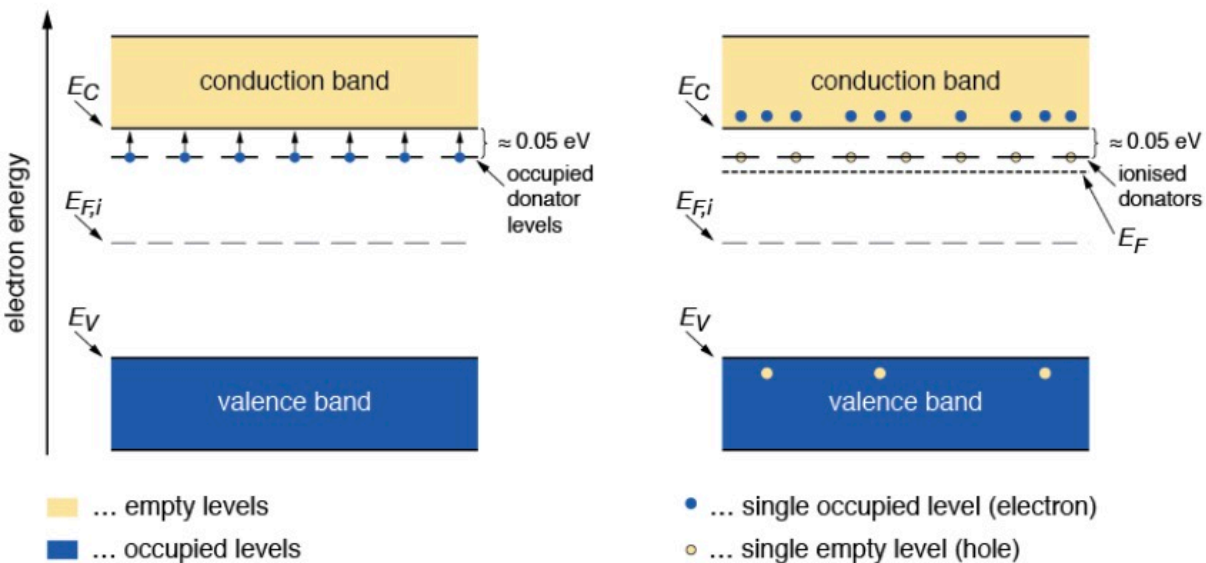
# Doping – energy levels

1 H																	2 He
3 Li	4 Be	5 B	6 C	7 N	8 O	9 F	10 Ne										
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87 Fr	88 Ra	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og										

## n-type silicon

**Energy level of donor just below the conduction band**

With thermal excitation electrons move to the conduction band

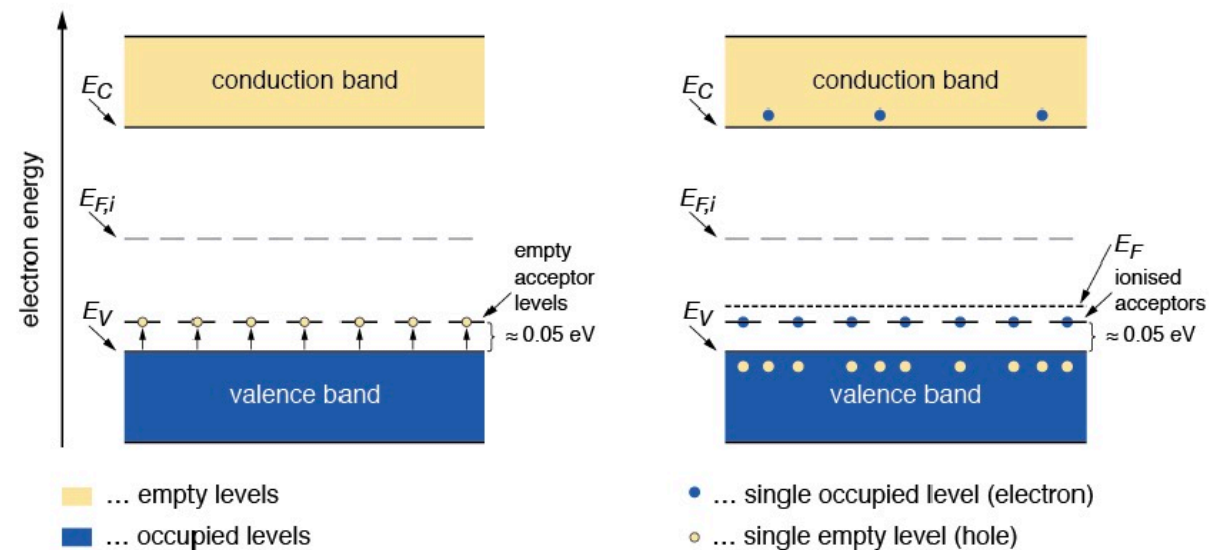


## p-type silicon

**Energy level of acceptor just above the valence band**

Electrons move from the valence band to the acceptor

Holes are left in the valence band



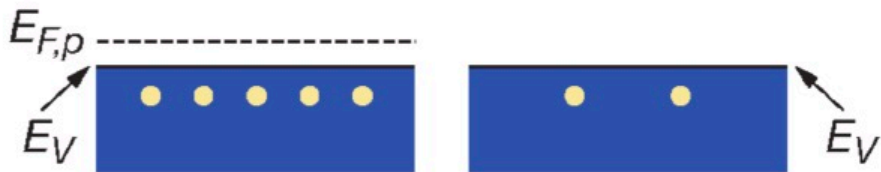
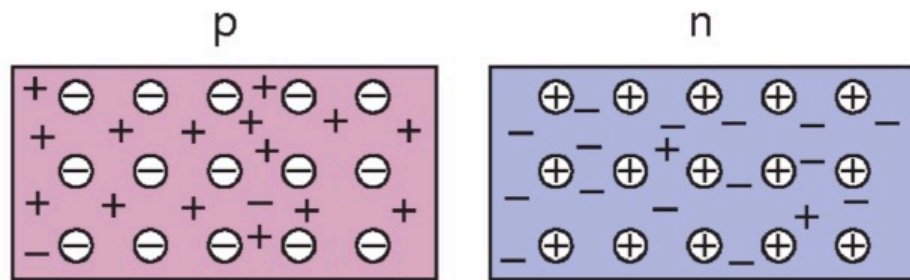


# The p-n junction

Majority carriers **diffuse** across the junction

Until the resulting **electric field** stops further diffusion

The region around the junction is **depleted of charge carriers**

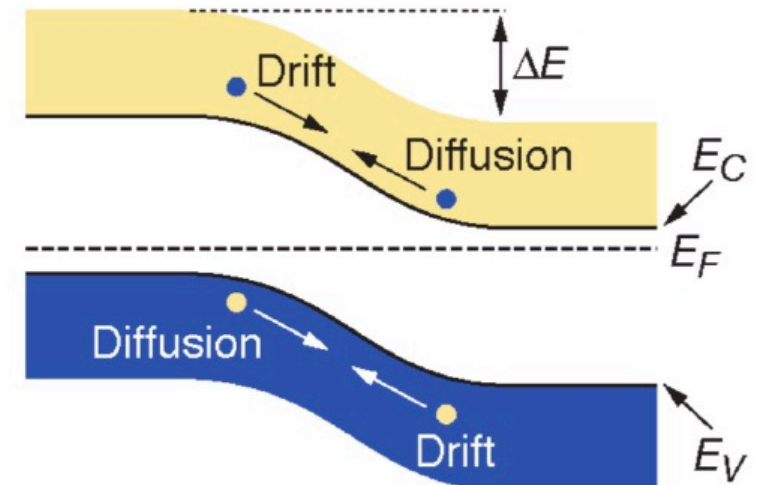
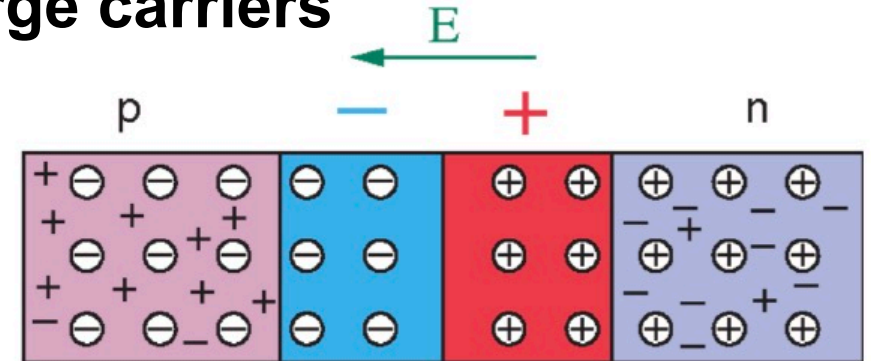


$\ominus$  ... acceptor

$+$  ... hole

$\oplus$  ... donor

$-$  ... conduction electron



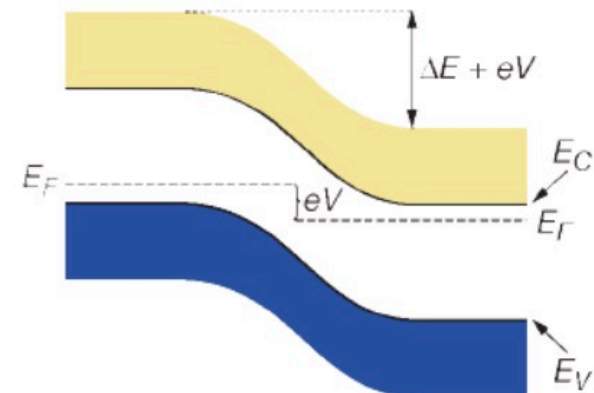
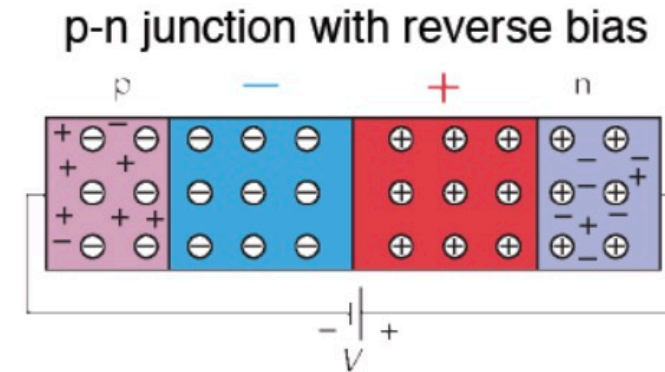
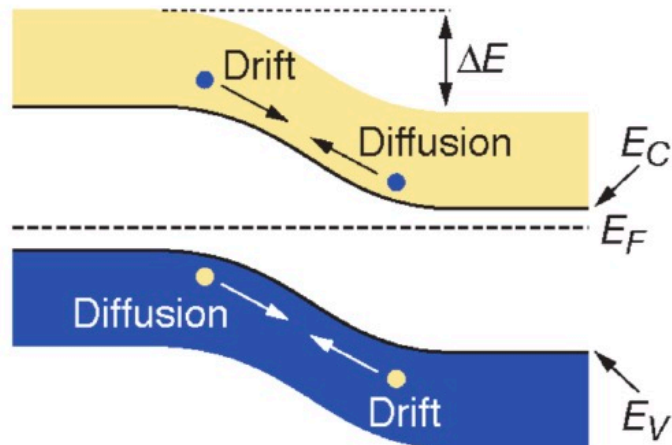
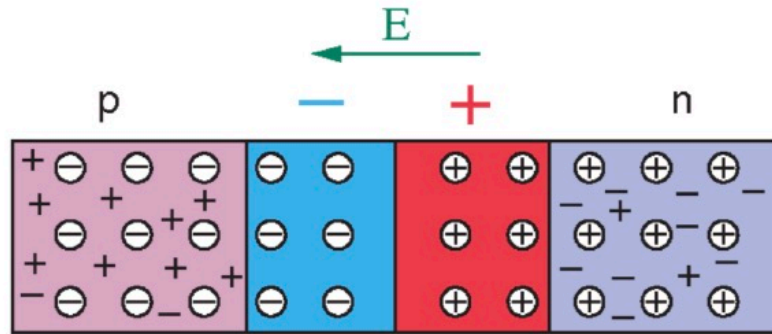
# The p-n junction with reverse bias

External voltage  $V$ , negative to p-type and positive to n-type

Electrons and holes are pulled out of the depletion zone

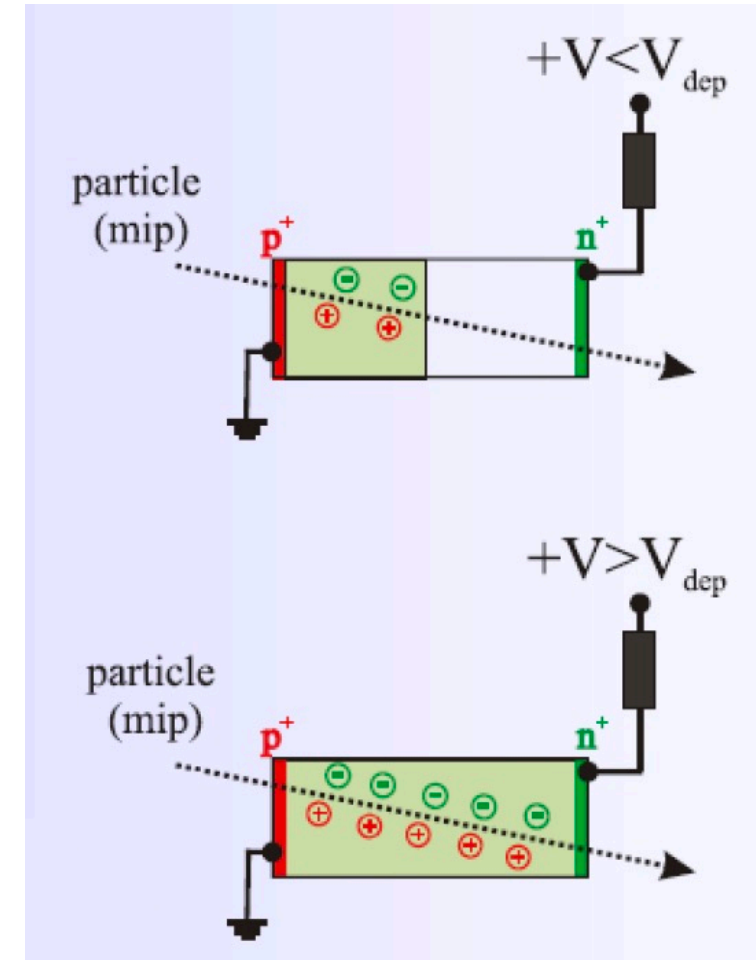
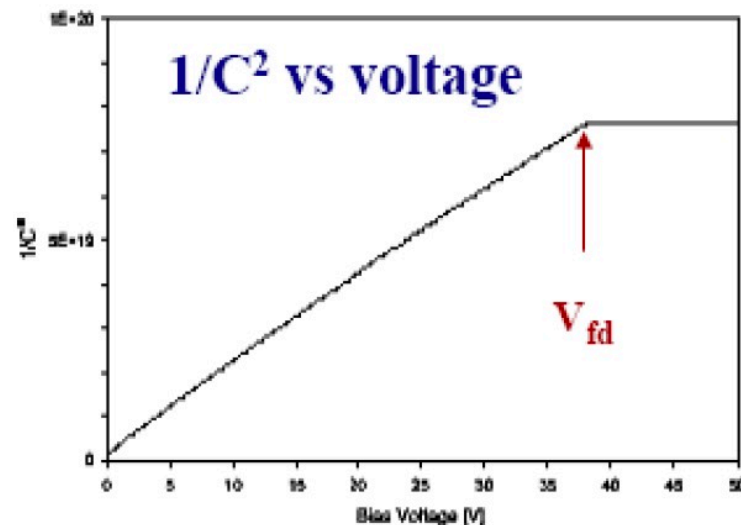
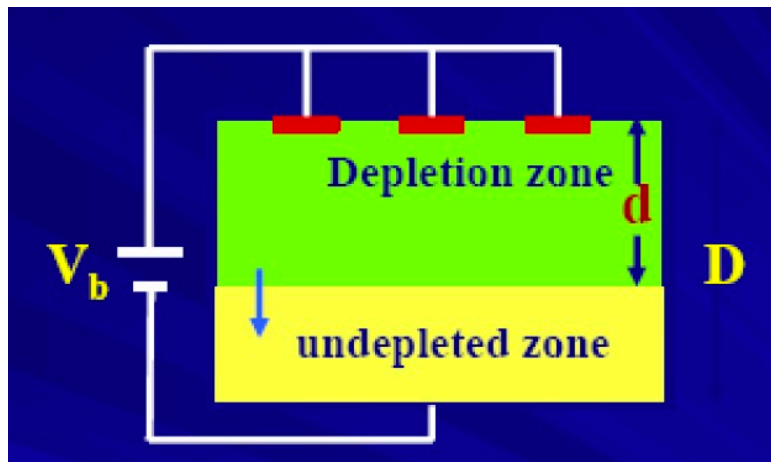
**The depletion zone is extended**

It can be used as a particle detector!

