



# Structure Determination by Single Particle Cryo-EM

Christiane Berger-Schaffitzel, 24.06.2022



The Nobel Prize in Chemistry 2017

Jacques Dubochet, Joachim Frank, Richard Henderson

## The Nobel Prize in Chemistry 2017



Photo: Félix Imhof © UNIL  
[CC BY-SA 4.0]

**Jacques Dubochet**

Prize share: 1/3



Photo: B. Winkowski ©  
Columbia University  
Medical Center

**Joachim Frank**

Prize share: 1/3



Photo: MRC Laboratory of  
Molecular Biology

**Richard Henderson**

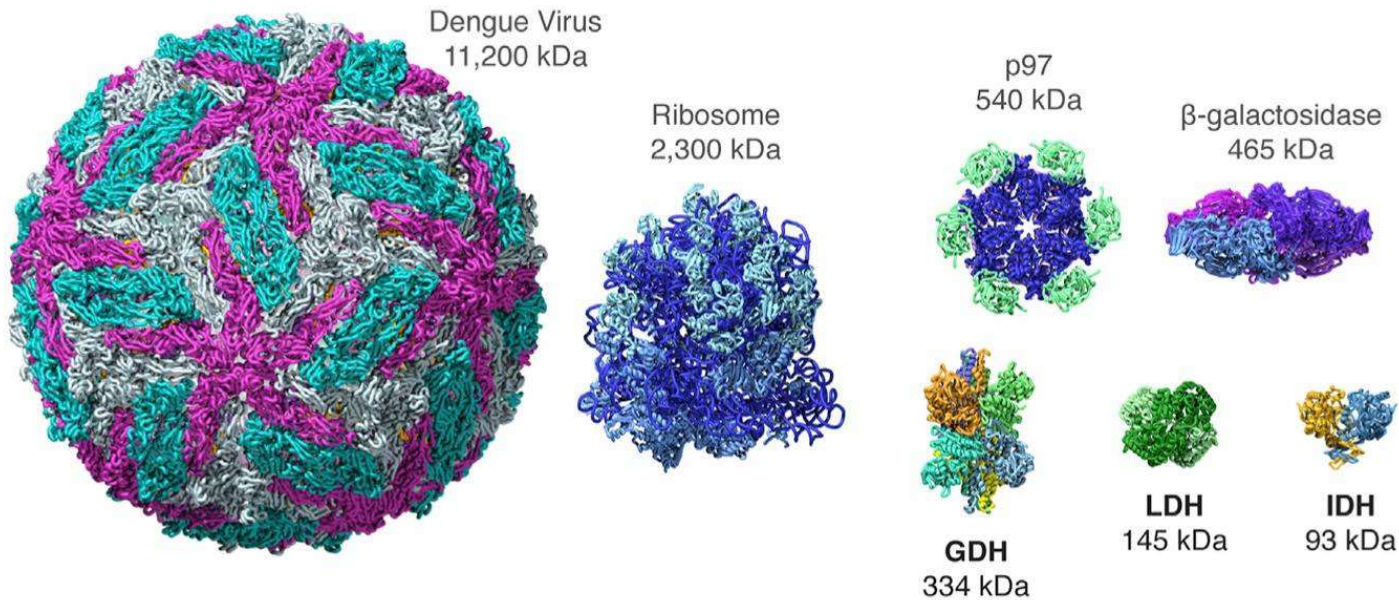
Prize share: 1/3

The Nobel Prize in Chemistry 2017 was awarded to Jacques Dubochet, Joachim Frank and Richard Henderson *"for developing cryo-electron microscopy for the high-resolution structure determination of biomolecules in solution"*.

# Resolution Revolution in Cryo-EM

Examples of structures solved by cryo-EM to highest resolution (1.22–3 Å)

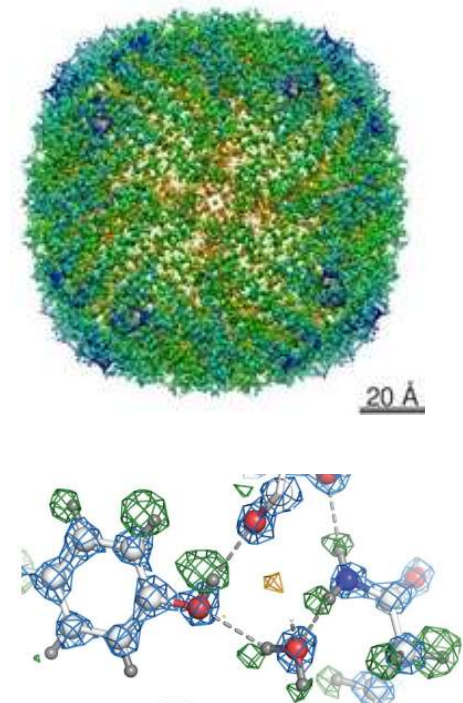
Near-atomic resolution structures (1.8–3 Å)



Molecules shown in relative sizes

Merk *et al.*, *Cell* 2016

Apoferritin, 480 kDa



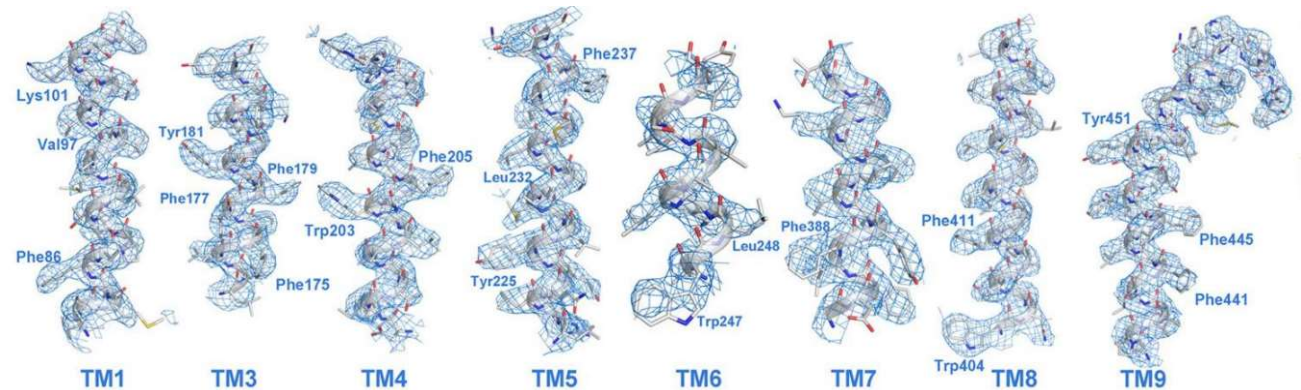
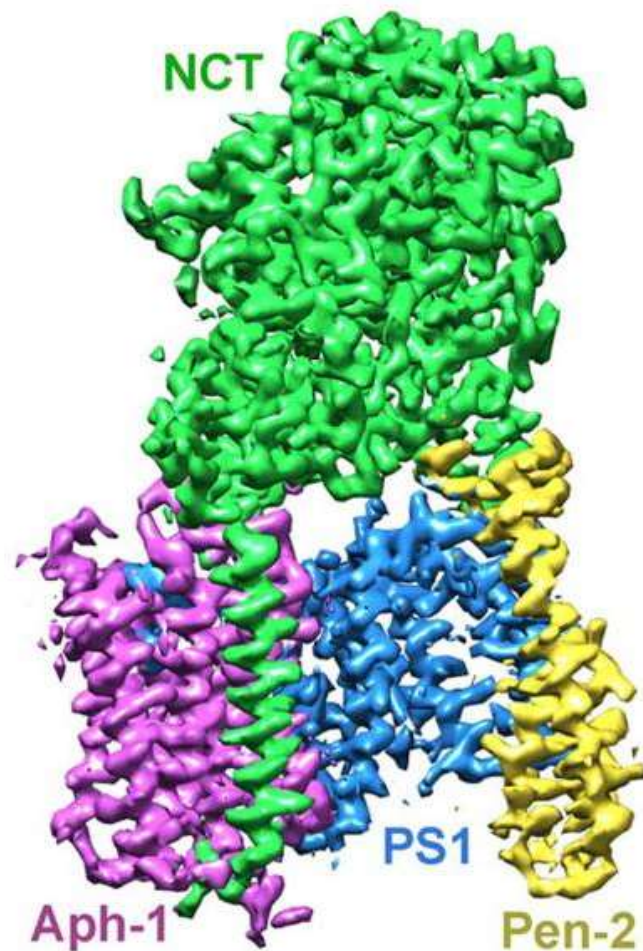
Single-particle cryo-EM at  
**atomic** resolution (1.22 Å)  
Nakane *et al.*, *Nature* 2020  
Yip *et al.*, *Nature* 2020



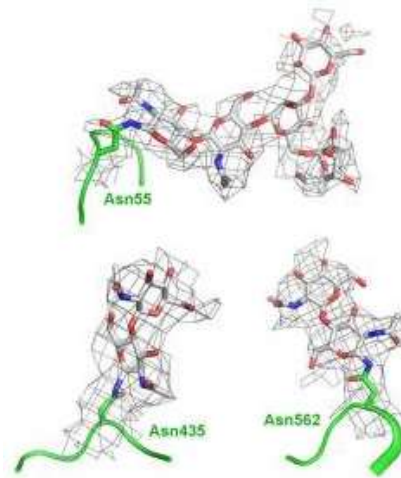
# Smaller Asymmetric Membrane Proteins

Human  $\gamma$ -secretase complex at 3.4 Å  
 170 kDa membrane protein complex, glycosylated