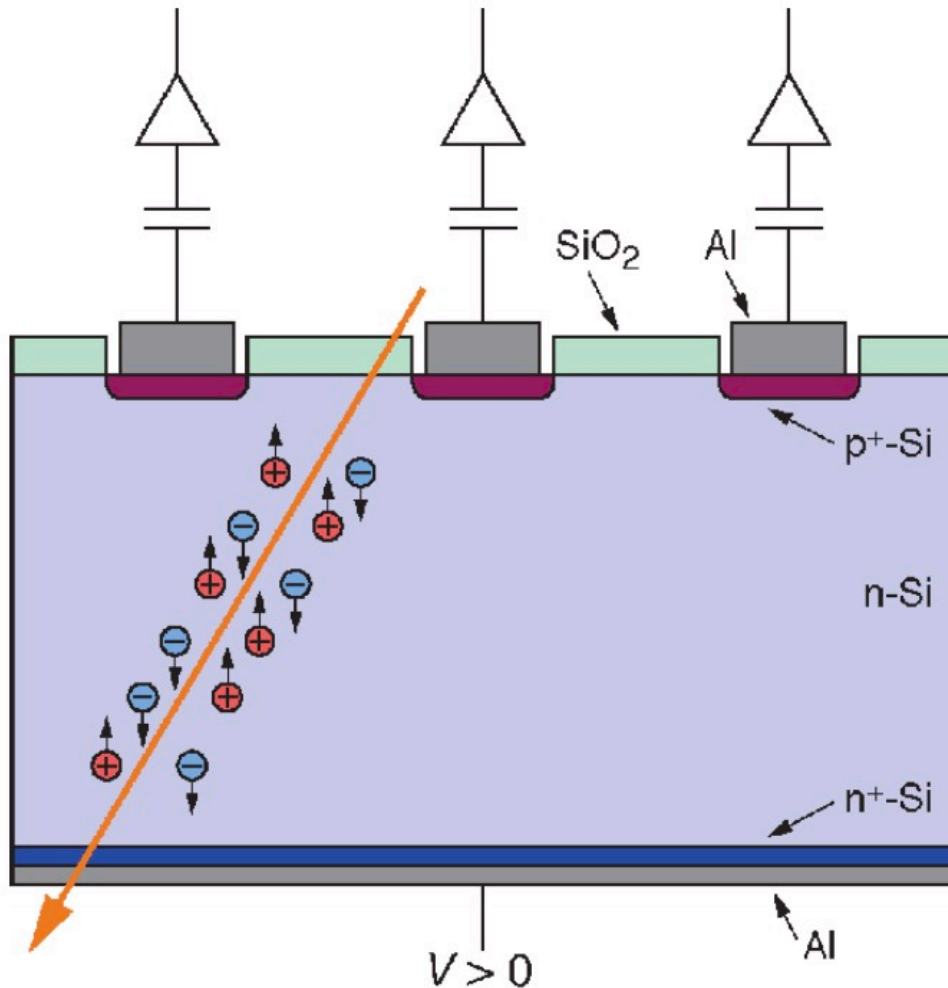


# Depletion zone

- Grows with applied reverse bias voltage
- Only charges generated inside the depleted volume are collected
  - In the undepleted volume there is no electric field – charges recombine
- Full depletion required for full charge collection
  - The depletion voltage can be determined by measuring the capacitance vs reverse bias voltage
  - The capacitance is the parallel plate capacity of the depletion zone

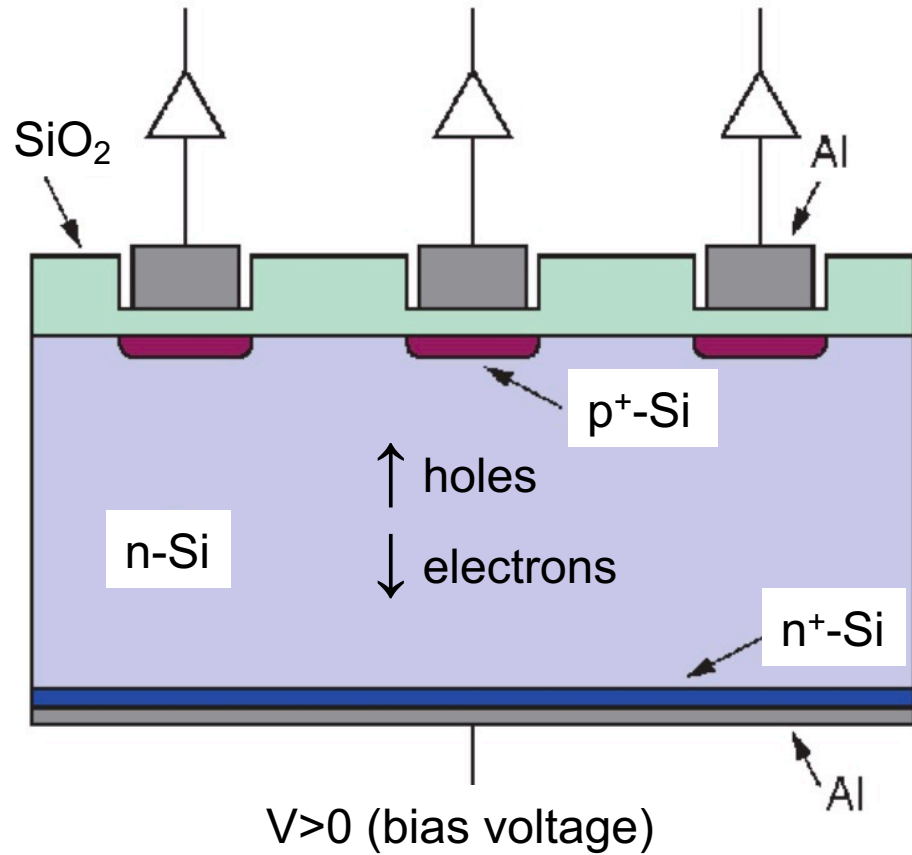


# A (simple) silicon sensor

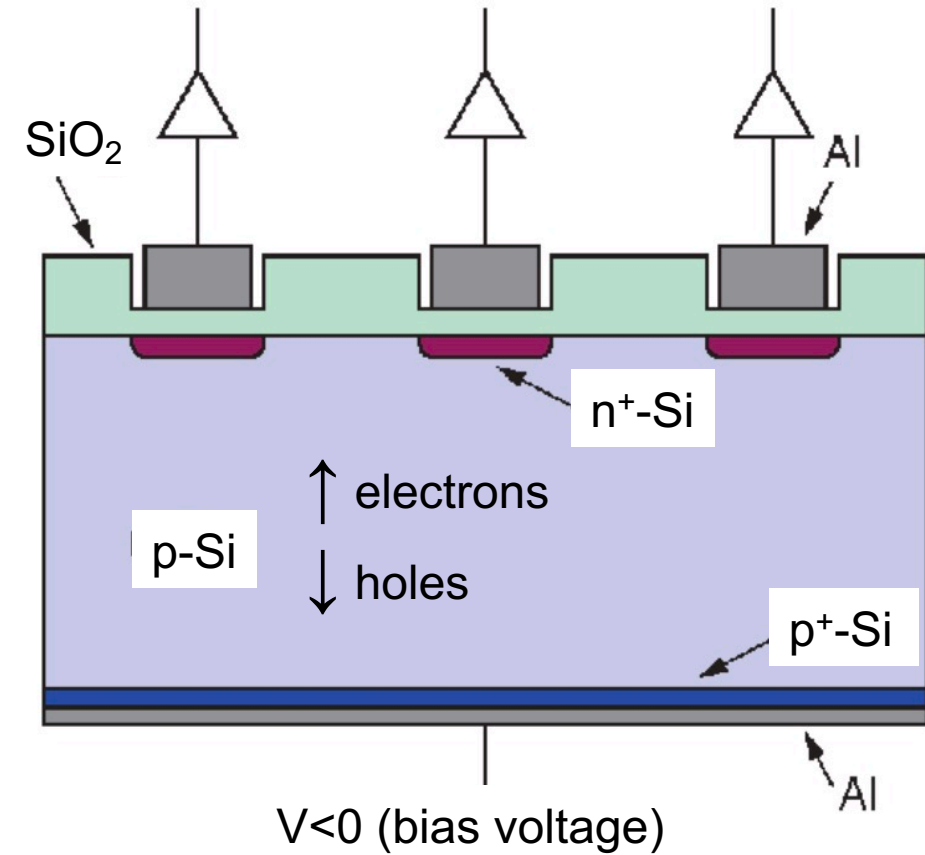


- Segmenting the implant  
→ one-dimensional position of the traversing particle
- Simplest version: **DC-coupled** strip detector
- **p-in-n** sensor:
  - Strips are Boron implants (p<sup>+</sup>)
  - Substrate is Phosphorous doped (~2-10 kΩcm)
- Thickness ~300μm
- $V_{\text{dep}} < 200\text{V}$
- Backside Phosphorous implant (n<sup>+</sup>) to establish ohmic contact and to prevent early breakdown
- Highest field close to the collecting electrodes where most of the signal is induced

# Other designs



**p-in-n, AC coupled**

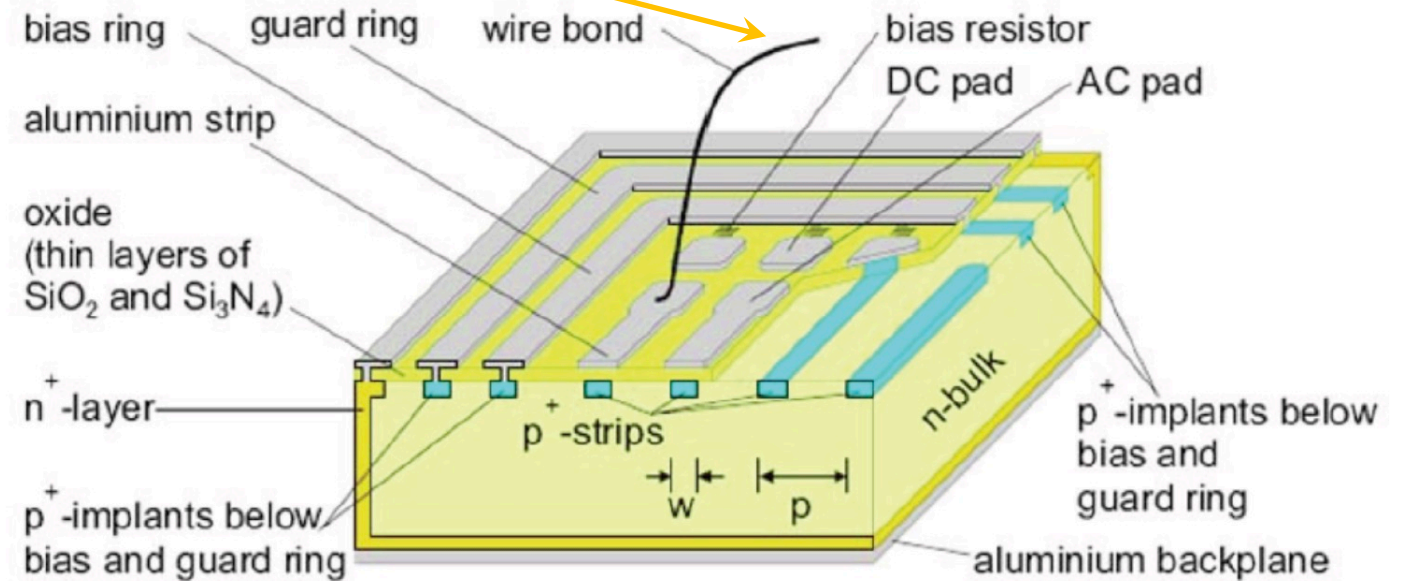
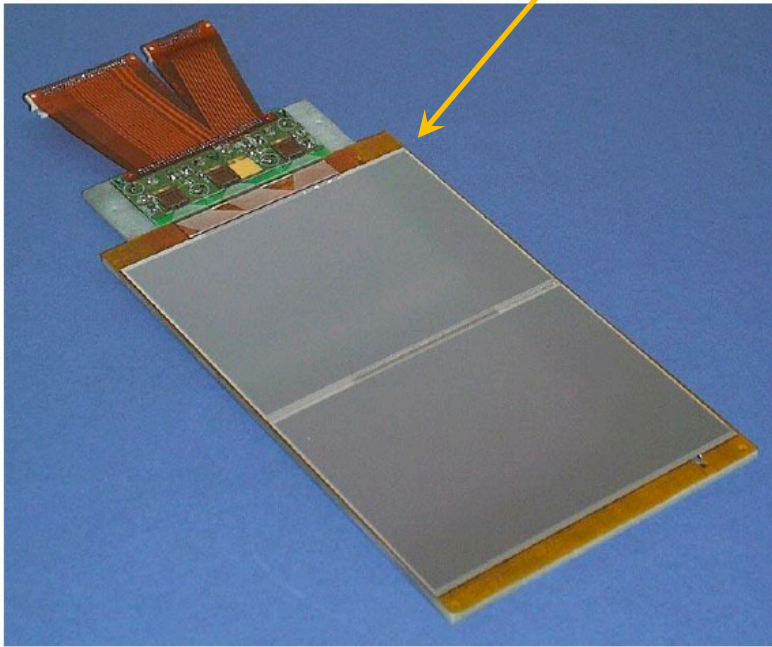
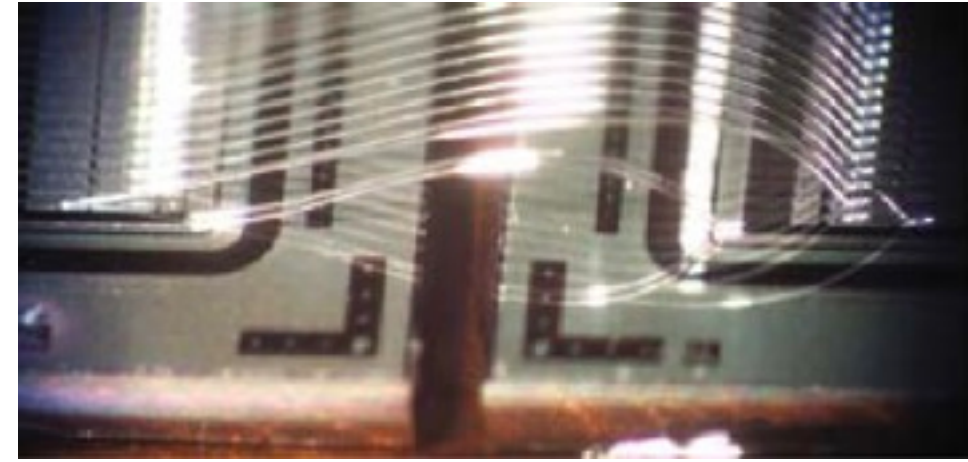