Bai *et al.*, *Nature* 2015



density of TM helices of PS1



density of glycans of NCT

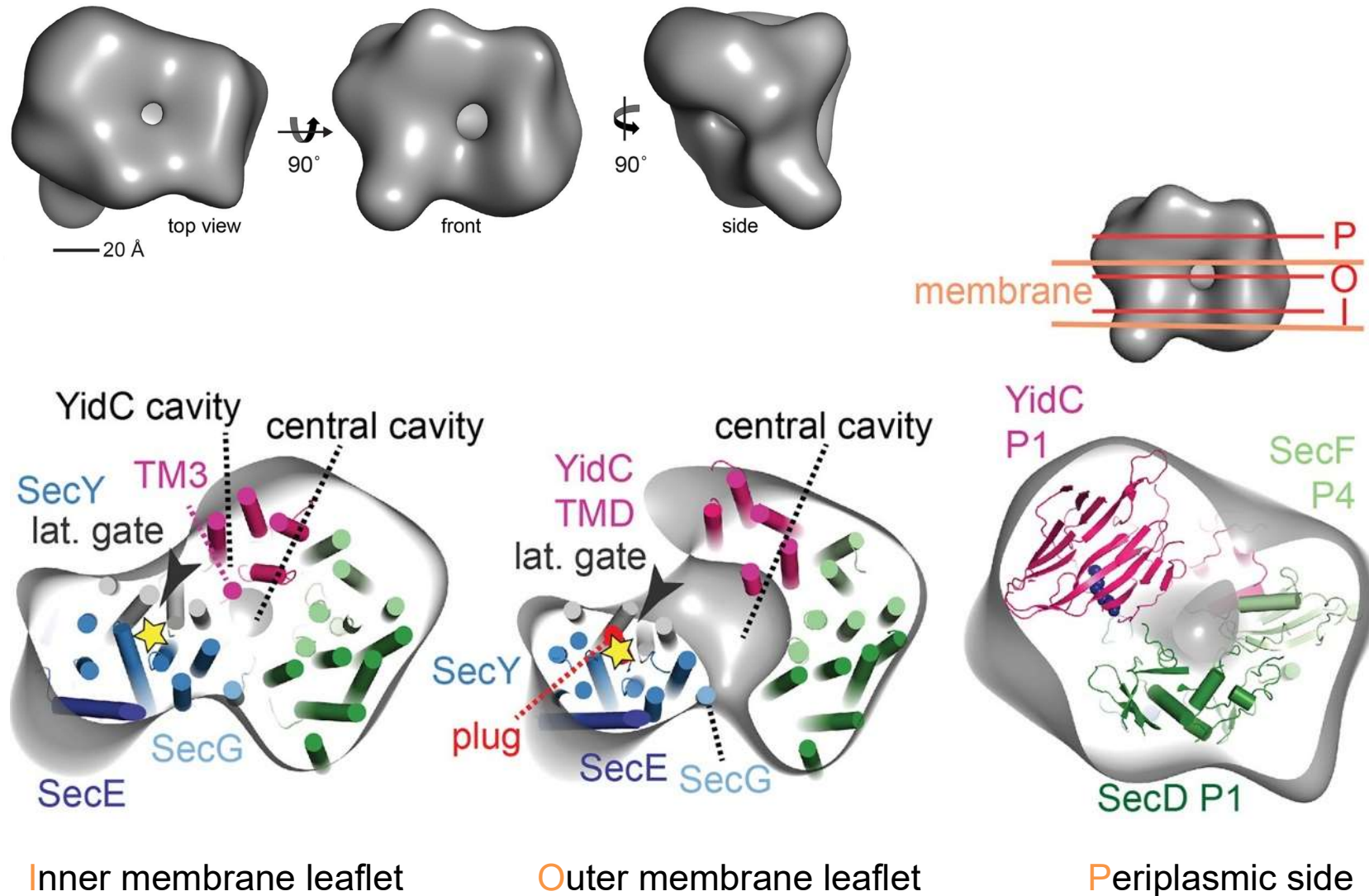


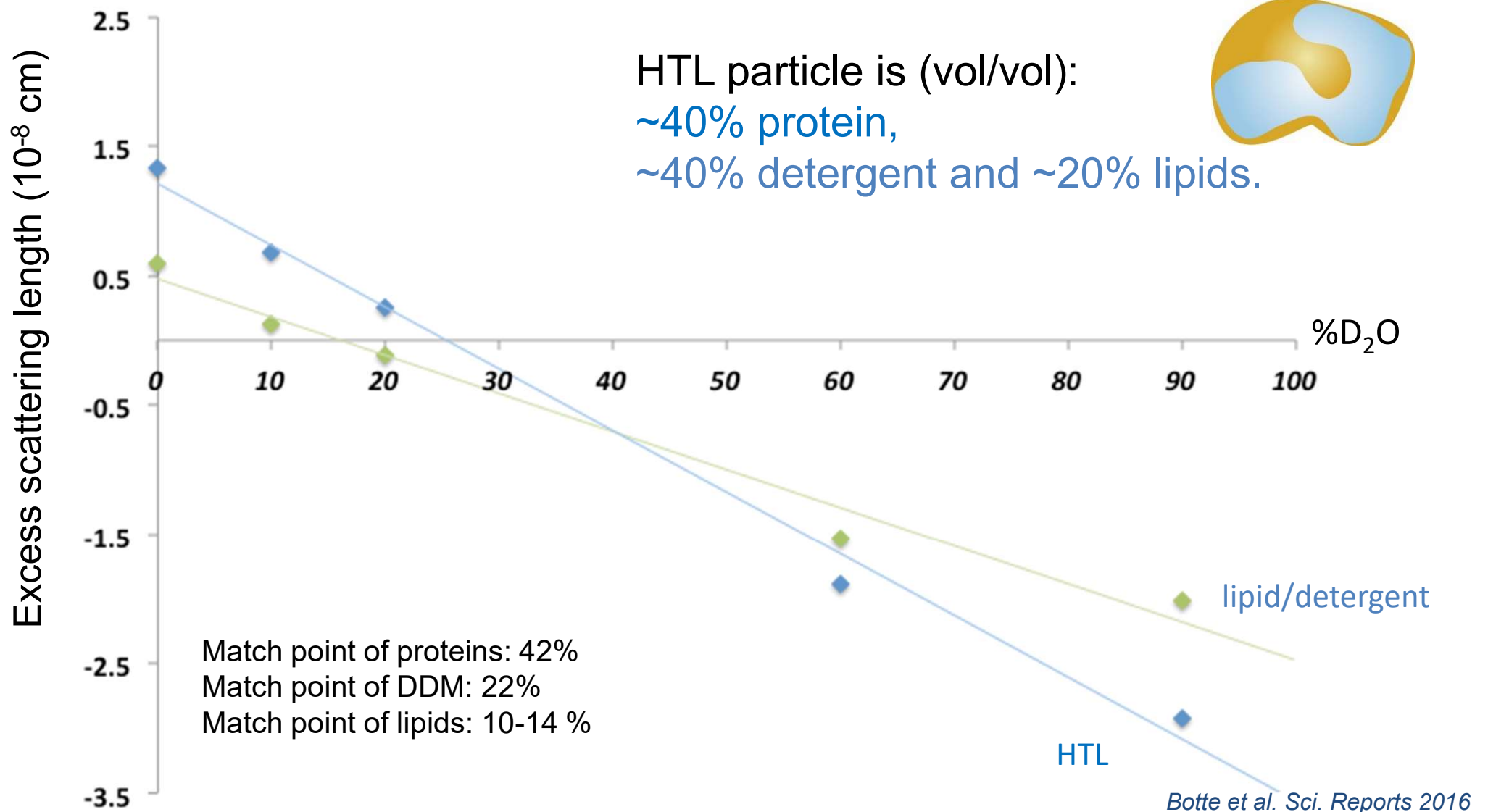
lipid molecules

In 2021, ~80% of GPCR structures have been solved by cryo-EM  
 (GPCRpdb, Kooistra *et al.*, NAR 2021).



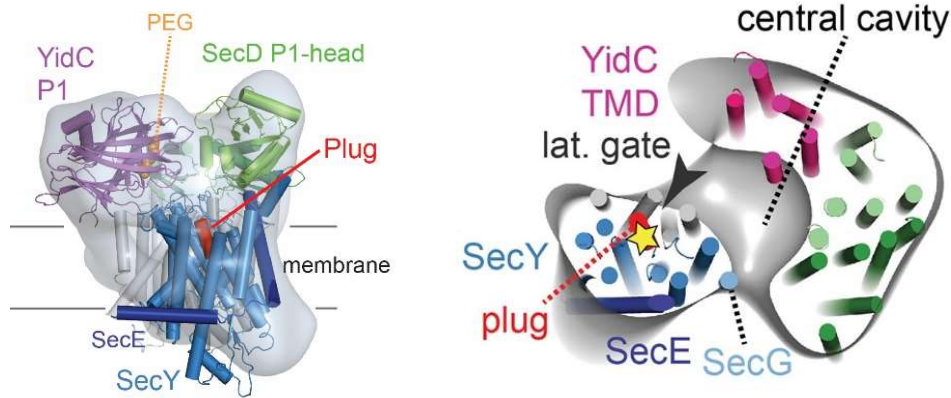
# Holotranslocon comprises a Central Lipid-filled Cavity





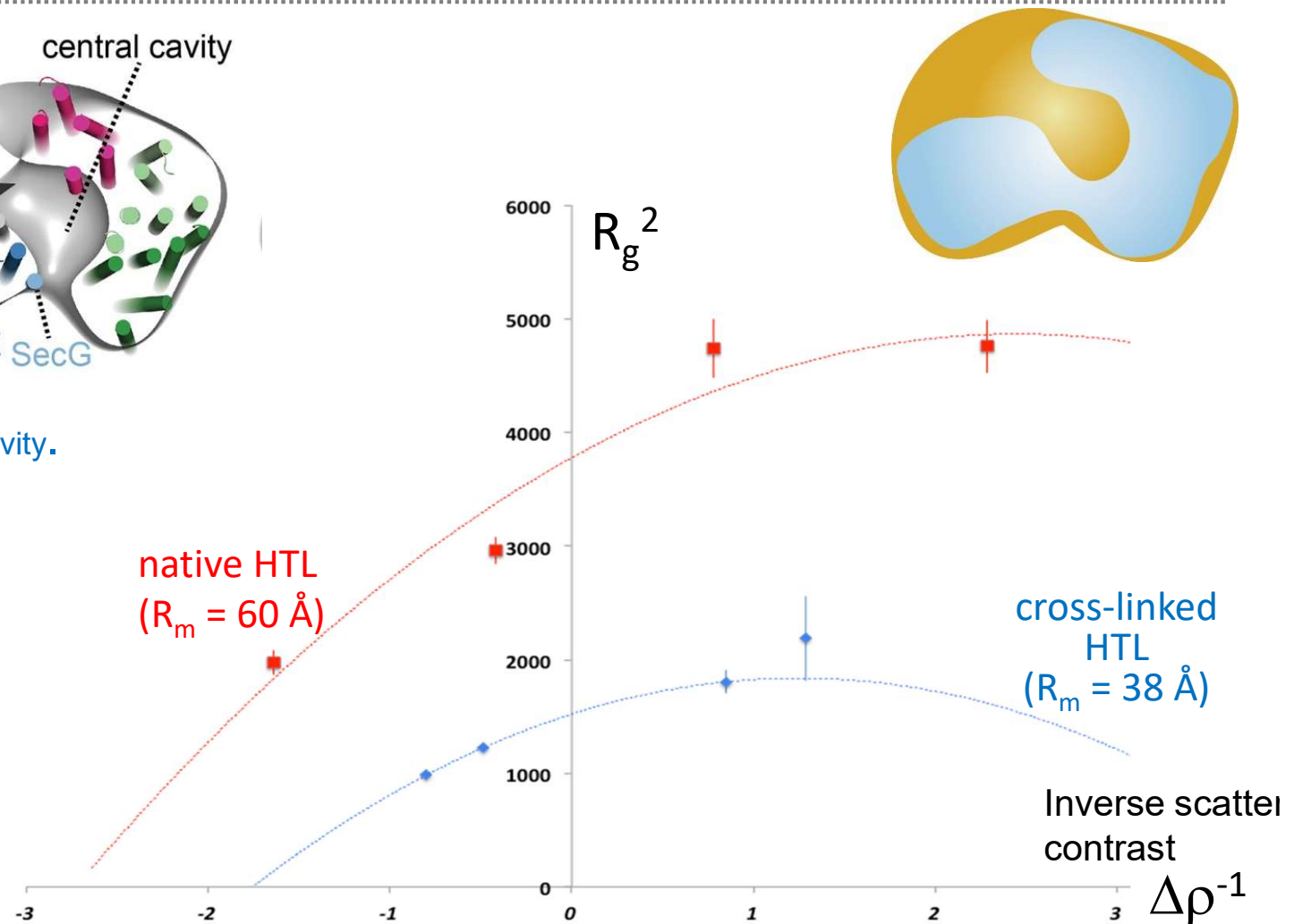


# SANS Stuhrmann Analysis: HTL has a lipid core surrounded by protein



SANS data support a central, lipid-filled cavity.

Positive slope: the high scattering unit (protein) is outside, and the low scattering element (lipid/detergent) is closer to the centre.



Botte et al., Sci Rep 2016

Using deuterated DDM, the volume of the lipid core was determined ( $1216 \text{ \AA}^3$ ), corresponding to 8-29 *E. coli* lipids.

Martin, Arleth, Collinson et al., Biophys. Journal 2019

1 – The Microscope

2 – Negative Stain EM and Sample Preparation

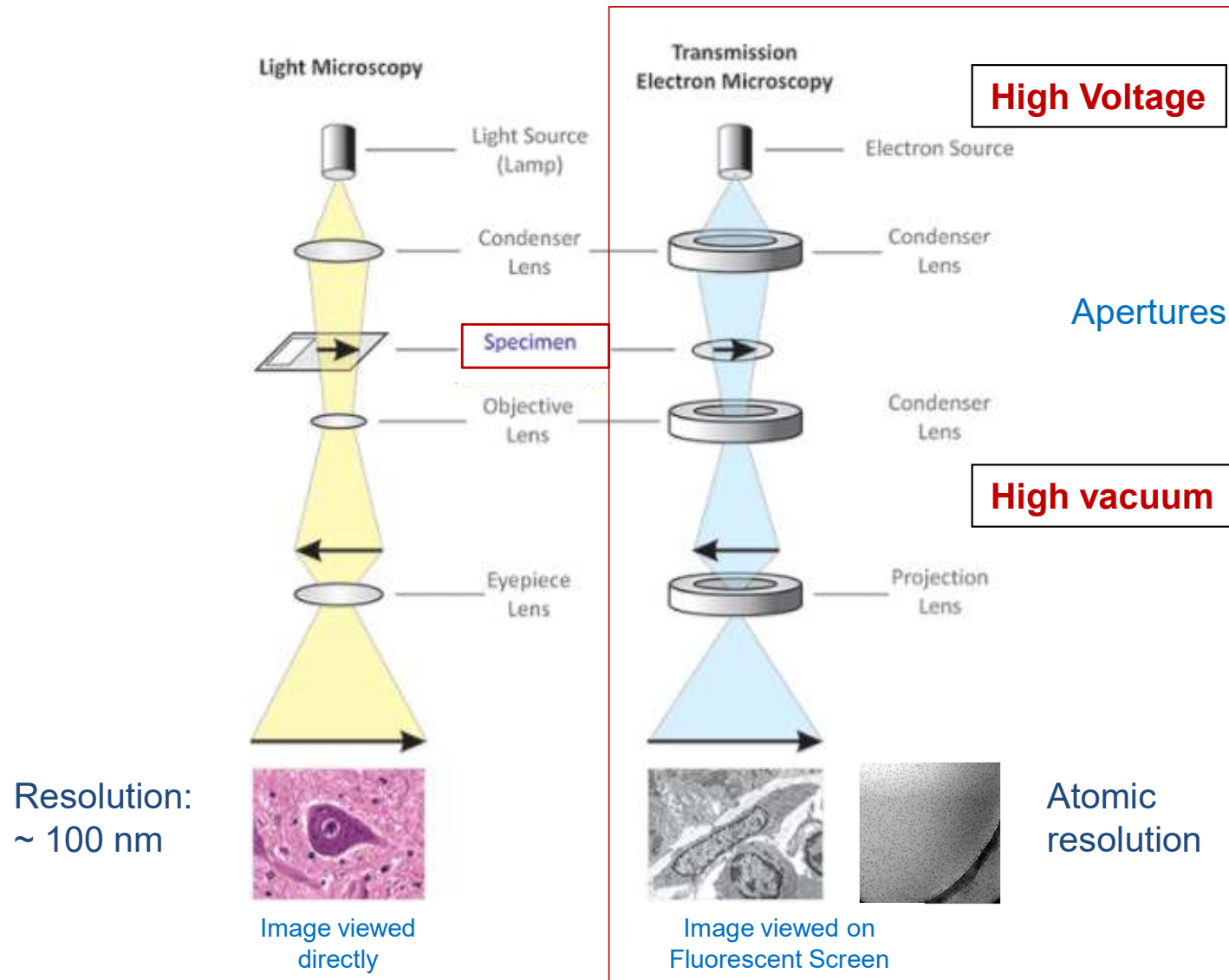
3 – The Image & Direct Electron Detectors

4 – Image Processing, the Principle

5 – State-of-the-art Image Processing



# Electron Microscope



Electron Microscope  
T20

Adapted from <https://www.pinterest.co.uk>

1. It is necessary to analyse samples in a **vacuum** because airborne contaminants will also scatter electrons. Therefore, **samples cannot be visualised in an aqueous solution**.
2. High energy electron collisions gives rise to **sample damage**. Therefore, low electron dosage conditions must be used. This leads to a **low signal-to-noise ratio** (noisy images).

1 – The Microscope

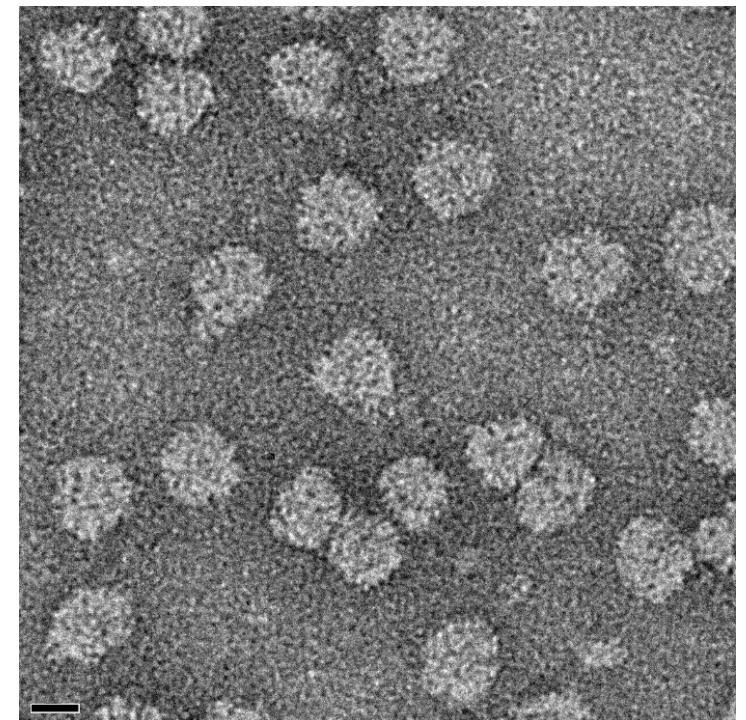
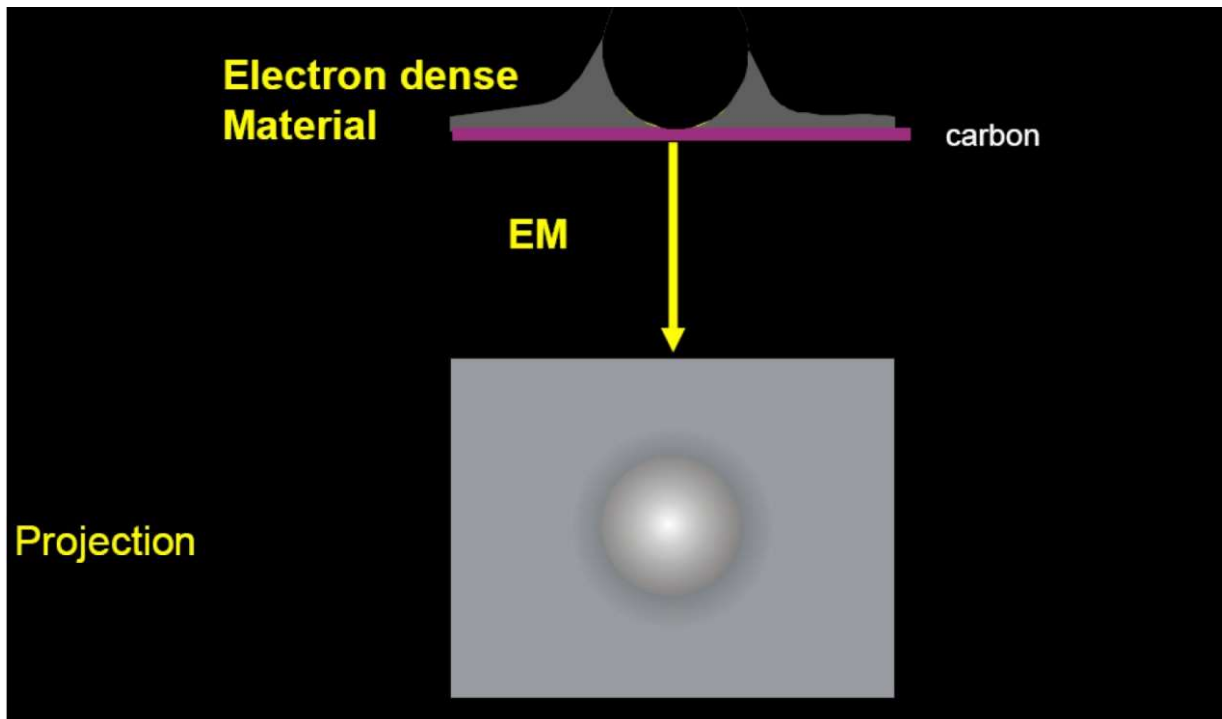
2 – Negative Stain EM and Sample Preparation

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# Negative Stain Electron Microscopy



Scale bar : 20 nm

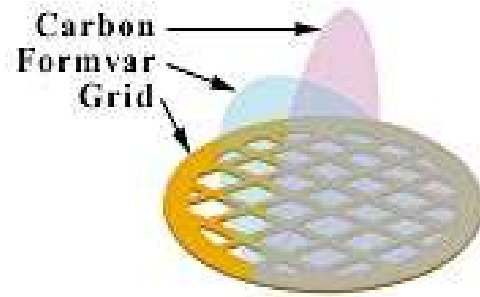
uranyl acetate staining

- Advantage: nice contrast of the molecules  
can be done at room temperature, fast  
great for **sample quality control**
- Disadvantage: the resolution is limited by the stain.  
staining artefacts, flattening ,  
only the envelope is obtained



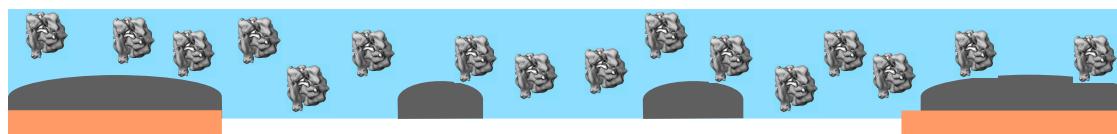
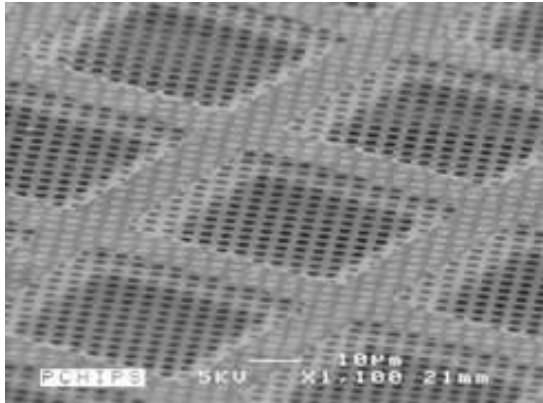
# Cryo-EM Sample Preparation

## Freezing Grids



*3-6  $\mu\text{l}$  of sample (nanomolar concentration) required per grid*

Holey  
carbon  
film



vitreous ice (200-500 Å)  
thick carbon (150 Å)  
copper grid

# Vitrification – Cryo-grid preparation: Blotting and Rapid Freezing

- Rapid freezing in liquid ethane leads to formation of vitreous ice.
- Thin ice is required, as the contrast between sample and buffer is low.
- Imaging has to occur at liquid nitrogen temperature to avoid ice contamination.

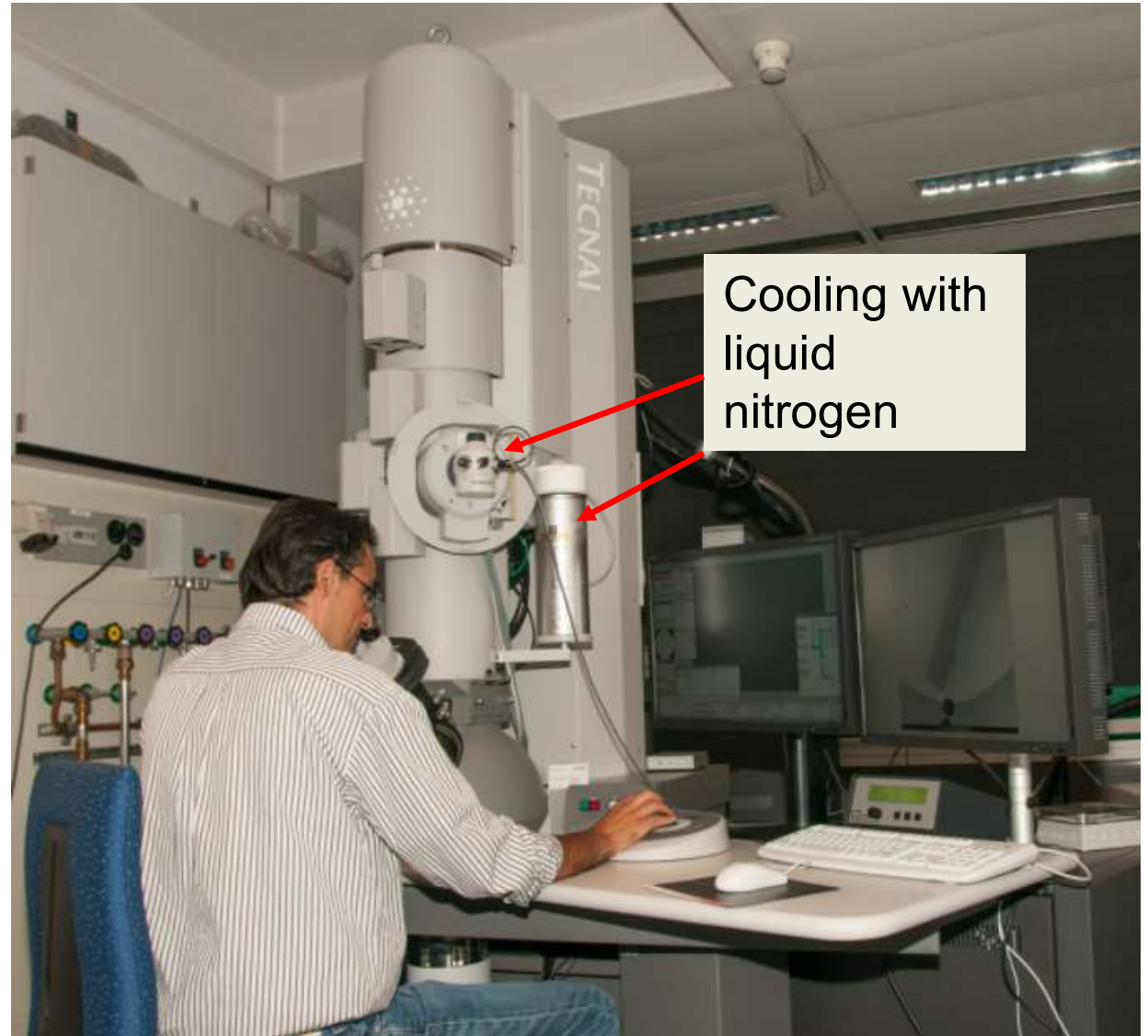
Video on vitrification:

[https://www.youtube.com/watch?v=QML\\_KMQbOMc](https://www.youtube.com/watch?v=QML_KMQbOMc)



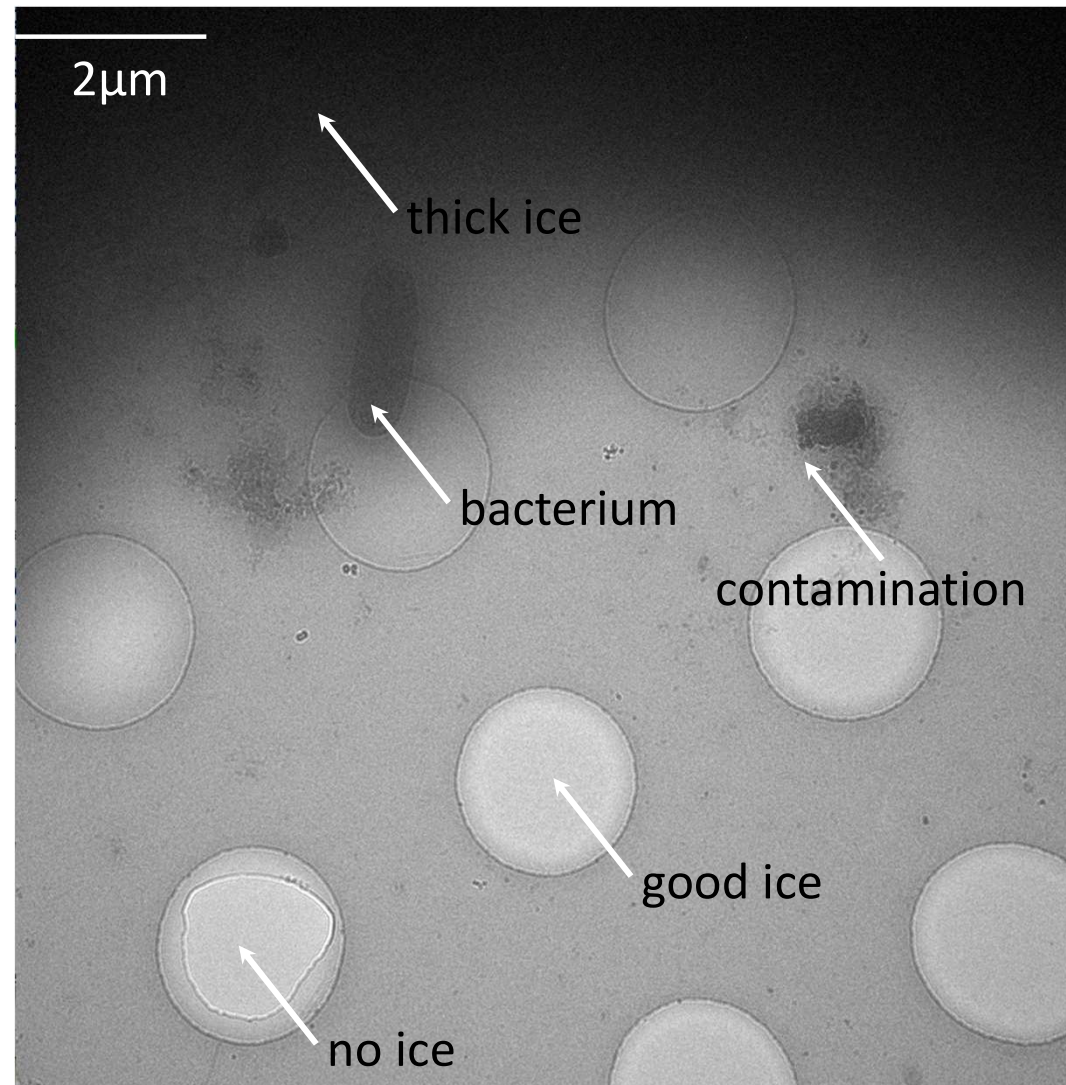
# Cryo-Microscopy

Insert specimen holder  
into microscope





# Ice thickness and contamination

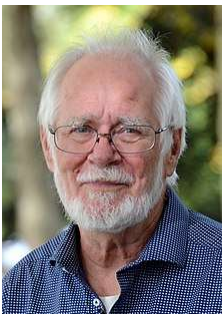




# Cryo-EM Sample Preparation

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- Water, or aqueous sample cannot be imaged in the **high vacuum of the electron microscope**.
- **Sample must be frozen** and imaged at cryo-temperatures (cooling with liquid nitrogen,  $-180^{\circ}\text{C}$ ).
- Ice crystals are black in the electron microscope, so the freezing step has to be very fast -> **flash freezing, avoiding ice crystal formation**.
- The **ice layer must be thin** to be able to see the sample in the ice, optimal contrast is achieved when the ice is just a bit thicker than the sample itself. Larger proteins/ complexes are easier to see.