**n-in-p sensors**



# Micro-strip detectors

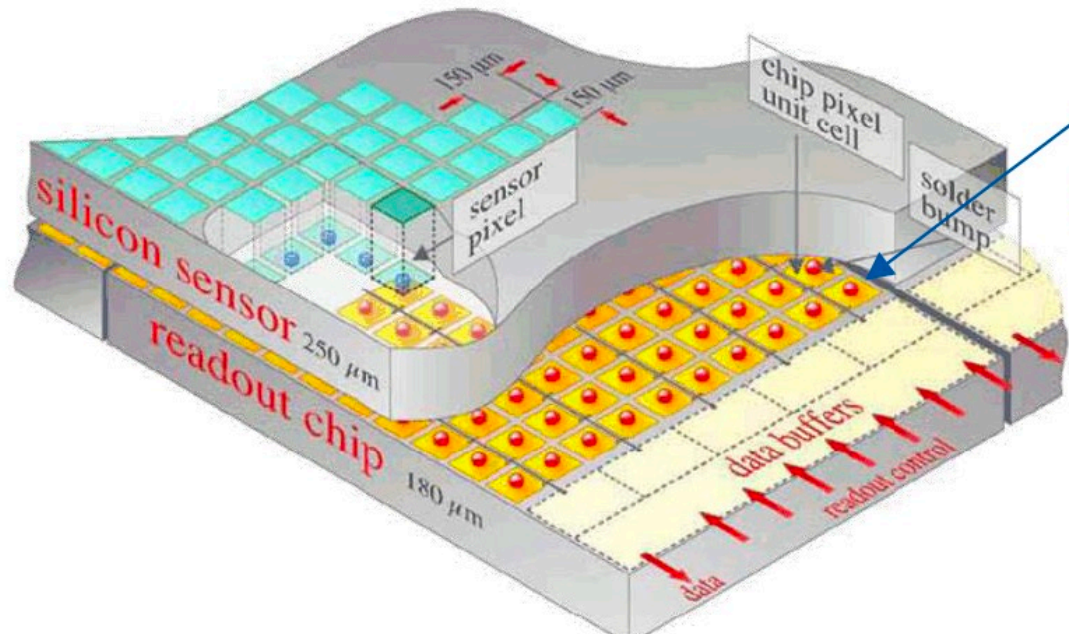
Micro wirebonds connect the strips to the readout chips



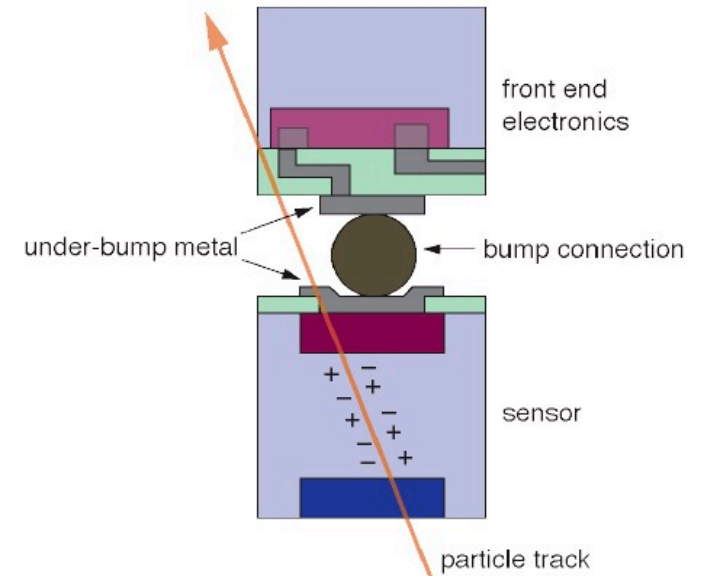
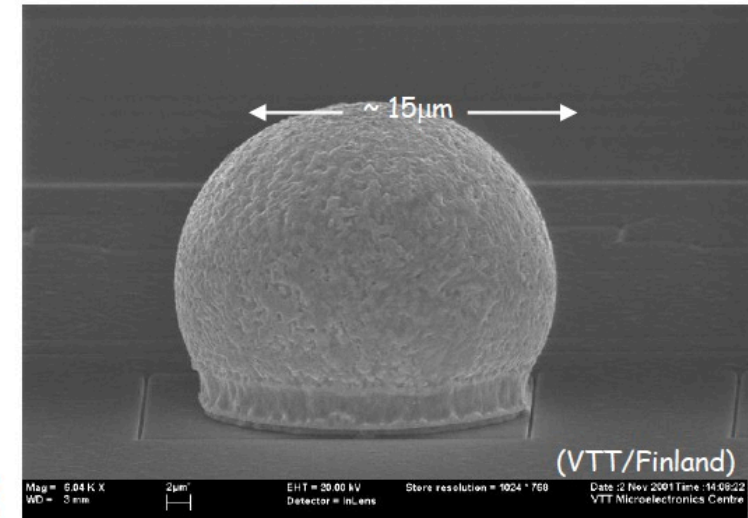
# Pixellated detectors

Every cell on the sensor is connected to a pixel in the readout chip

Connection by “bump bonding”



Flip-chip technique



# Radiation damage

*Particles traversing the silicon interact with the material and deposit energy*

Two types of interactions:

- **Interactions with the electrons of the material: Ionising Energy Losses**
  - Caused by photons and all charged particles
  - May deposit charges on the insulating layers
  - Fast recombination in the silicon bulk (no damage)
- **Hadronic interactions with the nuclei: Non-Ionising Energy Losses**
  - Displacement of atoms in the lattice: **bulk damage**
  - Caused by pions, protons, neutrons

**Electromagnetic damage is to first order not relevant**

It is relevant for the readout chips

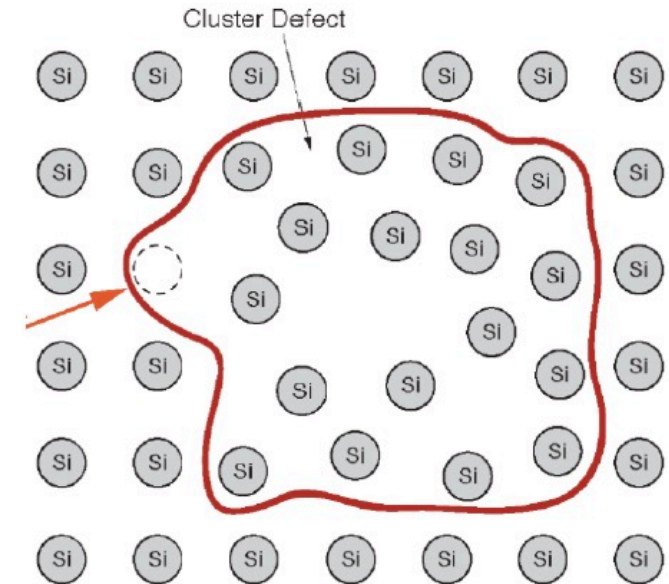
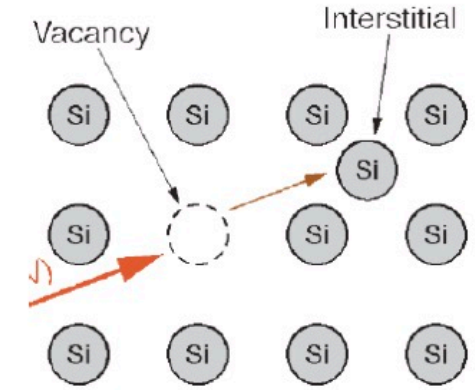
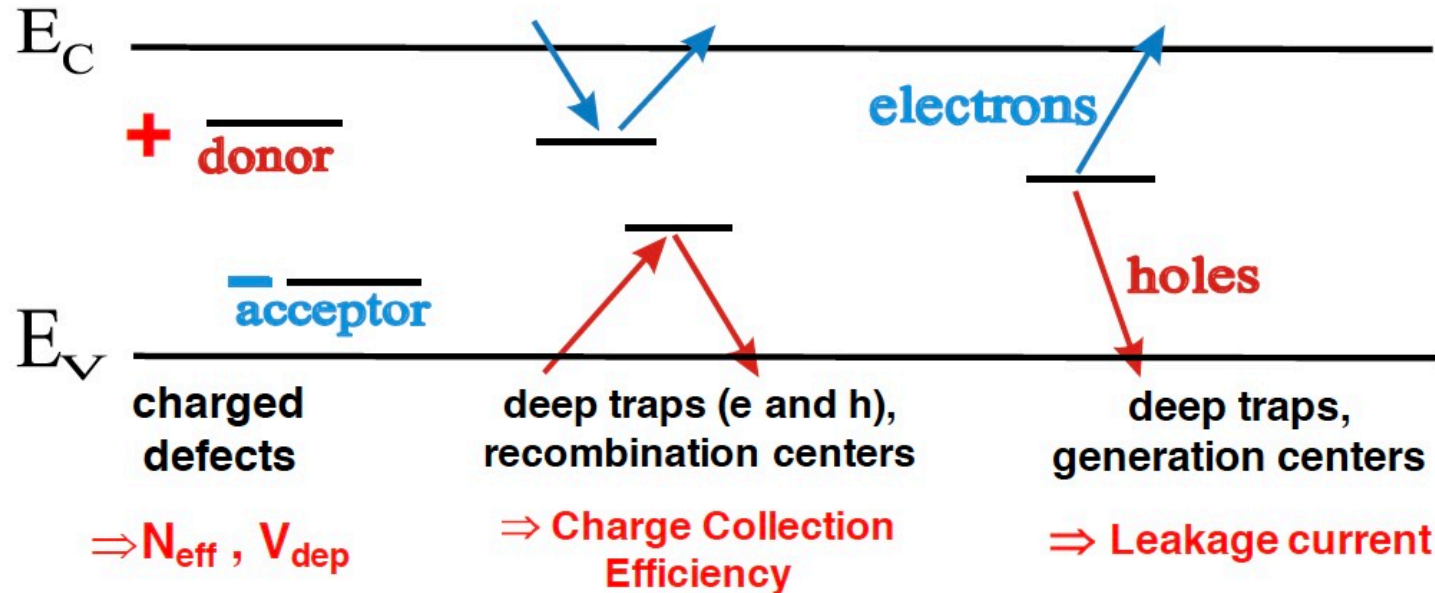
**Non-ionising energy losses are responsible for the degradation of the sensor properties**



# Radiation damage

Effects on sensor operation:

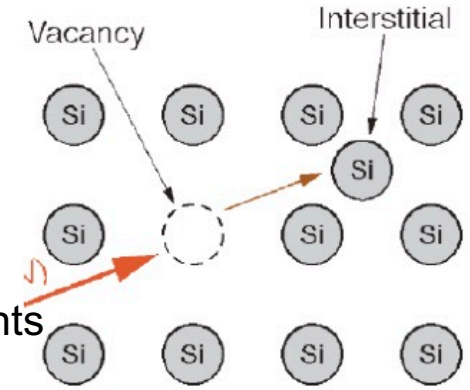
- **Loss of charge collection efficiency (charge trapping)**
- **Change in depletion voltage, distortion in internal E-field**
  - Eventually full depletion never really achieved
- **Leakage current**
  - Substantial power dissipated in the sensor



# Radiation damage

Defects evolve with time, time constants exponential with temperature

- E.g. interstitials can move in the lattice and recombine with vacancies
- Other defects propagate in the lattice causing further damage – with longer time constants

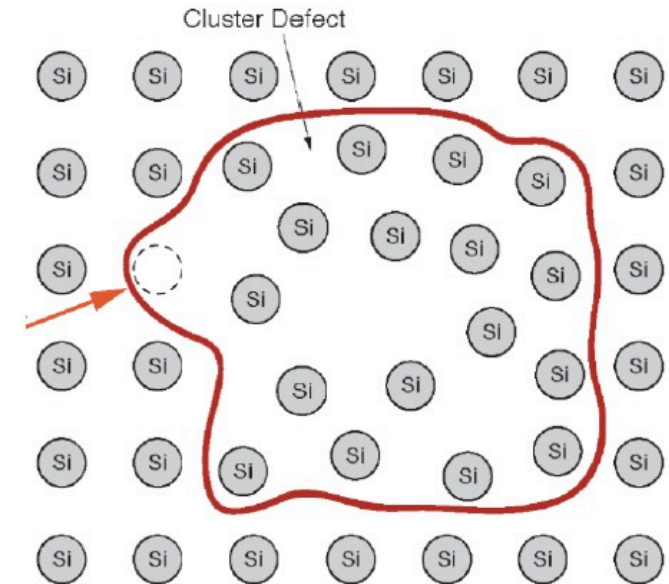
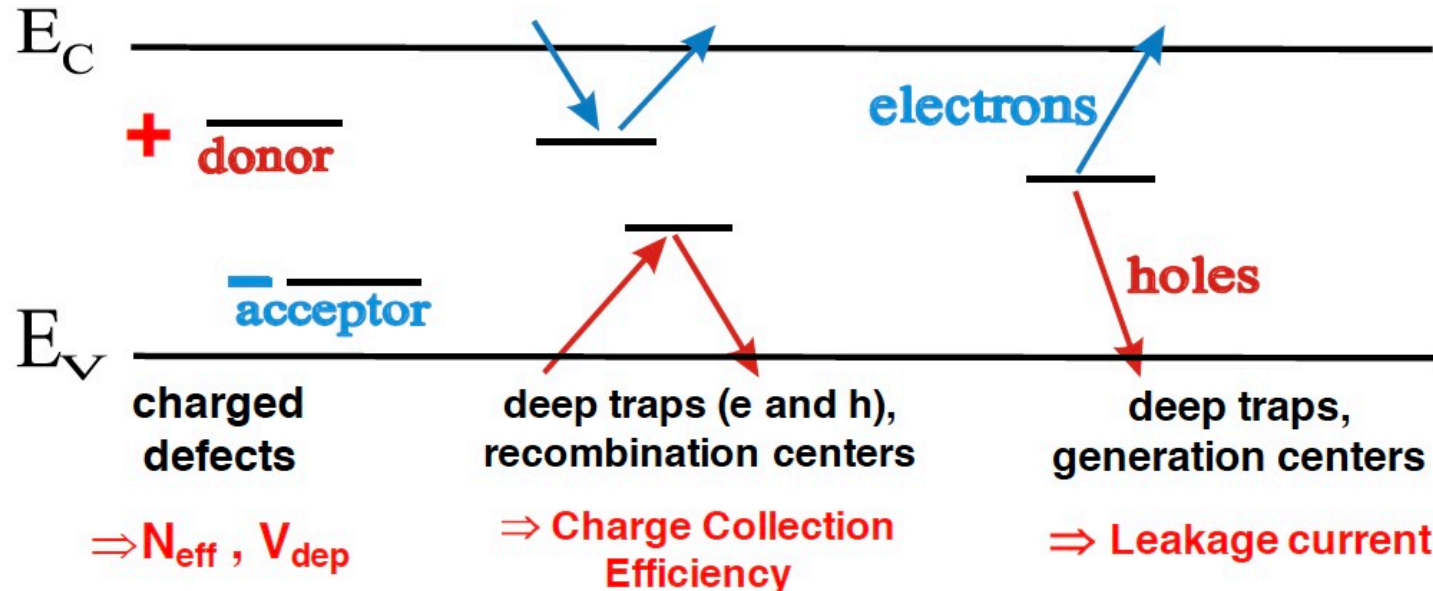