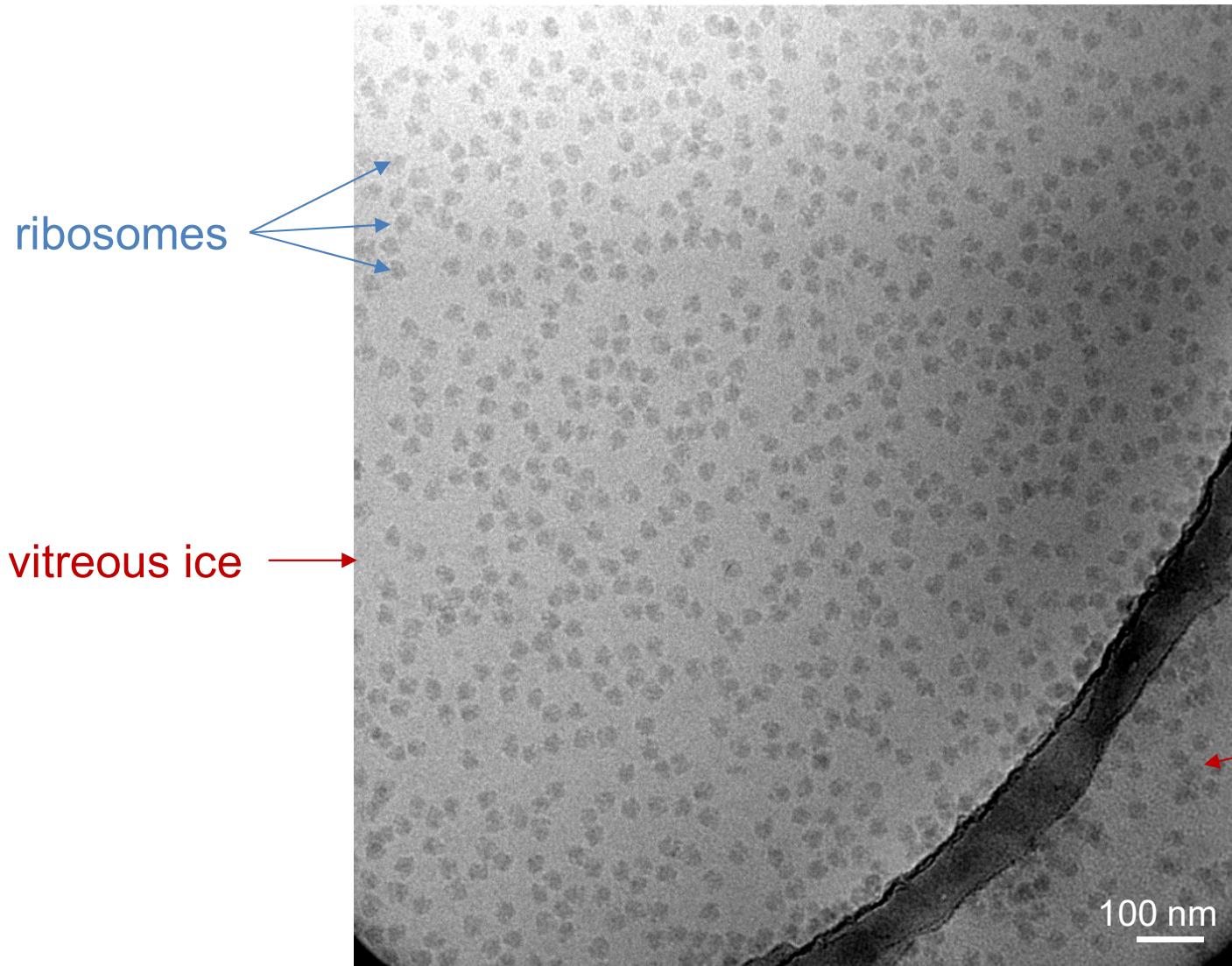
Jaques Dubuchet, Nobel prize in Chemistry 2017

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Richard Henderson, Nobel prize in Chemistry 2017

# Cryo-Electron Microscopy



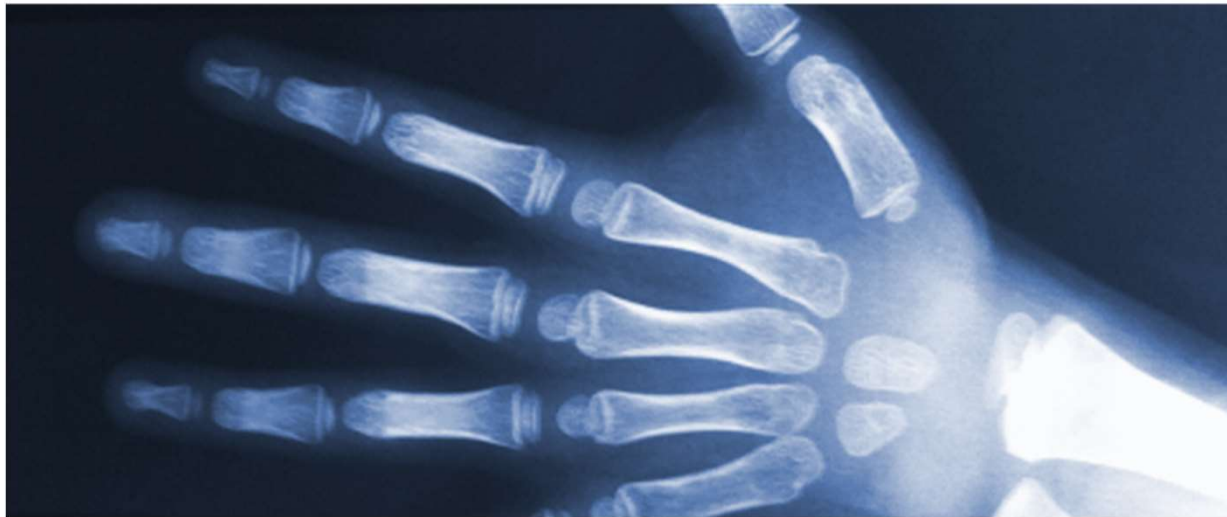
Talos Arctica

Holey carbon foil

# What is a Cryo-EM Image?

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It is a projection image.



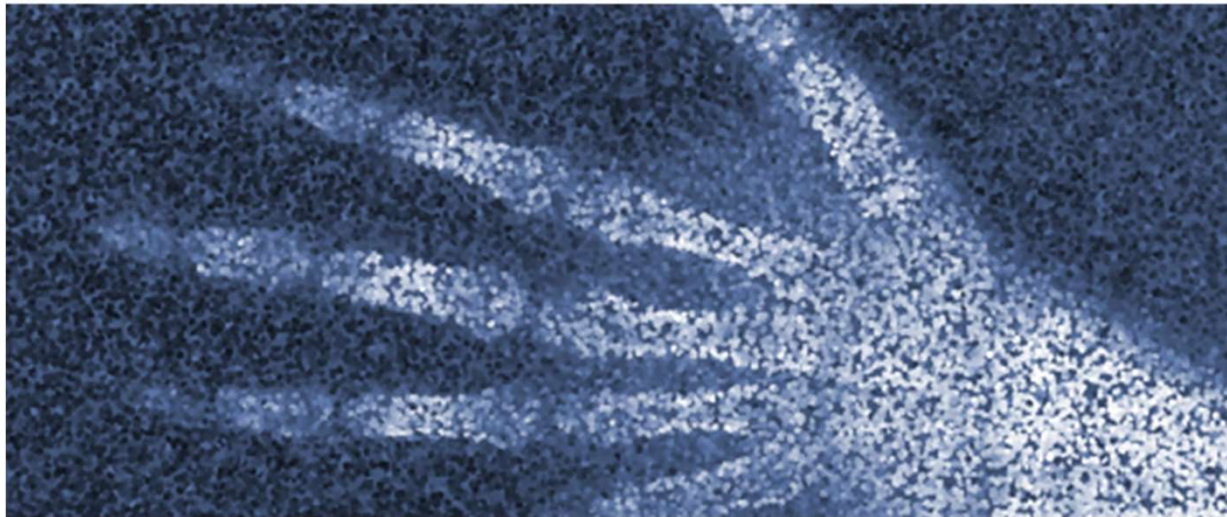
Mark Ian Berger



# What is a Cryo-EM Image?

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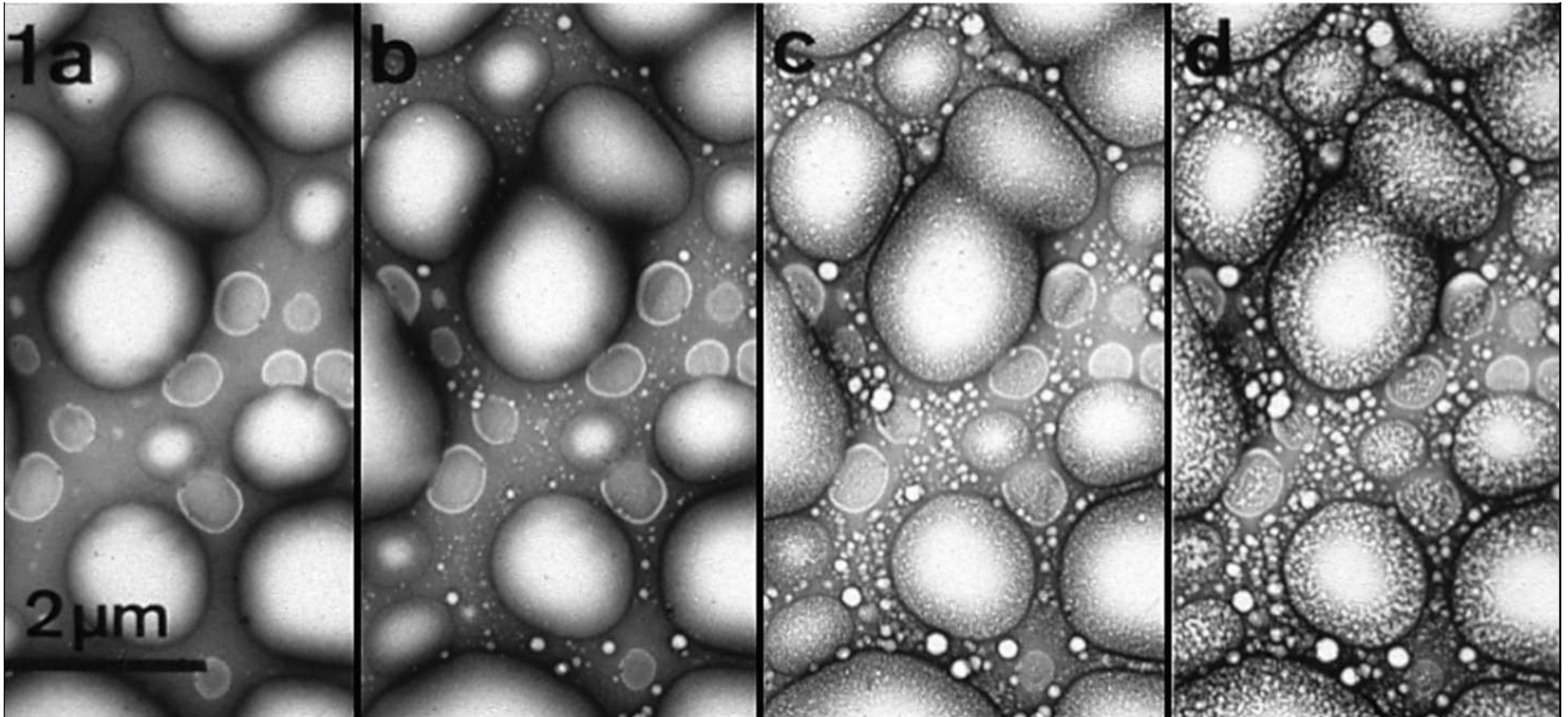
It is a **very noisy** projection image.





# Radiation Damage: the Major Factor that Limits the Attainable Resolution of Cryo-EM Structures

It is noisy because of limited electron dose.



Slide: Andy Hoenger



# Radiation Damage: the Major Factor that Limits the Attainable Resolution of Cryo-EM Structures

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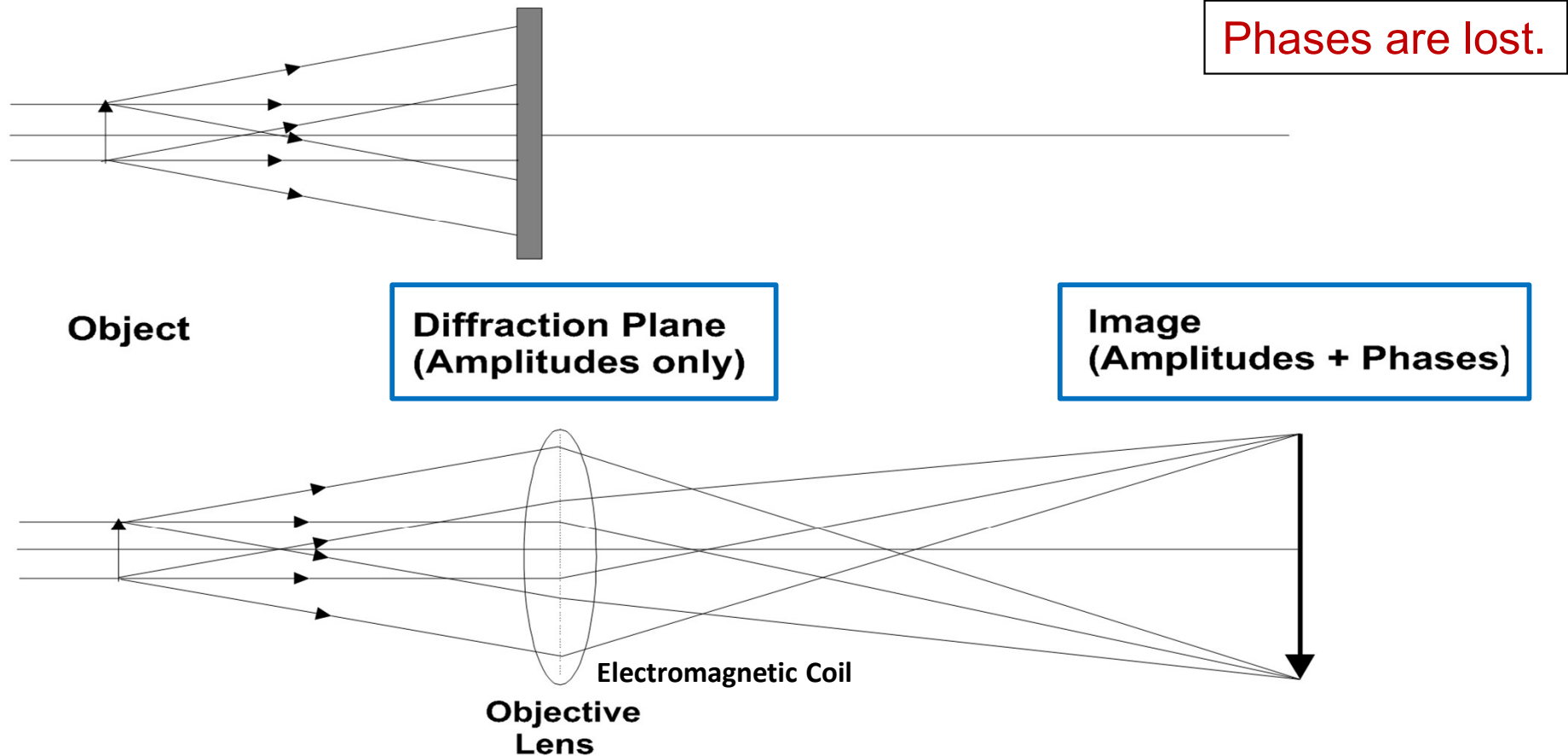
**Radiation Damage, another example...**





# EM Images contain Amplitudes and Phases

## X-RAY DIFFRACTION



## ELECTRON MICROSCOPY

Electrons can be focussed (unlike X-rays)



# Direct Electron Detectors caused the Resolution-Revolution in cryo-EM

Signal to noise ratio is the major challenge in cryo-EM.

New Direct Electron Detectors contain  
Complementary Metal Oxide  
Semiconductor (CMOS) chips.

Advantages :

- More sensitive
- Fast
- Super-resolution imaging

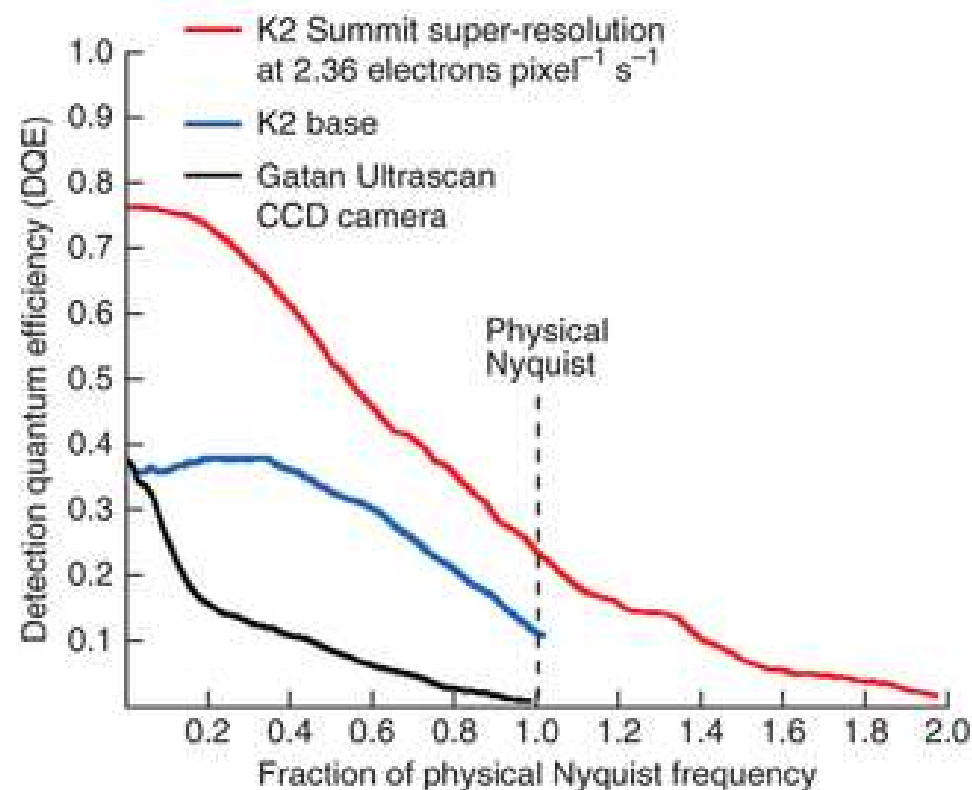
## Glossary:

Nyquist frequency: The Nyquist Sampling Theorem states that the sampling frequency (Pixel Size) should be at least twice the highest frequency contained in the signal. Small structures are said to have a high frequency.

I.e. to resolve features of 4Å, a pixel size of at least 2Å/px is required.(protein alpha-helical pitch: 5.4Å)

DQE: frequency-dependent measure for signal to noise ratio performance

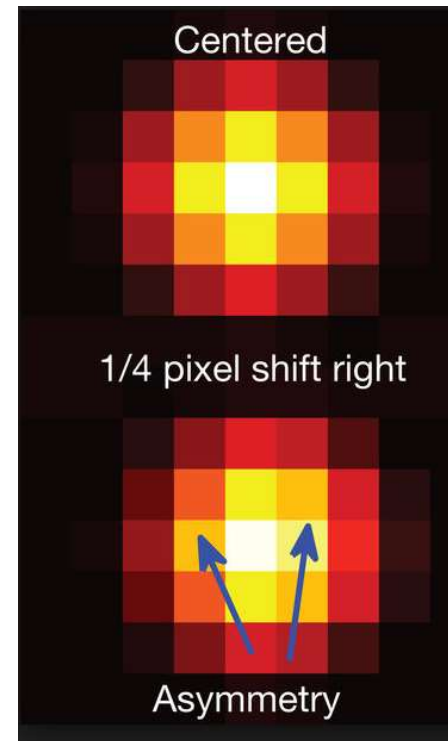
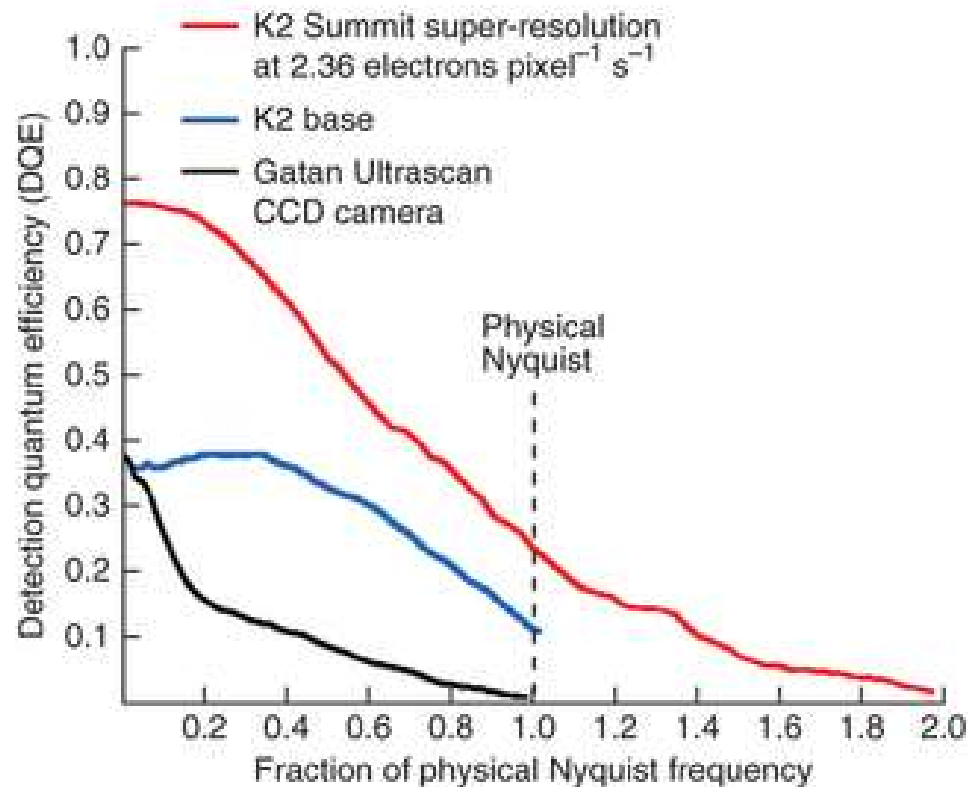
Increased sensitivity:



*Li et al., Nat. Methods 2013*

# Direct Electron Detectors – Super Resolution Imaging

Increased sensitivity:



Why does this work?  
Because the electron  
dose is low!

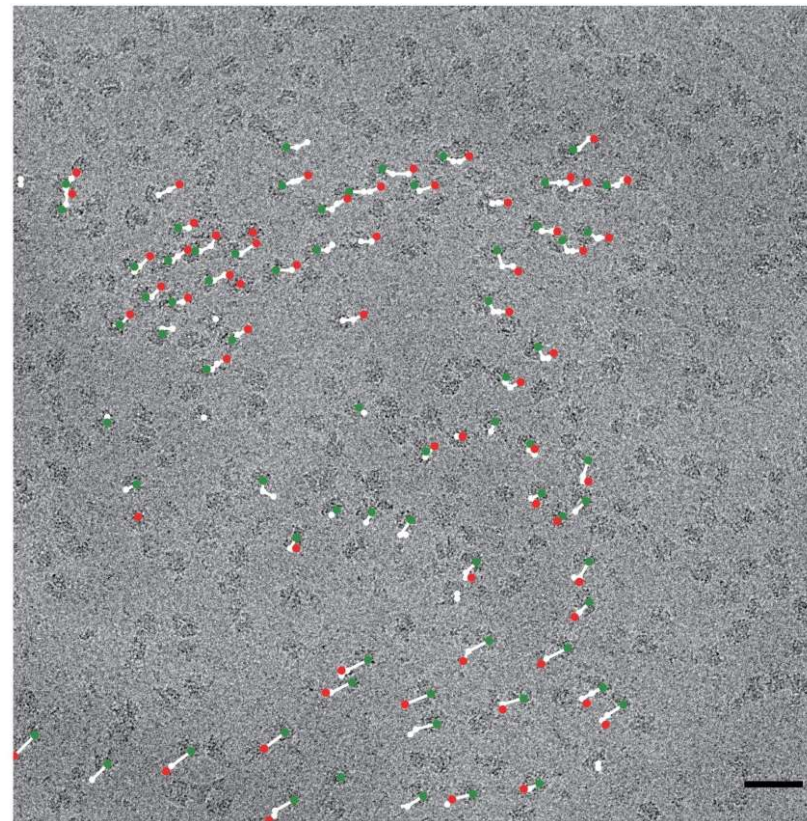
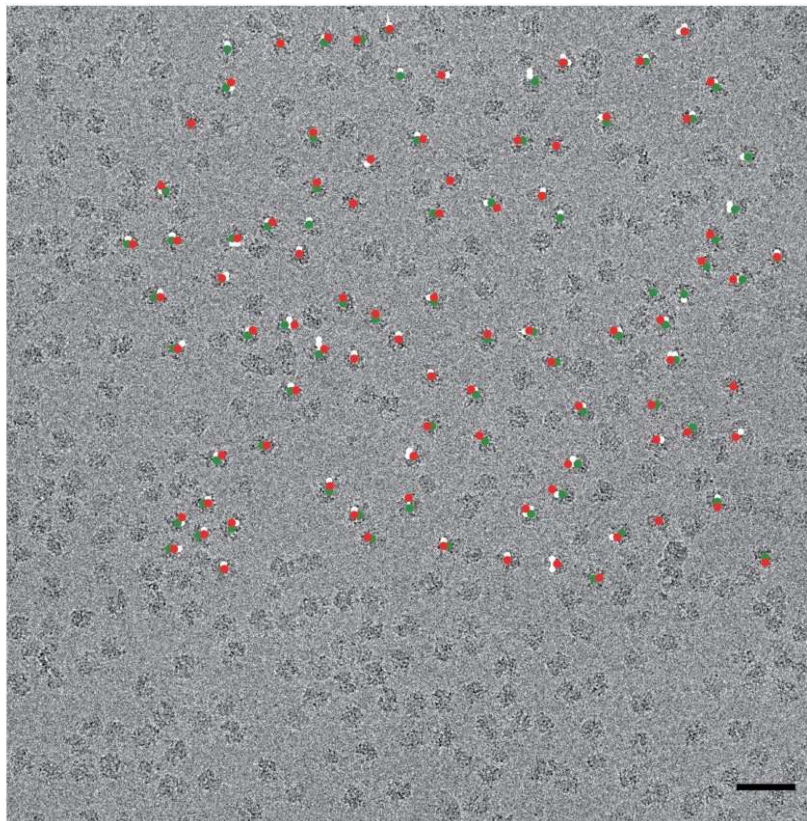
DQE: frequency dependent measure for signal to noise ratio performance

*Li et al., Nat. Methods 2013*



# Motion Correction corrects for stage movement and charging effects (particle movement)

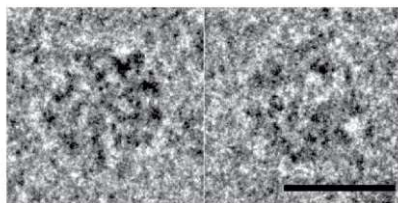
Direct electron detectors record **movies**, e.g the new K3 camera records **1,500 frames/sec** (leading to data storage problems!)