➤ Short term: **beneficial annealing**

- Reduce leakage current

➤ Long term: **reverse annealing**

- Further loss of charge collection efficiency





# Radiation damage mitigation

## (1) Avoid reverse annealing: keep sensors at cold temperature all the time (even when unused)

- At  $T < 0^\circ\text{C}$  reverse annealing is "frozen"

## (2) Exploit beneficial annealing: short periods at "warm" temperature

- E.g. 1-2 weeks / year at room T considered for ATLAS/CMS
- Notably it mitigates leakage current

## (3) Mitigate reduction of charge collection efficiency: operate at high $V_{\text{bias}}$

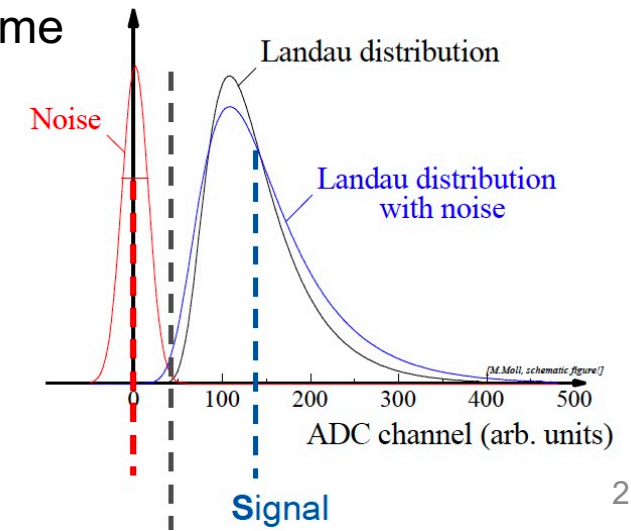
- High E-field in the sensor mitigates charge trapping
- Operate sensors substantially overdepleted
- N.B. High  $V_{\text{bias}}$  aggravates the effect of leakage current!

## (4) Mitigate reduction of charge collection efficiency: design with large margin in S/N

- E.g. in ATLAS/CMS start with  $S/N \sim 20$ , to maintain  $S/N > 10$  at the end of lifetime

## (5) Mitigate leakage current: operate the detector (very) cold

- Thermally generated e-h pairs: exponential with T

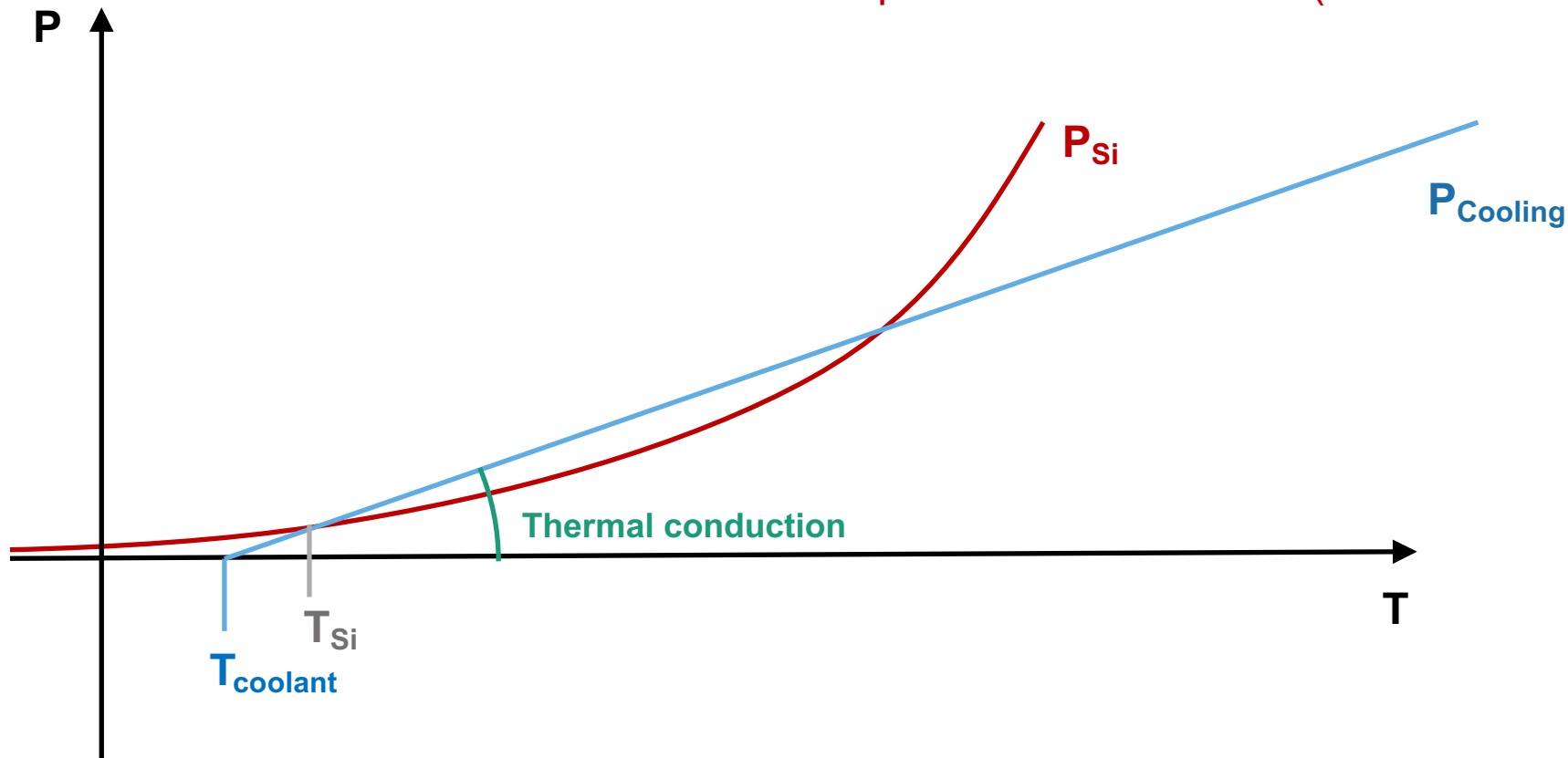


# Leakage current and cooling

$$P(r, T) = U_{bias}(\phi(r) \cdot \alpha_0 \cdot V) \frac{T^2}{T_0^2} \exp\left(-\frac{\Delta E}{2k_b} \left(\frac{1}{T} - \frac{1}{T_0}\right)\right)$$

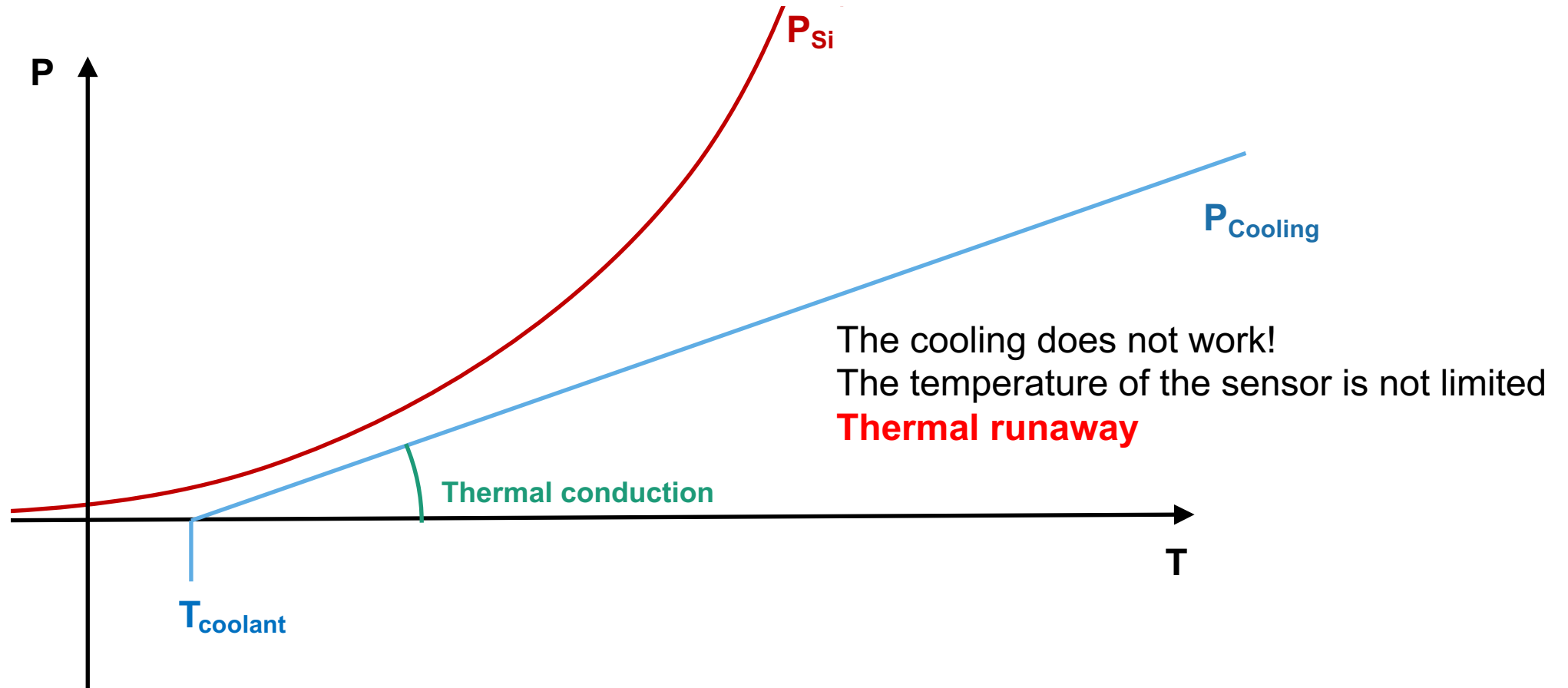


Proportional to irradiation (hadron fluence)

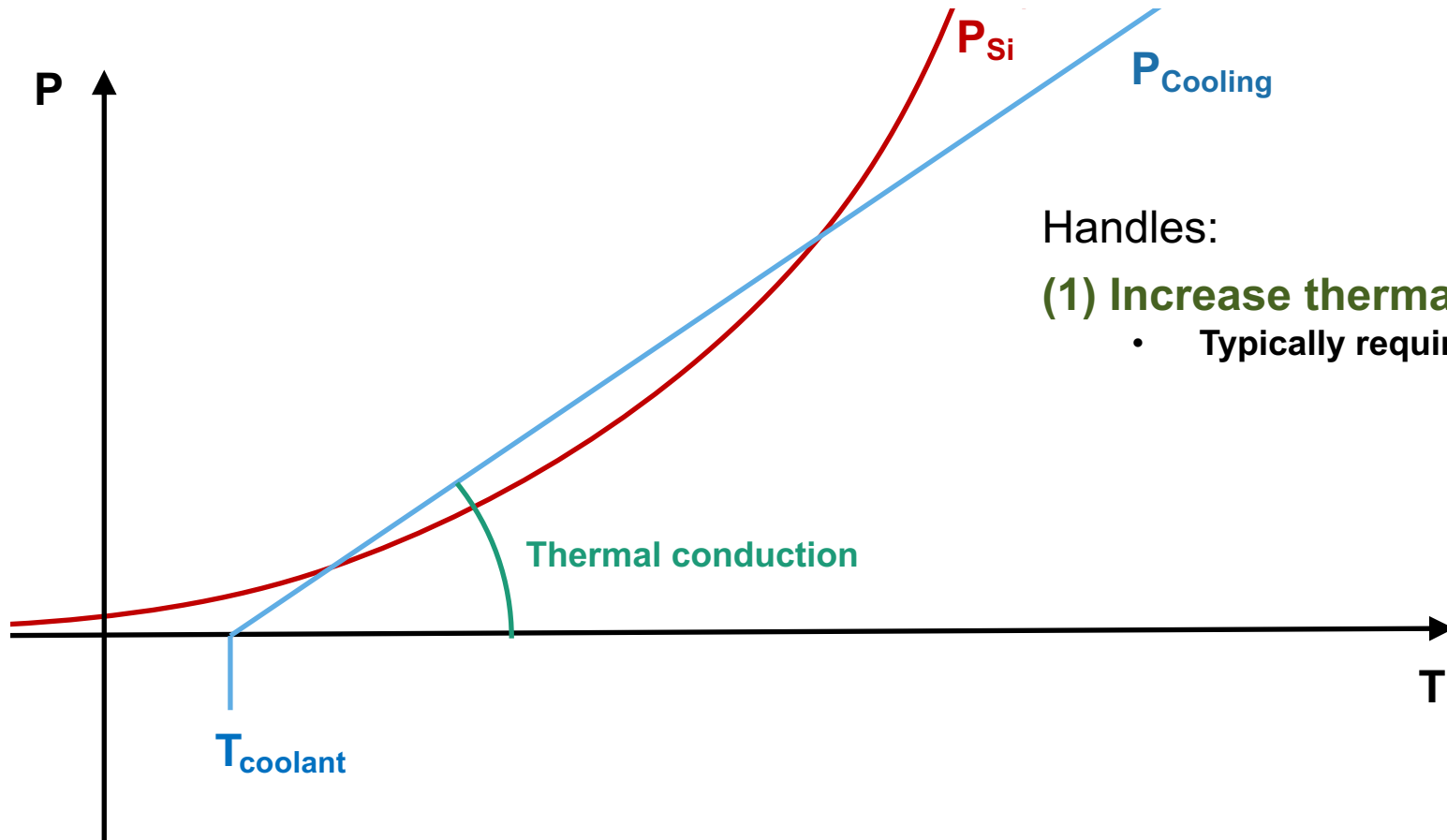


Now we increase the irradiation...