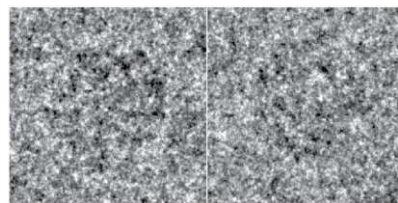
25-fold  
exaggerated  
particle  
movement

10 Å  
movements!

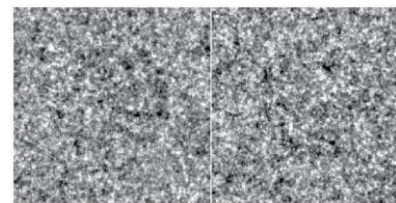
50 nm



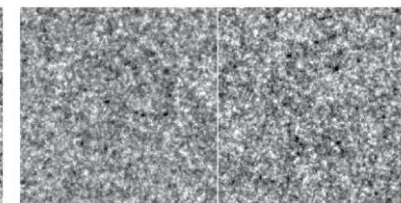
Average from 16 frames



Average from 4 frames



Average from 2 frames



1 frame

*Bai et al., Elife 2013*

bristol.ac.uk

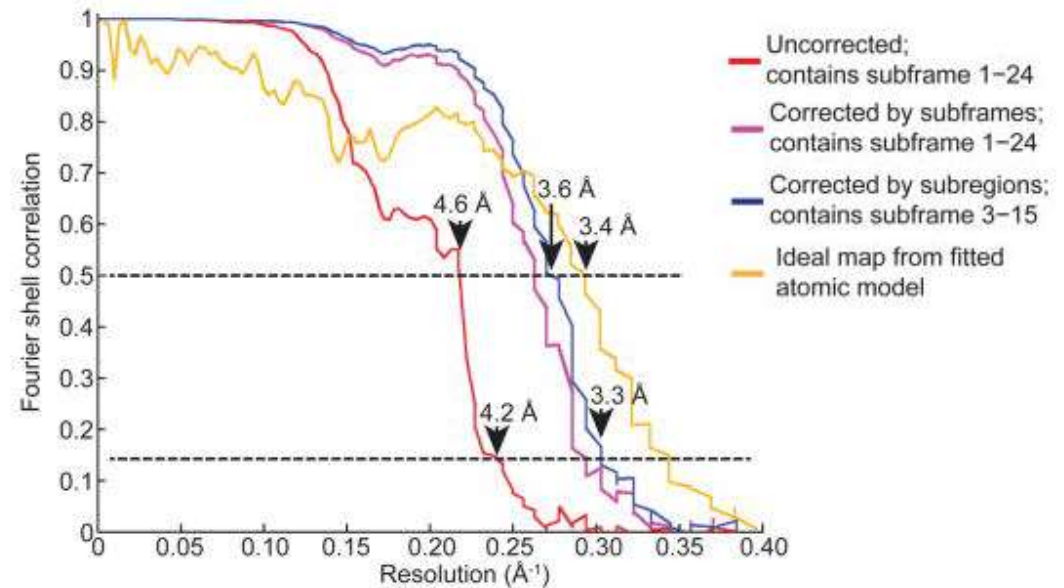
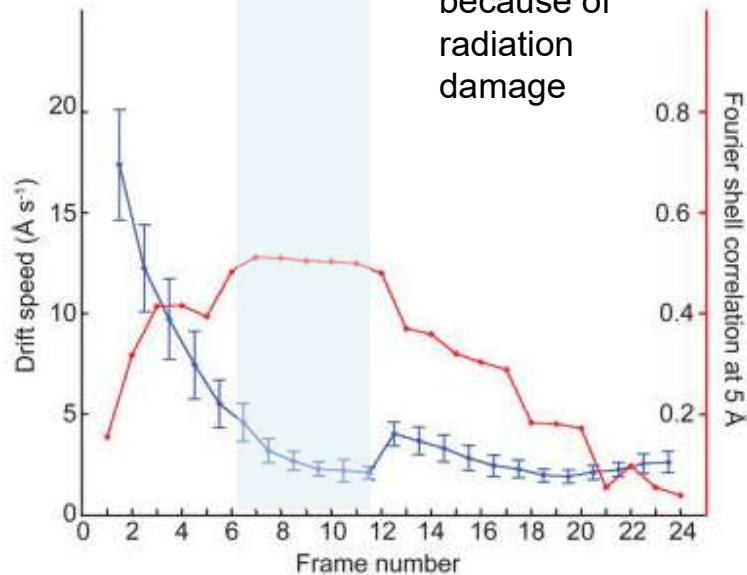


# Motion Correction

charge  
effects,  
large particle  
movement

**Best  
frames**

Lower  
resolution  
because of  
radiation  
damage



Motion correction:

- correct for Stage/ Particle movement
- reject frames due to large motion and beam damage

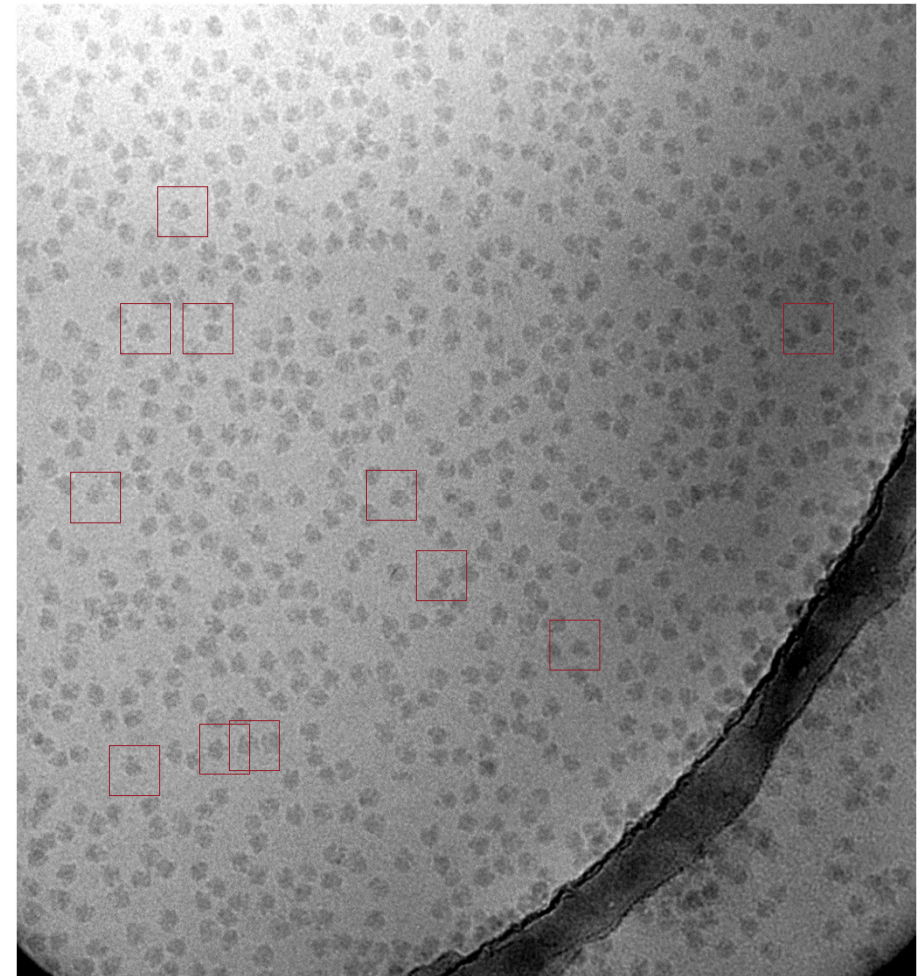
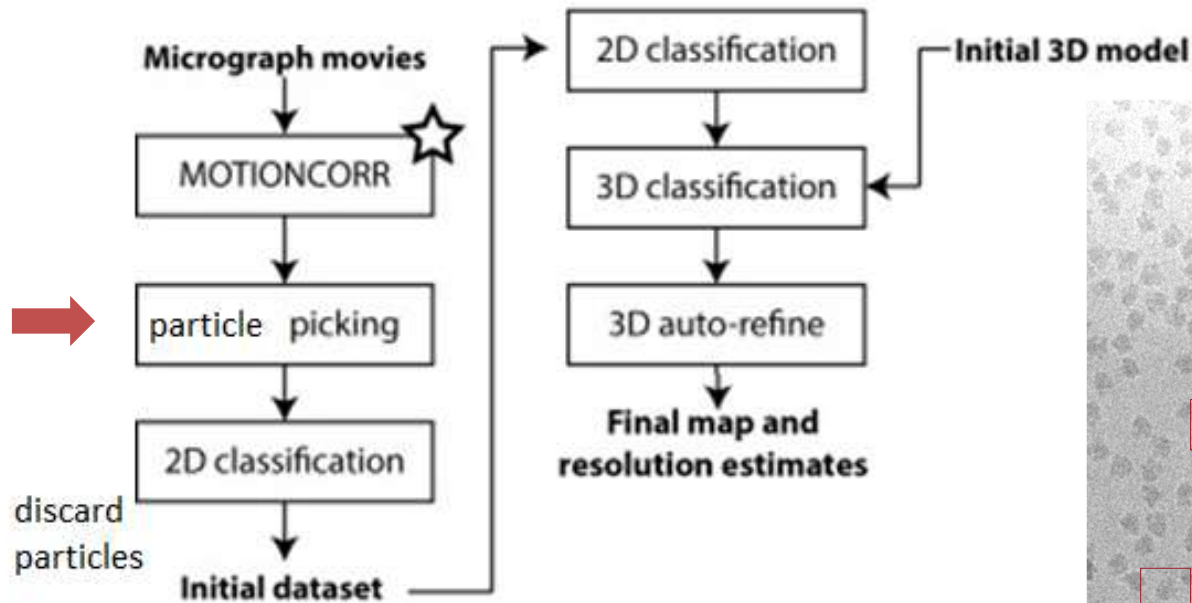
**Gain in resolution: 1-2 Å**

*Li et al., Nat. Methods 2013*



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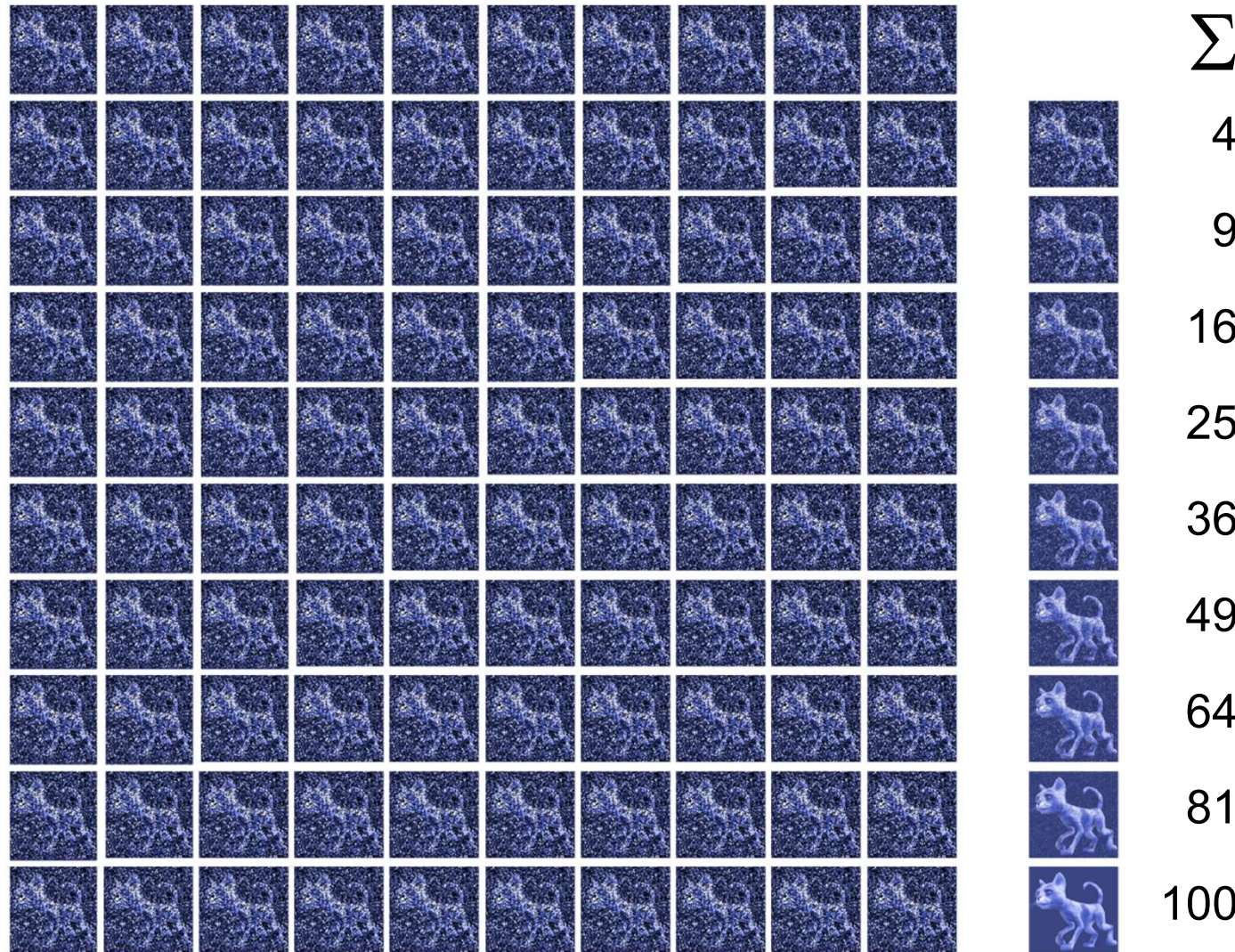
# Image Processing Workflow



Particle picking:  
selection of particle images from a  
micrograph, resulting in a large data set  
each having one particle per image.



## 2D Image Processing: Averaging

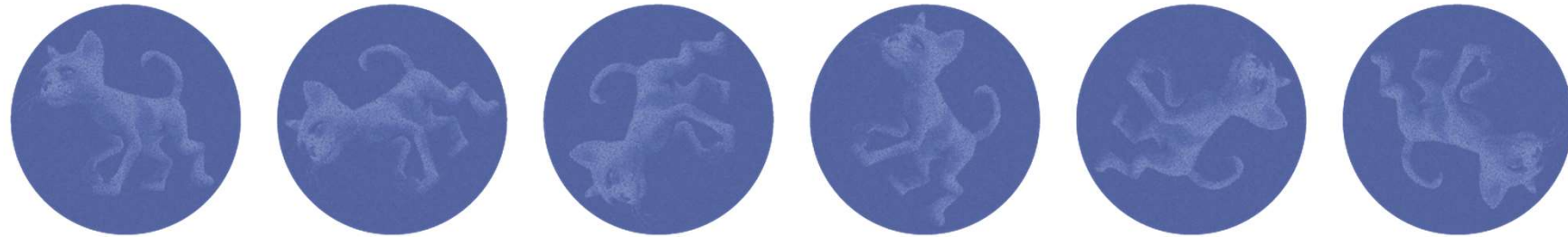


1. We need to average over many images.

2. We need to obtain identical particles (requires computational sorting).

Signal-to-noise ratio grows with  $\sqrt{n}$

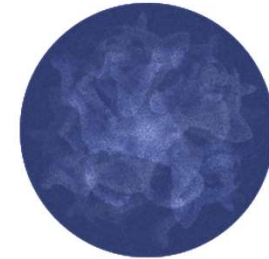
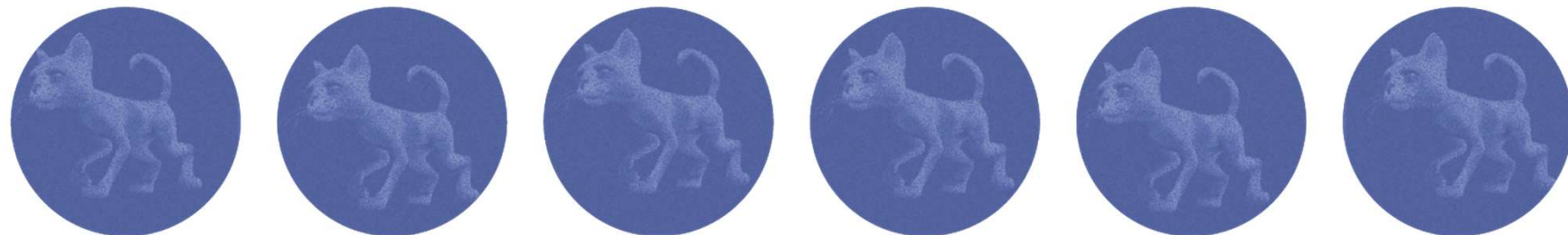
# Image Processing: Alignment



Averaging requires alignment:  
**Shift and Rotation**



2D Alignment



Alignment works better for larger particles (more features).



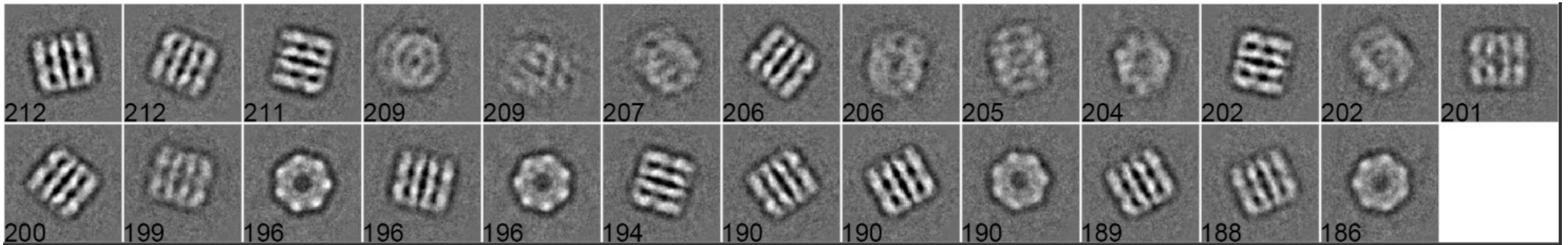
Alignment - Averaging works only if:

1. All particles are identical.
2. All particles are seen from the same side.

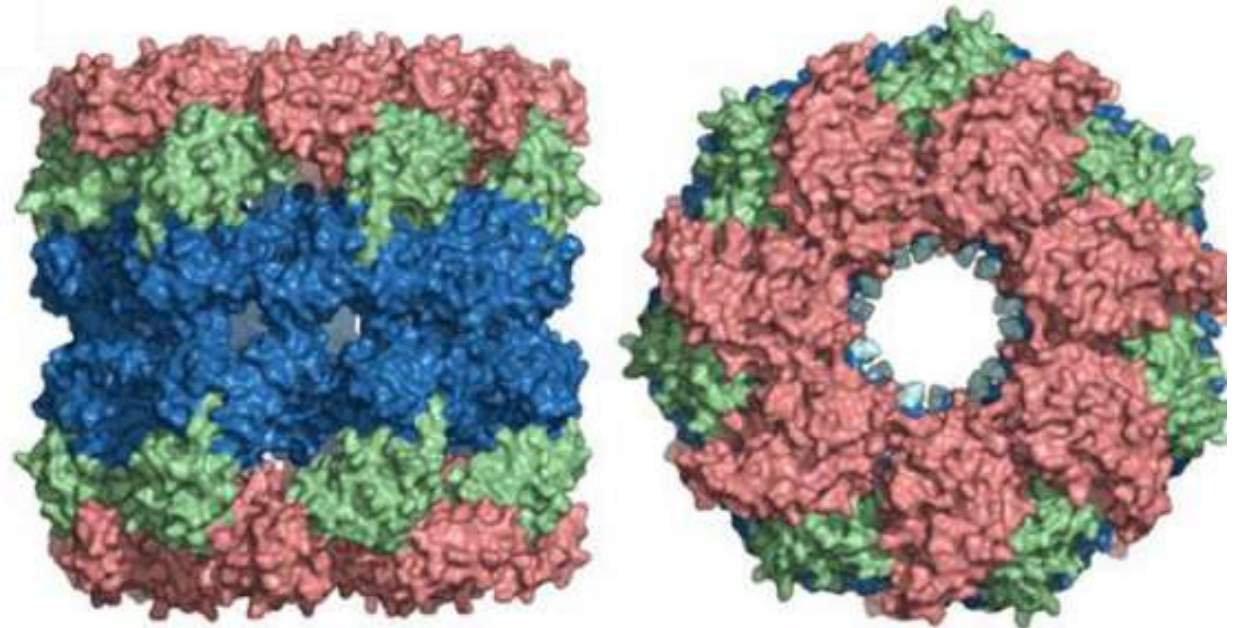
...usually both is not the case.

# Alignment-**Classification**-Averaging

GroEL chaperone, 2D class averages



GroEL 3D structure from two views (top & side)

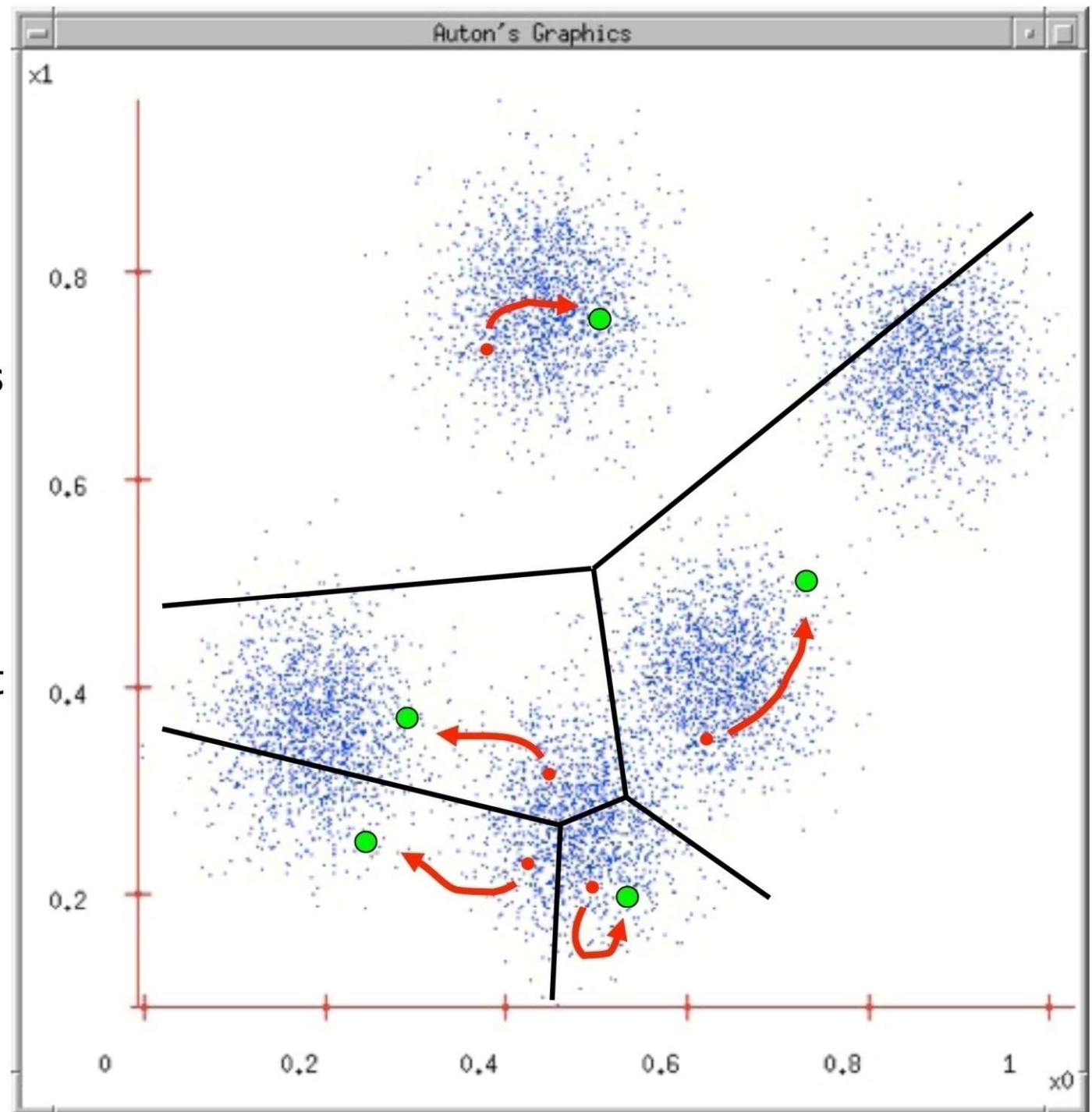


## Classification:

- Each image is compared with all other images on a single pixel basis
- Distances between images are related to the similarity between the images
- Clustering identifies similar/close images and follows e.g. k-means algorithms.