# Leakage current and cooling



# Leakage current and cooling

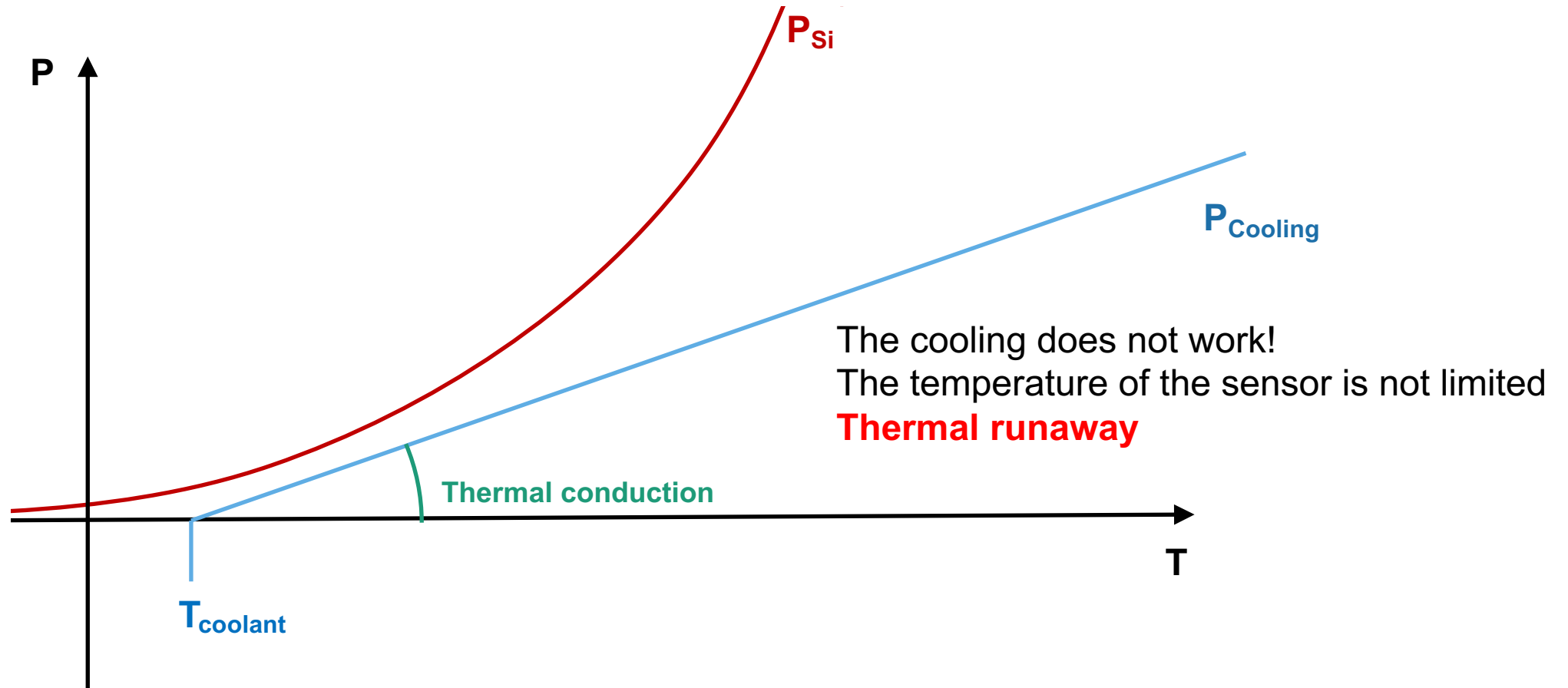


Handles:

**(1) Increase thermal conduction**

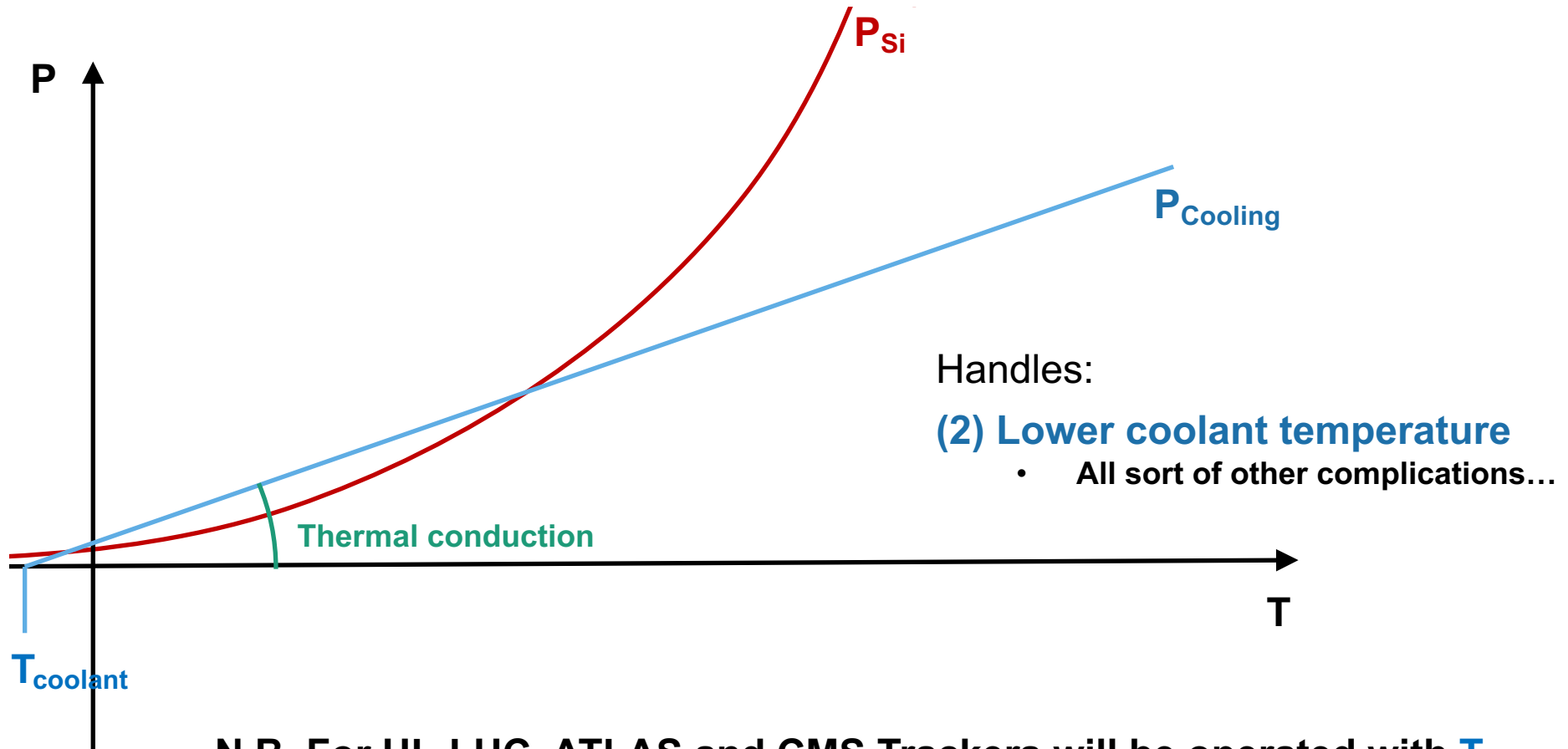
- Typically requires adding substantial material

# Leakage current and cooling





# Leakage current and cooling



N.B. For HL-LHC, ATLAS and CMS Trackers will be operated with  $T_{coolant} \approx -35^{\circ}\text{C}$

# Ingredients of a Silicon Tracker

## **Silicon sensors**

- High-granularity pixellated sensors close to the interaction point
- Strip sensors adapted solutions for the outer regions
- Extreme requirements of rad hardness for the ATLAS and CMS Tracker inner regions

## **Readout electronics**

- Extreme requirements of rad hardness and data rates for the ATLAS and CMS Tracker inner regions (not discussed)

## **Lightweight mechanical structures**

- More stringent requirements for lower-energy applications (e.g. heavy ion physics in ALICE)

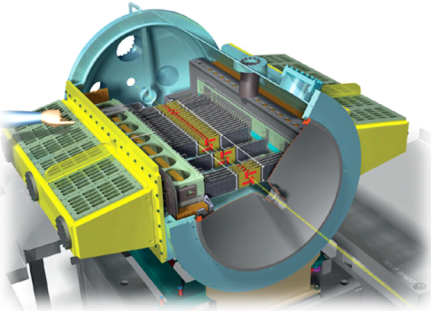
## **Efficient and lightweight cooling**

- Cooling is most challenging for the highest radiation environments
- Mass is most critical for low-energy applications

## **Low-mass power distribution and data links**

- Minimize mass (and volume) of services
- Mass is most critical for low-energy applications
- Service routing is most challenging for trackers with nearly  $4\pi$  coverage (ATLAS and CMS)

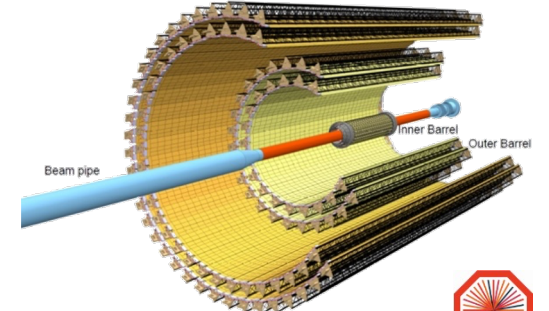
# Example of solutions from the 4 LHC detector trackers



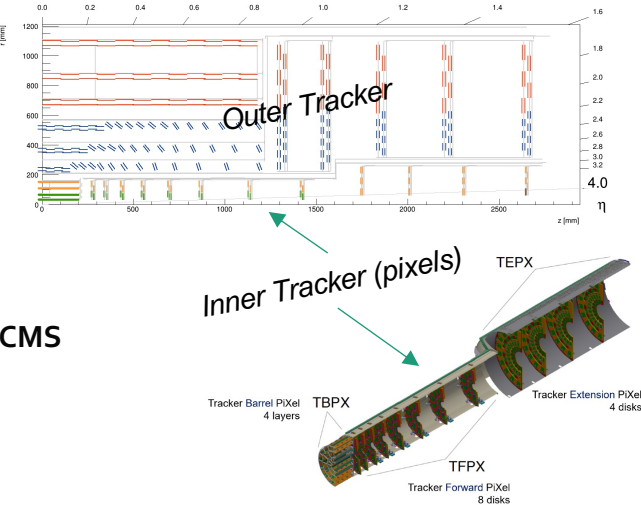
VELO

Active area:  $0.12 \text{ m}^2$   
 NIEL  $\sim 8 \times 10^{15} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$   
 Cooling: two-phase  $\text{CO}_2$  @  $-35^\circ \text{ C}$   
 Forward geometry  
 Reconstruct B mesons  
 Detectors very close to the beam line

Active area:  $\sim 10 \text{ m}^2$   
 NIEL  $\sim 10^{13} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$   
 Cooling: water at  $18^\circ \text{ C}$   
 Barrel geometry  
 Heavy ion physics  
 Optimal performance down to low-pT

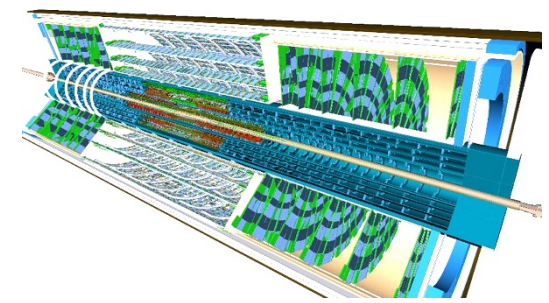


ITS ALICE



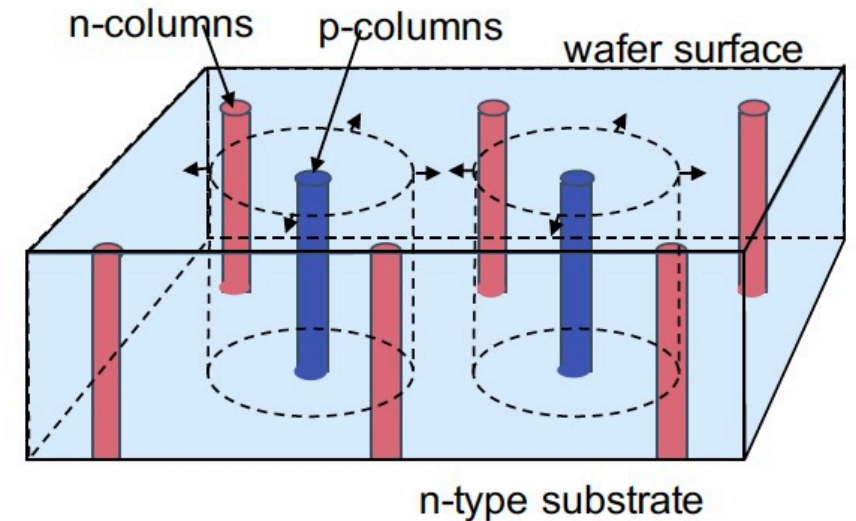
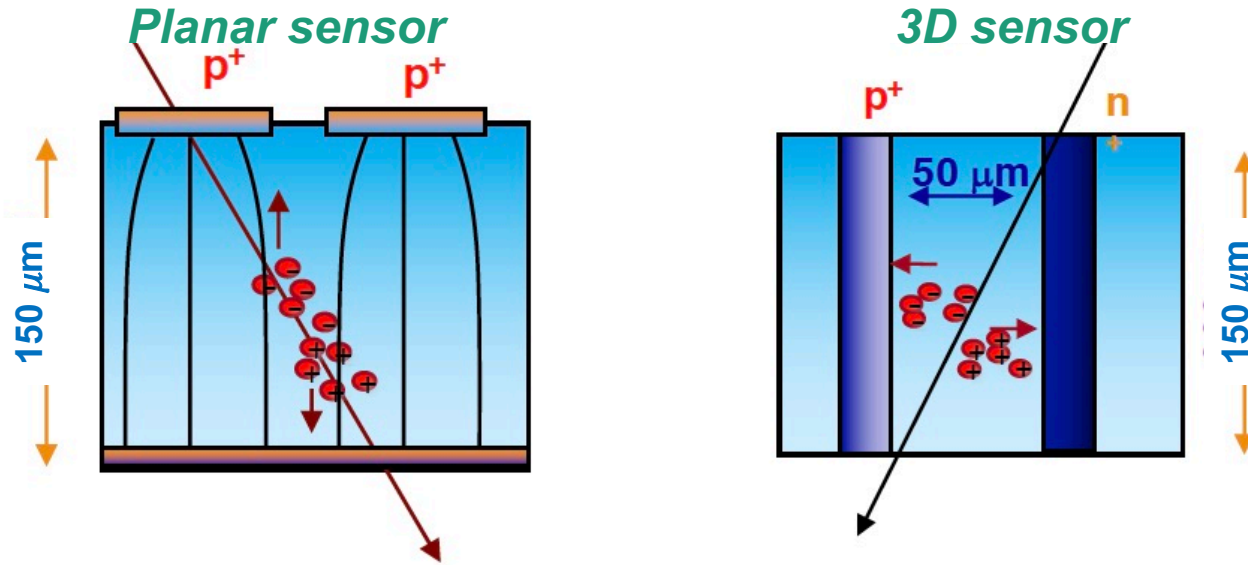
CMS

Active area:  $5 \div 13 \text{ m}^2$  Inner Trackers  
 $\sim 200 \text{ m}^2$  Outer Trackers  
 NIEL  $\sim 2 \div 3 \times 10^{16} \text{ 1MeV } n_{\text{eq}}/\text{cm}^2$   
 Cooling: two-phase  $\text{CO}_2 < -35^\circ \text{ C}$   
 Full angular coverage  
 Omni-purpose detectors  
 Good performance in the whole energy range



ITK PIXEL ATLAS EXPERIMENT

# The highest radiation regions: 3D sensors



*Drift path different from particle path (generating the signal)*

**Shorter drift path:**

less prone to charge trapping – better charge collection efficiency after heavy irradiation  
depletion at much lower bias voltage – mitigate power dissipation from leakage current

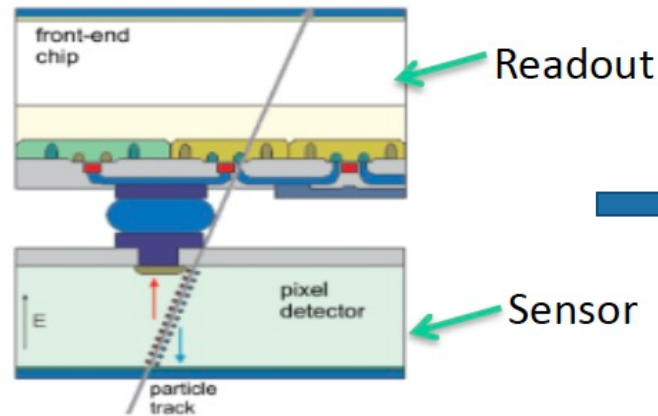
**Some inefficiency for orthogonal particles**

**Complex fabrication, higher cost**

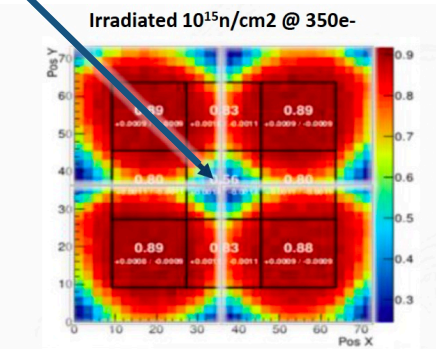
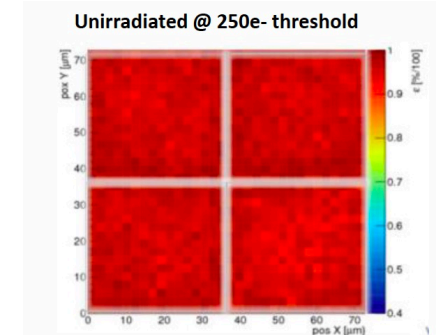
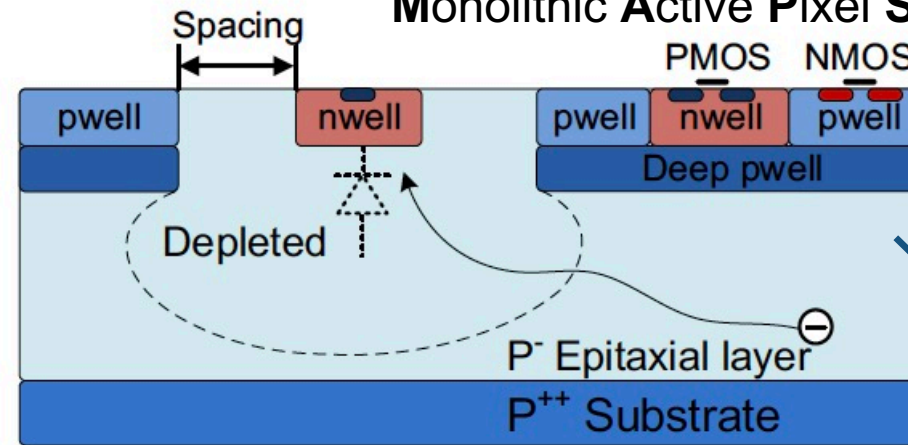
**Baseline for the innermost layer(s) of the ATLAS and CMS Trackers**

# Lower radiation, lower rates, lowest mass: MAPS

Hybrid detector



Monolithic Active Pixel Sensor



**MAPS: sensor and readout integrated in the same silicon wafer (CMOS process)**

**Lower mass**

**Easier fabrication and testing**

**Constraints in the electronics design → limited rate capability**

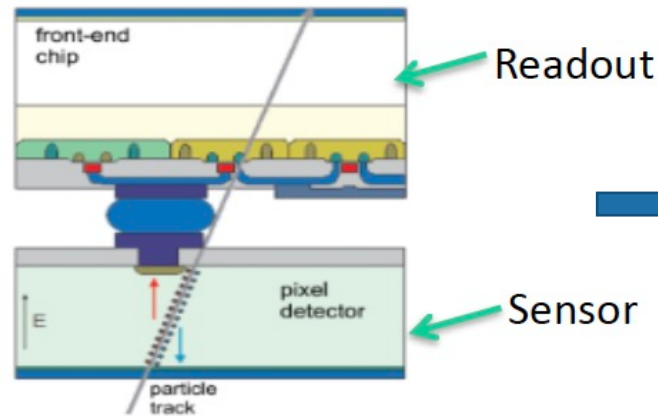
**Limited depletion region → limited radiation tolerance**

**Technology used in the ALICE Tracker**

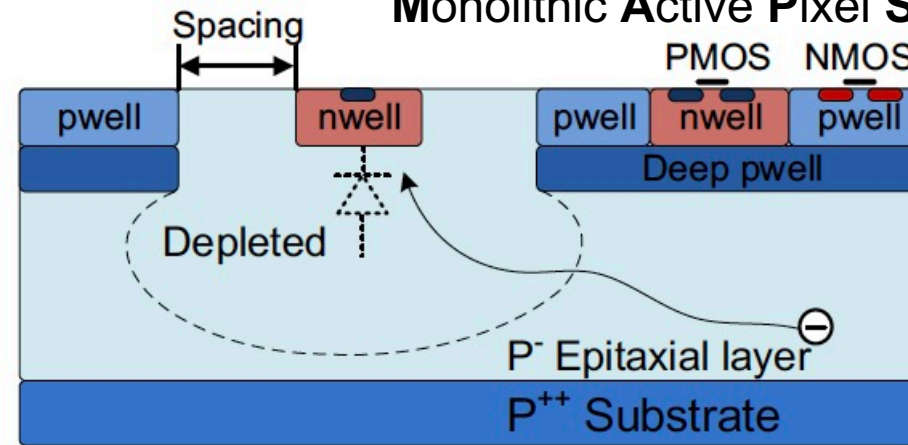


# Lower radiation, lower rates, lowest mass: MAPS

Hybrid detector

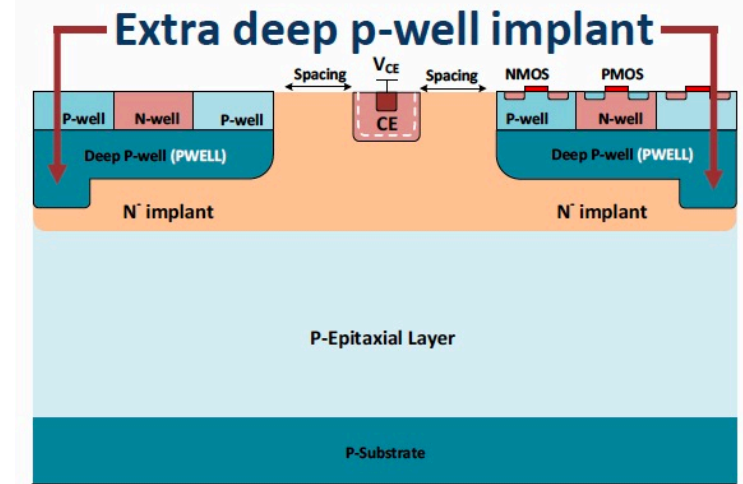


Monolithic Active Pixel Sensor



R&D ongoing to extend radiation tolerance

Requires process modifications

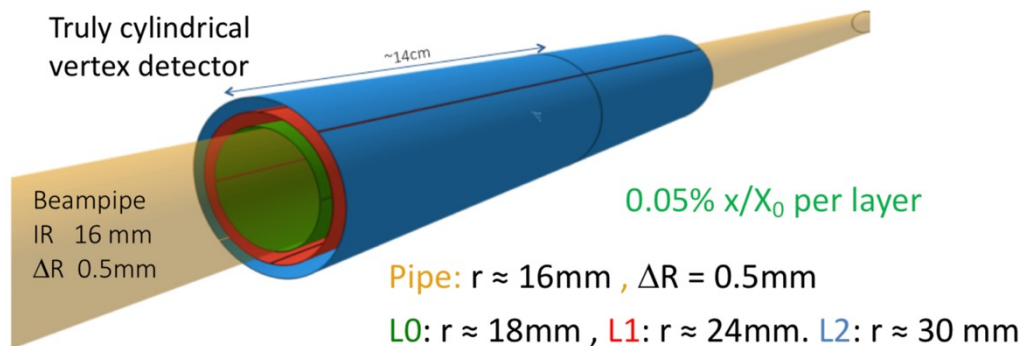


# Beyond MAPS: curved MAPS!

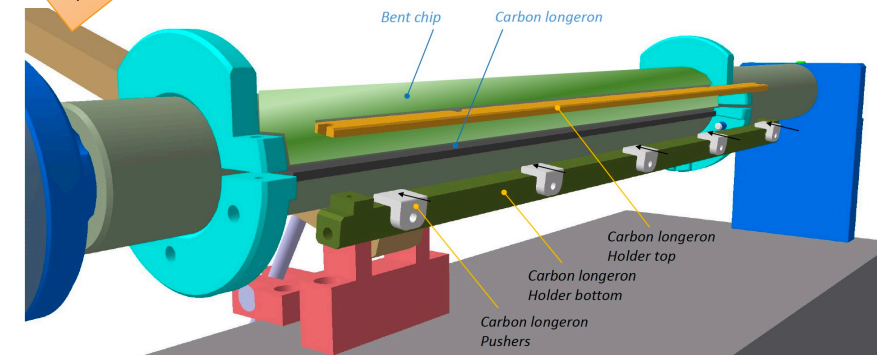
*Very thin silicon wafers can be bent*

**Possibility of ultra-light detecting layers with bent MAPS**  
Sensors thinned to  $\sim 30 \mu\text{m}$  can be bent with a radius of  $10\div 20 \text{ mm}$

**Technology chosen for the next upgrade  
of the 3 inner layers of the ALICE Tracker**



R&D ongoing



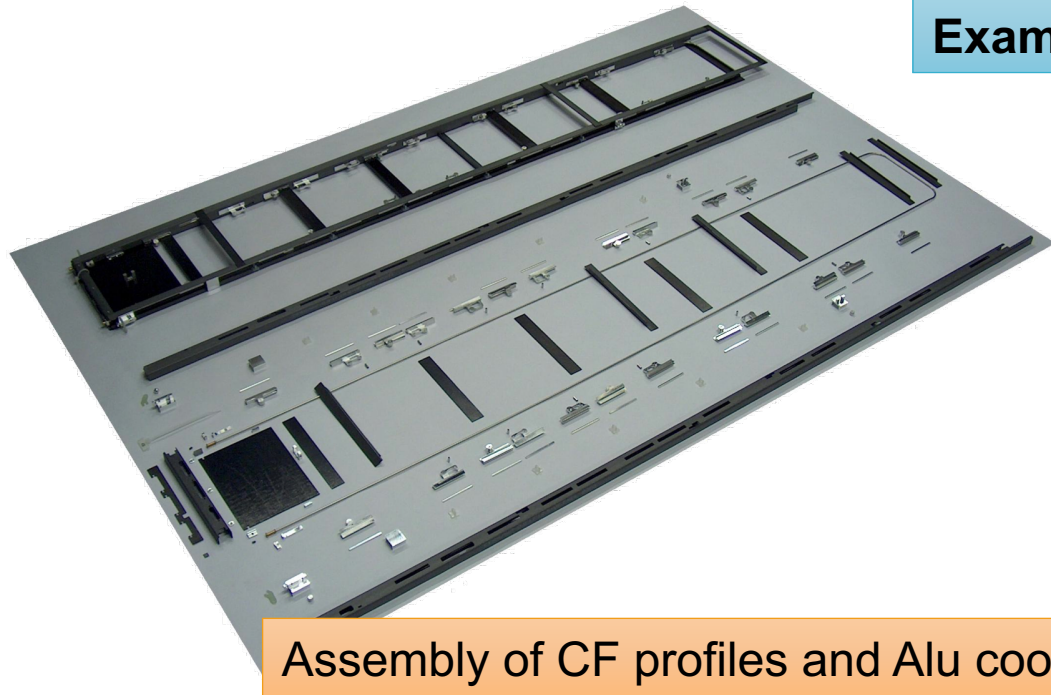
# Mechanical structures

*In a Tracker, mechanical structures contribute to the detector performance as much as sensors and readout electronics*

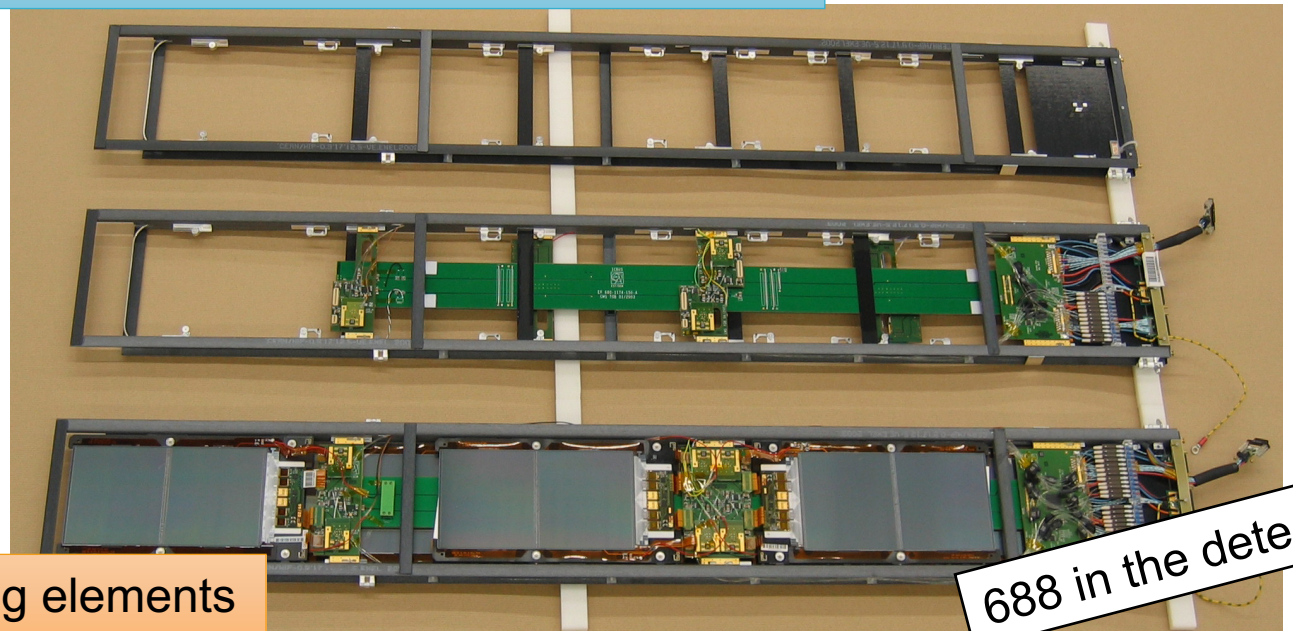
- Minimize inactive material
- Provide required mechanical stability
- Provide cooling to sensors and electronics

**Mechanics and cooling must be designed as an integrated concept**

**Example #1: the CMS Tracker Outer Barrel**



Assembly of CF profiles and Alu cooling elements



688 in the detector



# Mechanical structures

## Example #2: the ALICE ITS

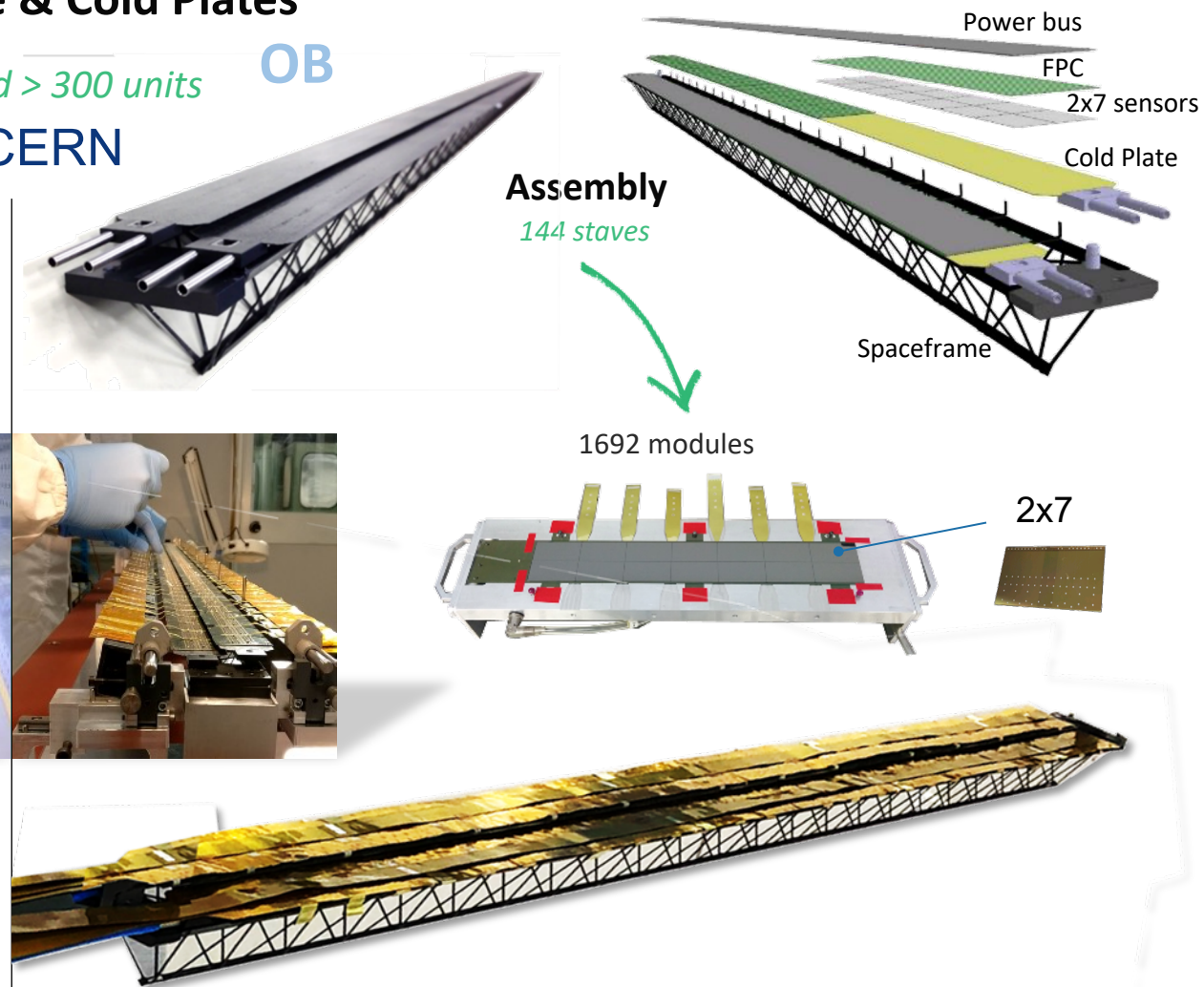
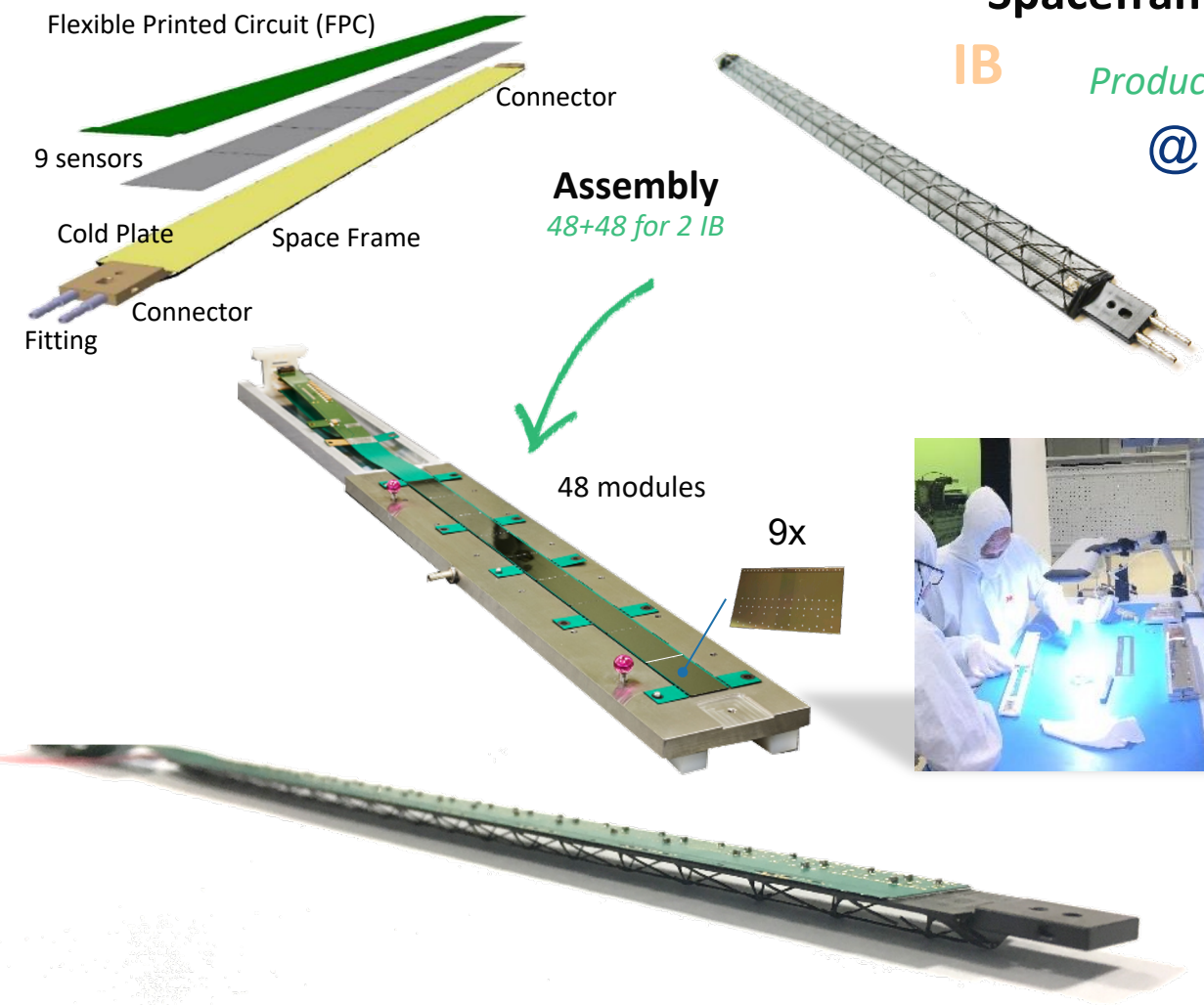
### Spaceframe & Cold Plates

IB

*Produced > 300 units*

OB

@ CERN



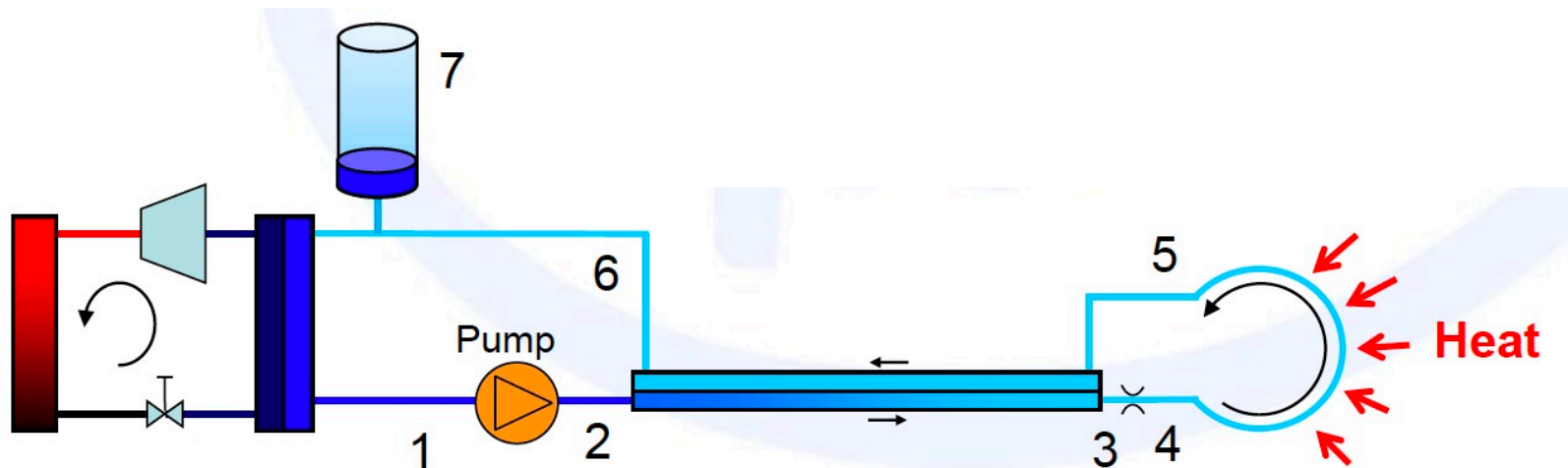
# CO<sub>2</sub> evaporative cooling

Many present/past detectors:

low-temperature cooling with liquid fluorocarbon (e.g. C<sub>6</sub>F<sub>14</sub>)

Some present and most future systems:

**Two-phase CO<sub>2</sub>**



$T_0$

$T_0 + \Delta$

Liquid

Liquid + Vapour



# CO<sub>2</sub> evaporative cooling

## Advantages:

- Large latent heat of evaporation → less fluid, smaller pipes
- Low liquid viscosity → small pipes
- High heat transfer coefficient → small thermal contacts
- High pressure → OK with high pressure drop, small pipes

→ **Large saving in material compared to liquid cooling**

## In addition:

- ❖ **Environmentally friendly. Does not get activated.**
- ❖ **Practical T range for detector applications -45°C to +25°C**

## Difficulties:

High pressure ( > 100 bar) requires strict QC on pipes and joints

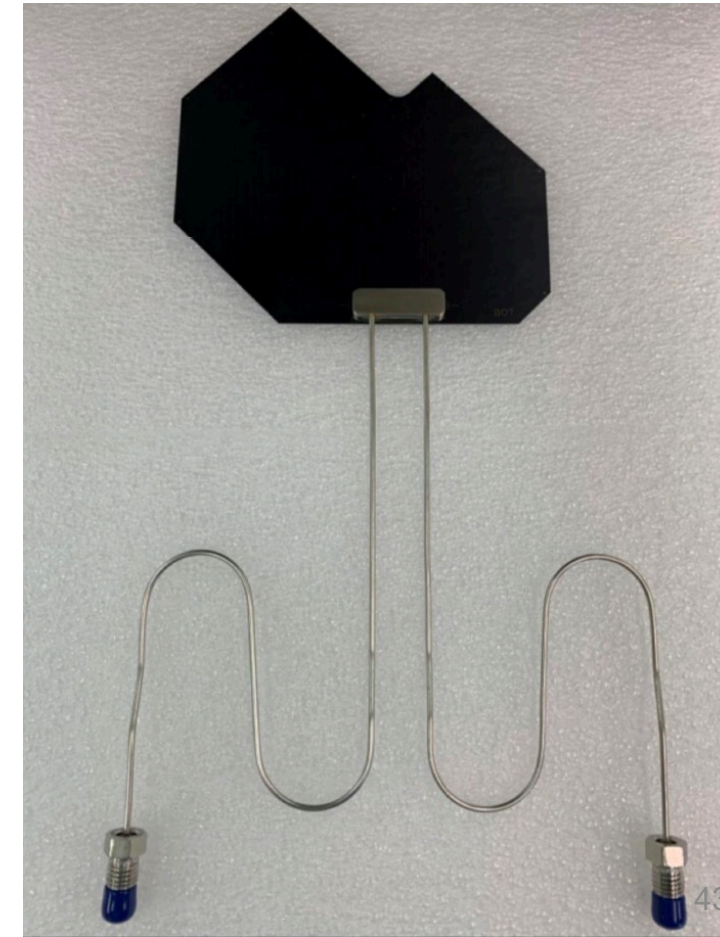
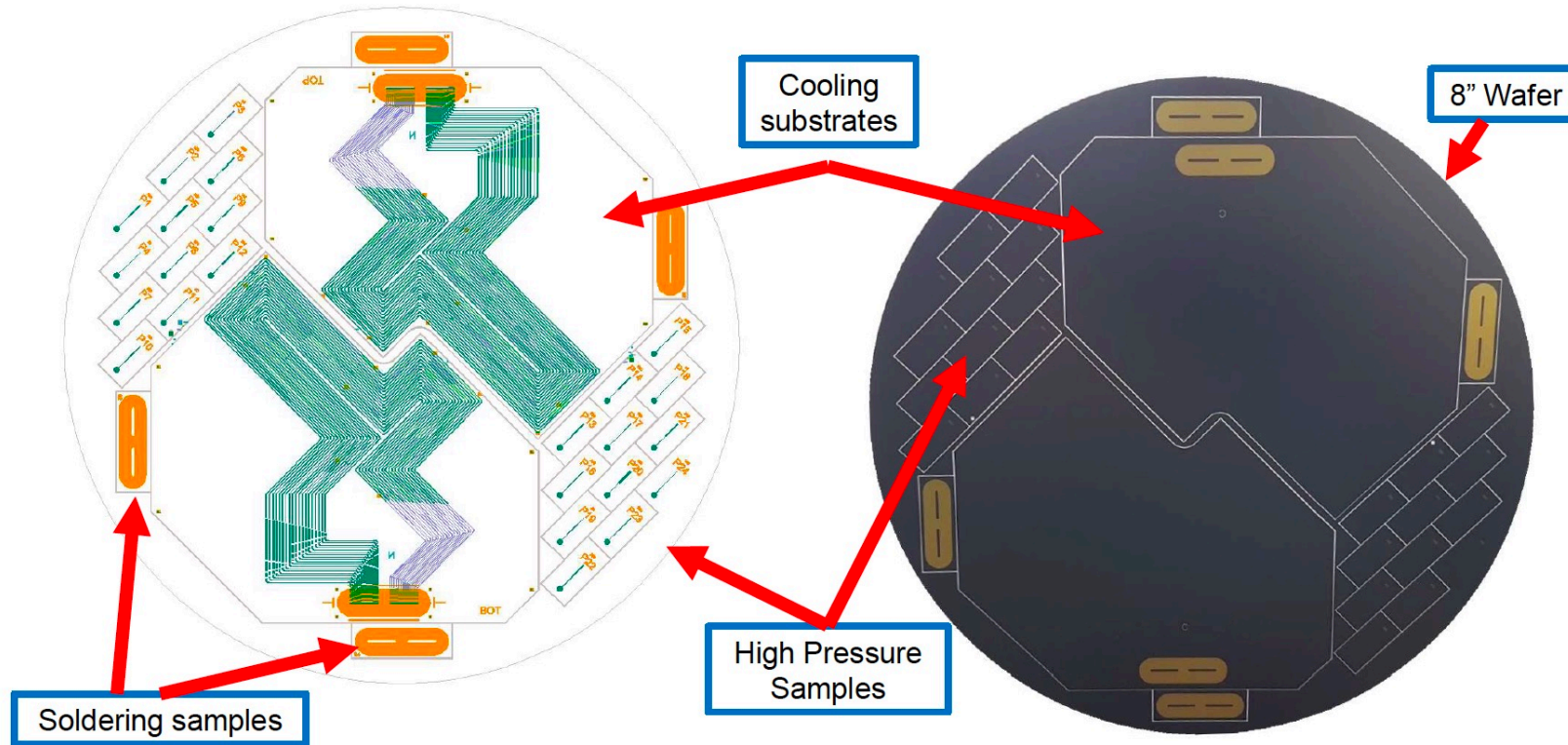
Much more complex controls than a liquid monophase system

*Ensure evaporation, avoid dry out, ensure flow balance in parallel lines...*

# LHCb: micro-channel cooling

Micro cooling channels realized in a silicon wafer for the LHCb Tracker upgrade  
**Technology similar to sensors and chips!**

Qualified for high-pressure CO<sub>2</sub> operation



# LHCb: micro-channel cooling

Micro cooling channels realized in a silicon wafer for the LHCb Tracker upgrade  
**Technology similar to sensors and chips!**

**Qualified for high-pressure CO<sub>2</sub> operation**

**Minimal material budget (500  $\mu\text{m}$  silicon)  
Ideal thermal contact to chips – with no CTE mismatch**

**Complex and expensive fabrication  
Challenging connectivity**

**Perfect solution for the (relatively) small LHCb tracker!**

# Power distribution: DC-DC conversion

*More advanced ASICs technologies require larger and larger current at lower voltage*

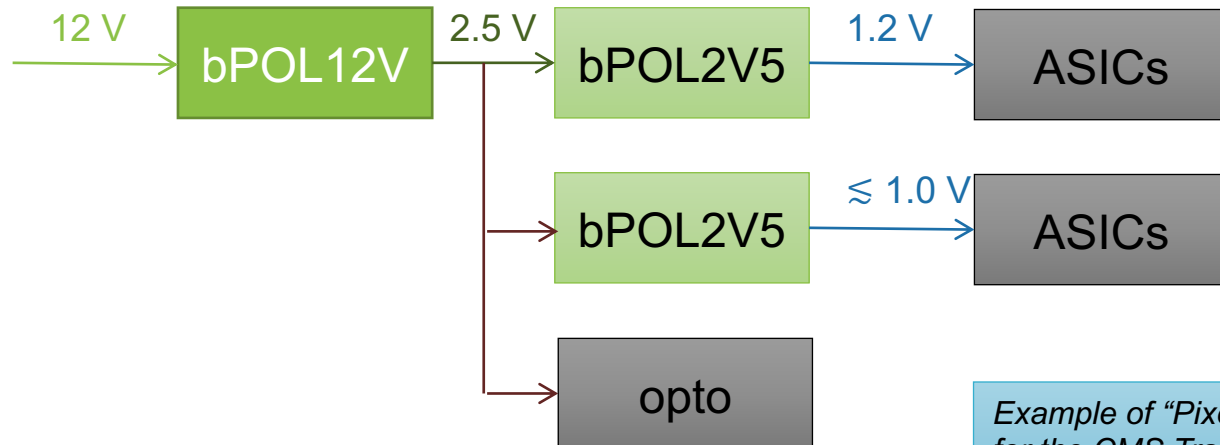
**Direct powering over long cables is no longer an option – huge cross section of conductors**

**Point-Of-Load DC-DC converters enable to bring in current at higher voltage**

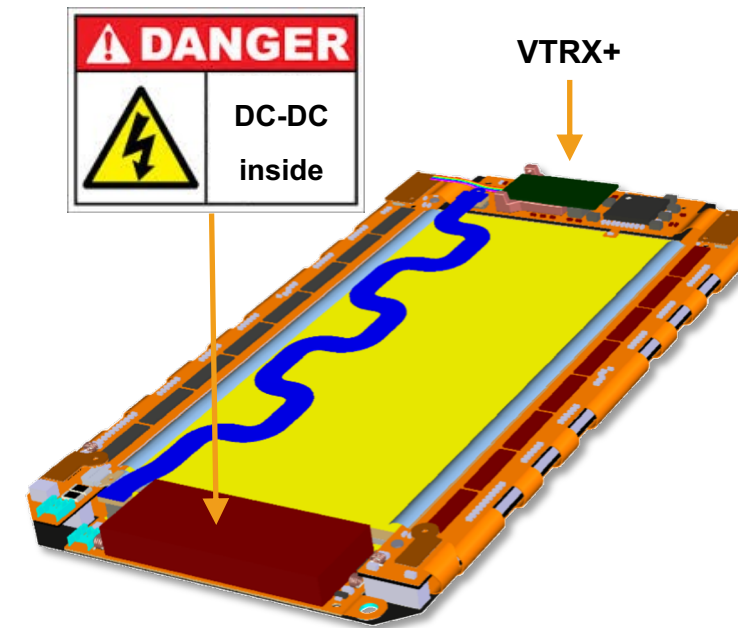
**Large saving in cross section of conductors**

**Some penalty in efficiency (70 ÷ 80%) and some added material inside the detector**

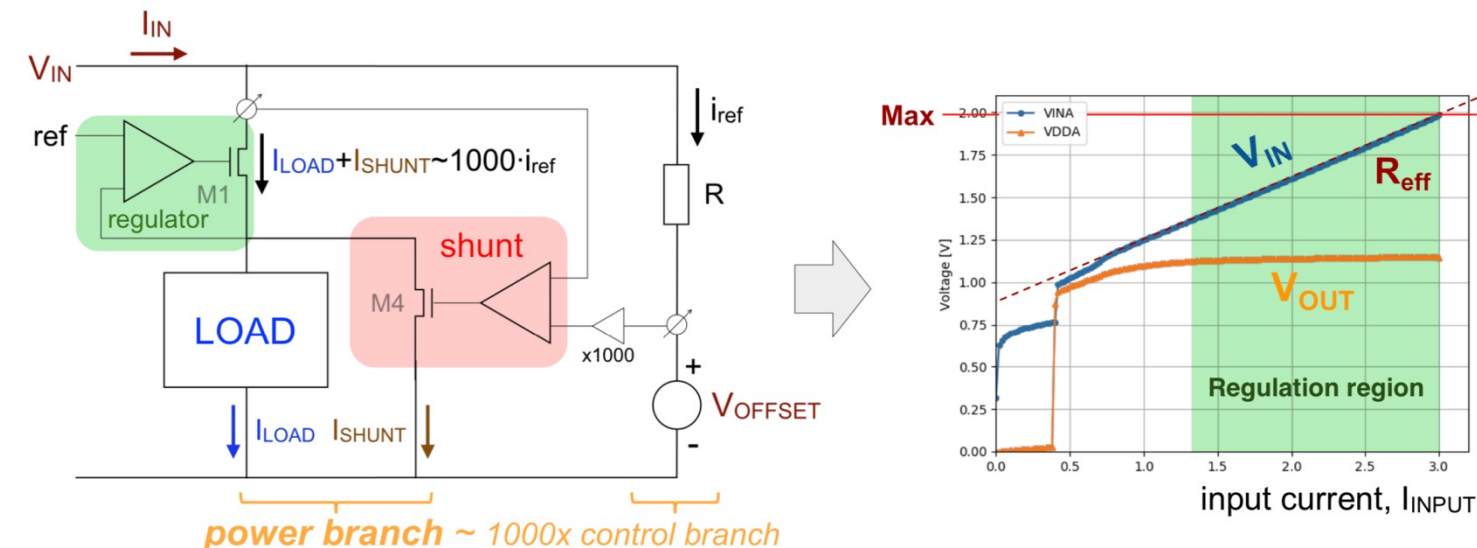
**Limited radiation tolerance (not suitable for the innermost layers in ATLAS and CMS)**



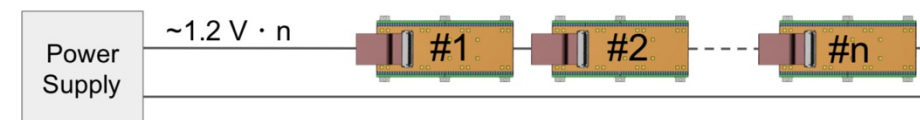
Example of “Pixel-Strip module”  
for the CMS Tracker upgrade



# Power distribution: serial powering



## For the upgrade of the ATLAS and CMS Inner Trackers



- **On-chip shunt-LDO regulator derives a constant voltage from a fixed input current**
- **Additional current flows through the shunt**
- **Requires voltage and current headroom (overall efficiency ~ 70%)**
- **Integrated on-chip solution – no additional components**
- **Radiation hard (as much as the chip...)**
- **Suitable for parallel applications (e.g. separate regulators for digital and analogue parts of the chip)**
- **Each module has a different ground level – novel system design!**



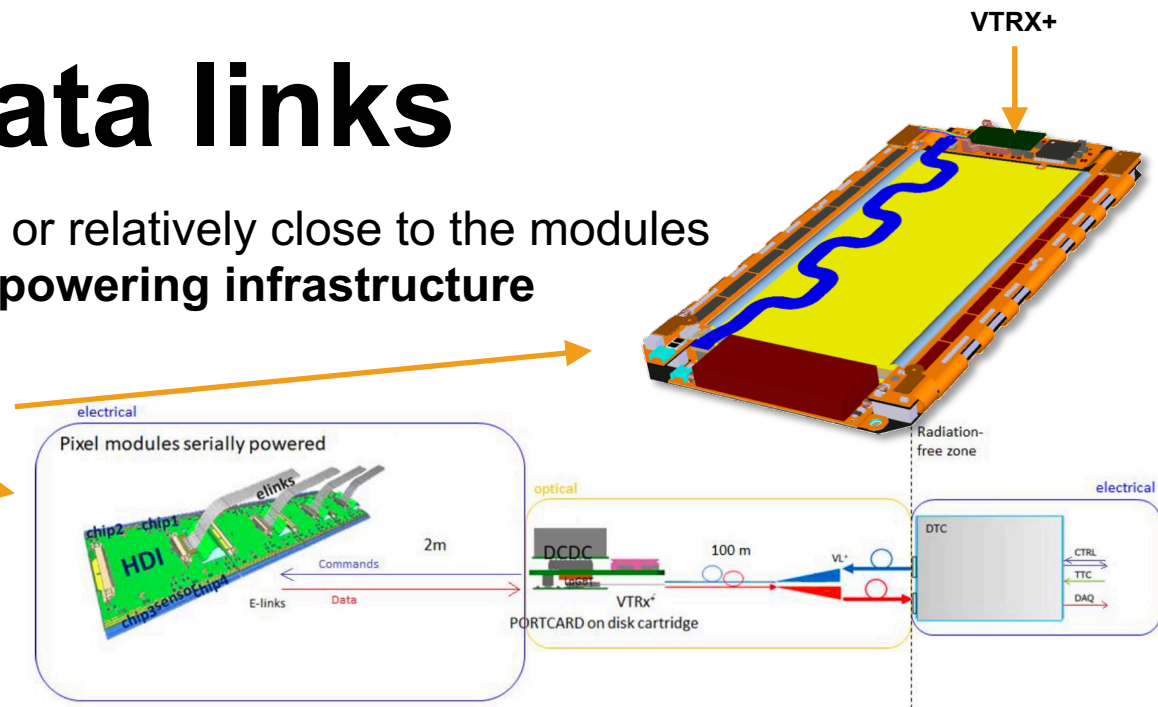
# Current and future data links

Electro-optical transceiver (VTRX+) implemented “on-board” or relatively close to the modules

**Limited radiation tolerance, additional components and powering infrastructure**

E.g. CMS Tracker upgrade:

**on-module optical conversion on the Outer Tracker  
up to 2m electrical links in the Inner Tracker**



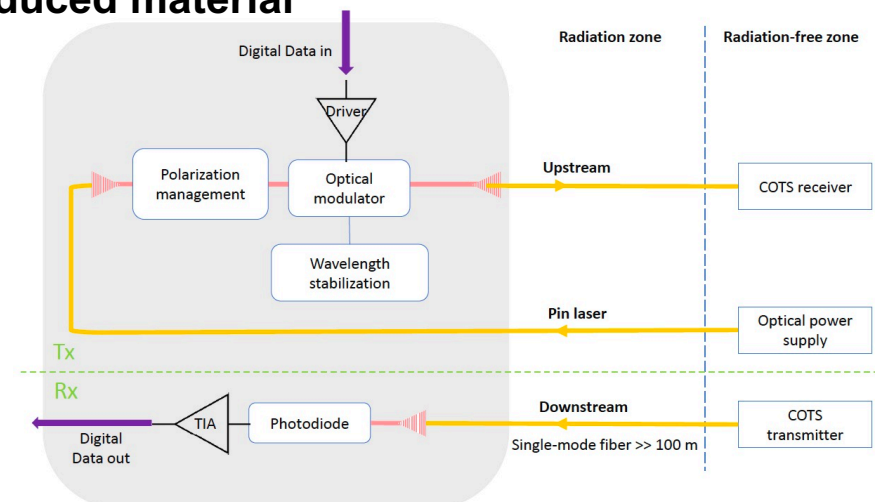
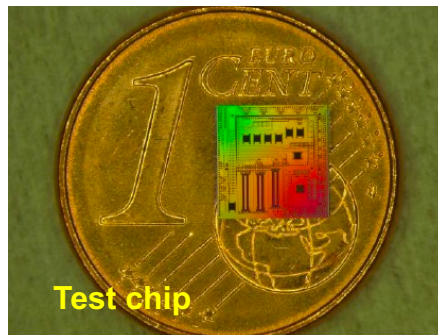
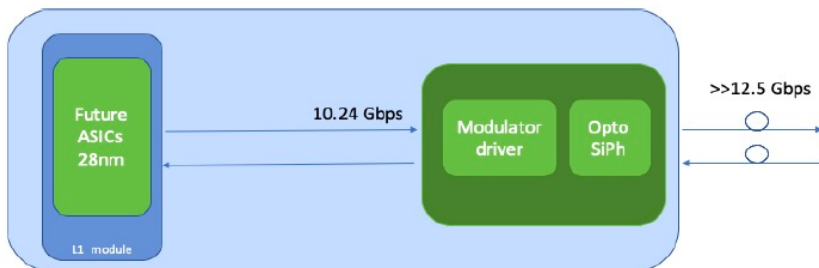
## Future: silicon photonics

Light source in the back end

Optical modulator integrated on module

**Target: higher radiation hardness, higher bandwidth capability and reduced material**

R&D ongoing!



# Conclusions

**Silicon detector technologies are more and more widely used in the design of tracking detectors for HEP**

Not only in high-rate, high-radiation environments

**Developing a state-of-the-art Tracker for HEP requires innovative solutions in many different domains**

Sensors

Mechanics

Readout electronics

Cooling

Data links

Power distribution

***There are many other interesting topics and novel developments that I did not cover***

*Tracking and alignment*

*Detector calibration*

*“Intelligent” modules with  $p_T$  filtering capability*

*Precision timing and “4D tracking”*

....

**A rich and fascinating research field!**

*Thank you for your attention*