# K-means

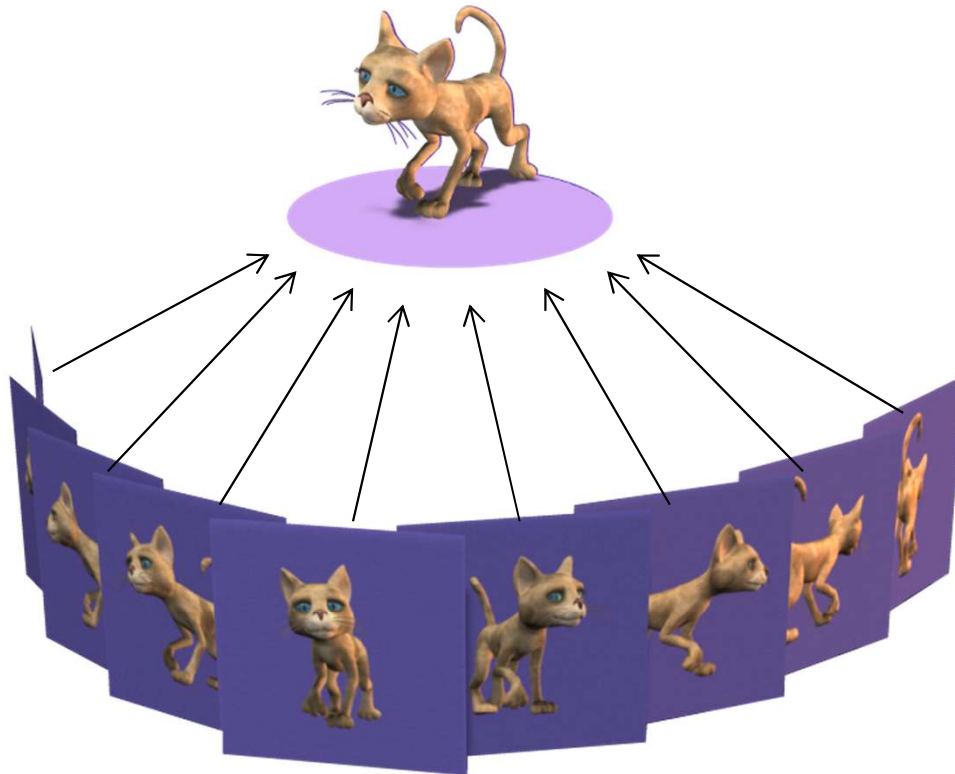
1. Ask user how many clusters they'd like.  
(e.g.  $K=5$ )
2. Randomly guess  $k$  cluster Center locations
3. Each datapoint finds out which Center it's closest to.
4. Each Center finds the centroid of the points it owns





# We need an 3D Initial Model for structure calculation – e.g. from Tomography or *ab initio* Calculations

Tomography

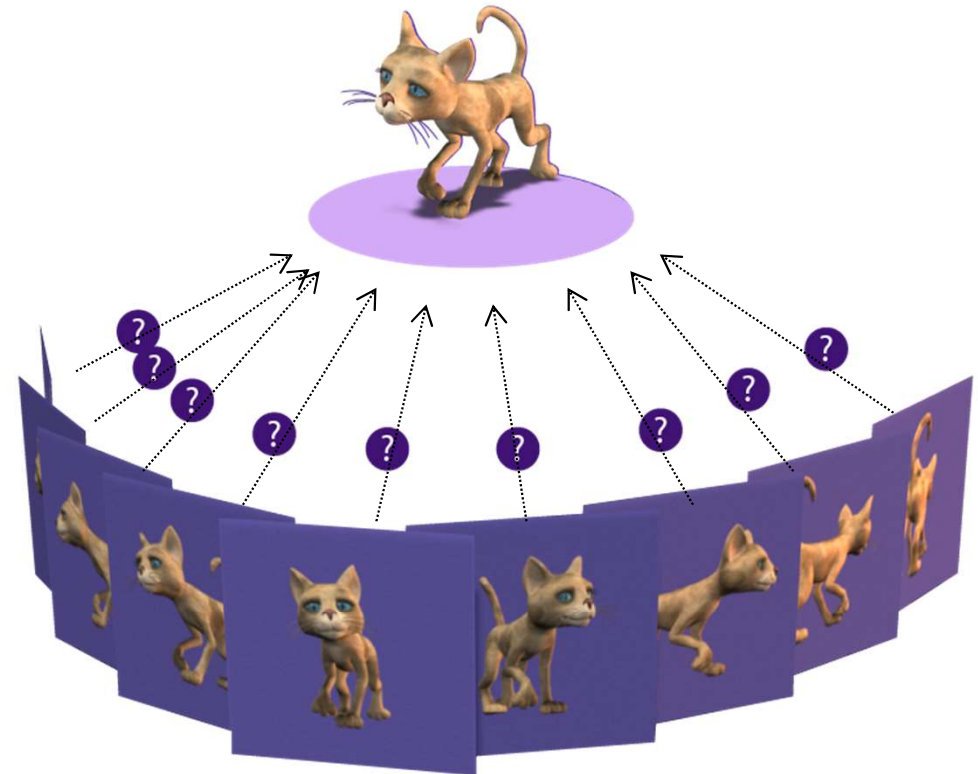


Split the electron dose.

**Tomography:** One object is turned in the electron beam (need to reduce electron dose)  
→ many 2D images from one object with known orientation

3D Reconstructions from Tomography have low-resolution and missing information because a 90° rotation is not possible.

Single Particle Analysis

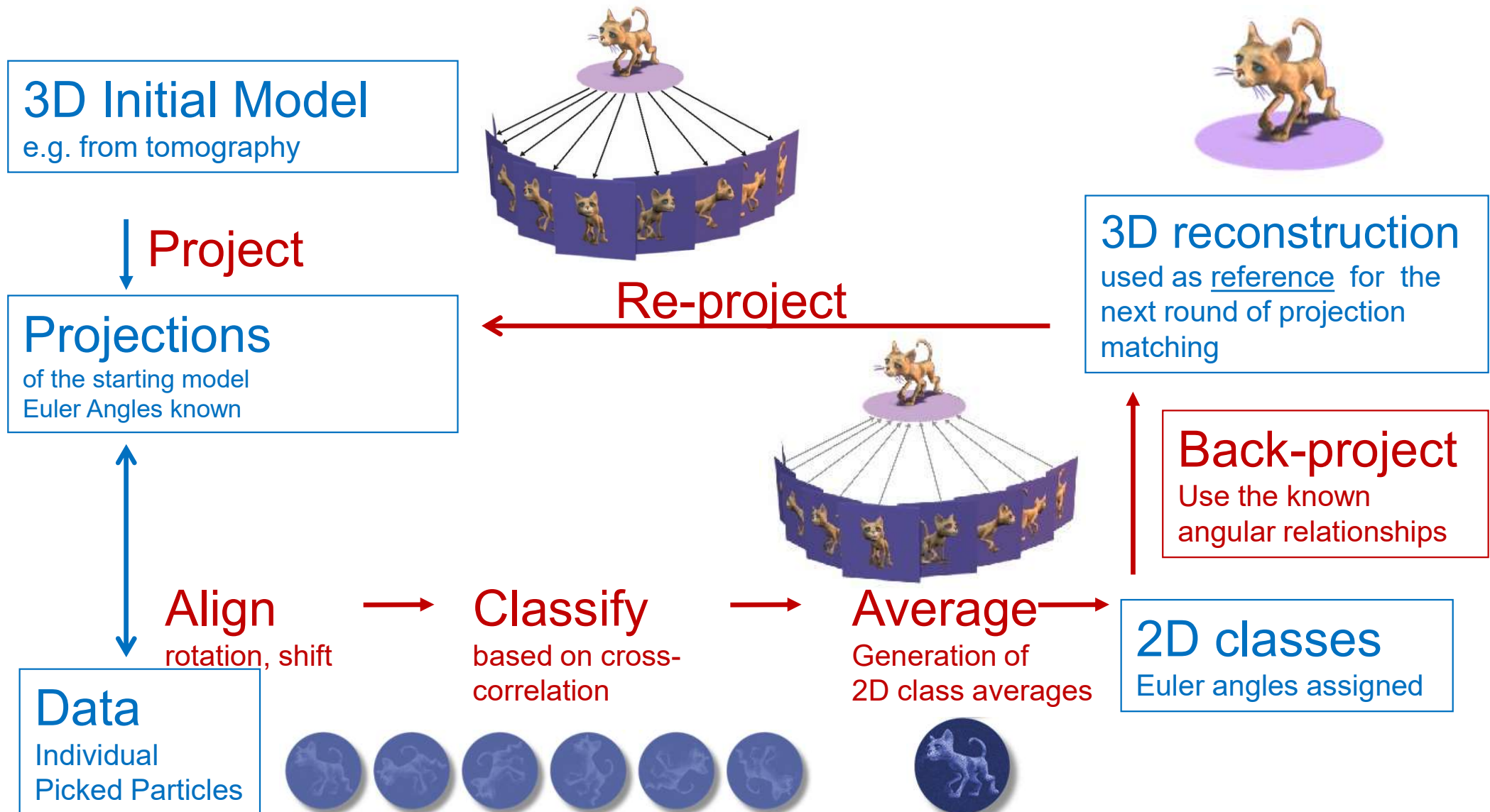


Use the max. electron dose for one image.

Many particles with unknown orientation  
→ 3D Initial Model required



# Workflow: 3D Reconstruction



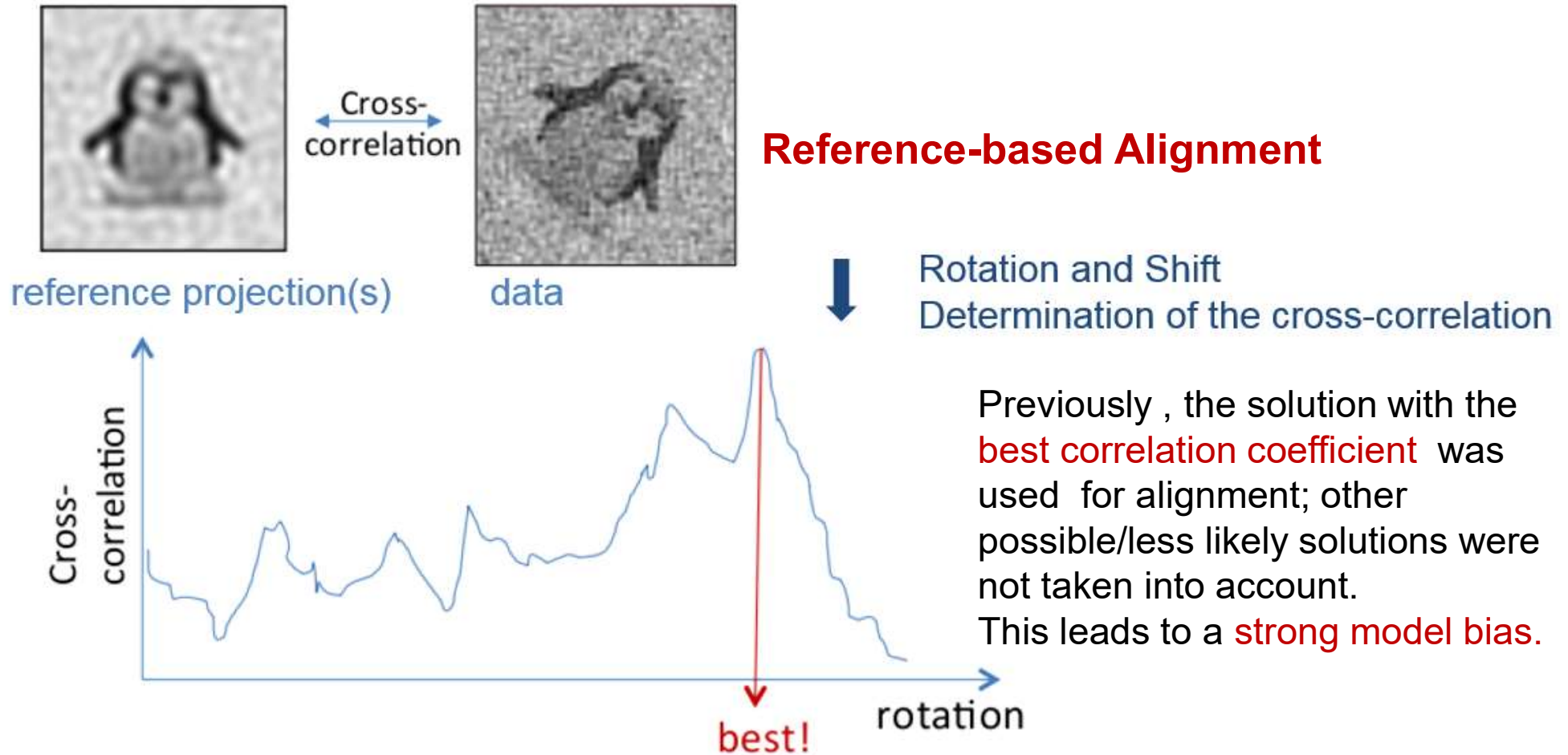
# Electron Microscopy



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# Increased Computational Power allows to use Maximum Likelihood Algorithms

Initial model, 2D



max. cc , real space alignment





# Maximum Likelihood Algorithms are computationally intensive:

... because you do not assign discrete orientations to the data and make hard decisions if the noise in the data does not allow it.

Initial model, 2D



reference projection(s)

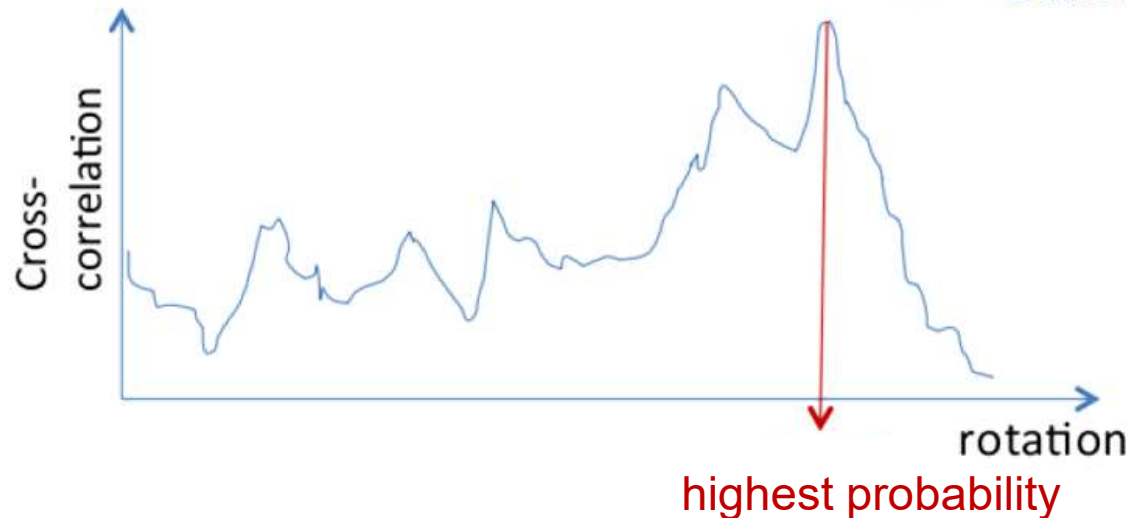
Cross-correlation



data



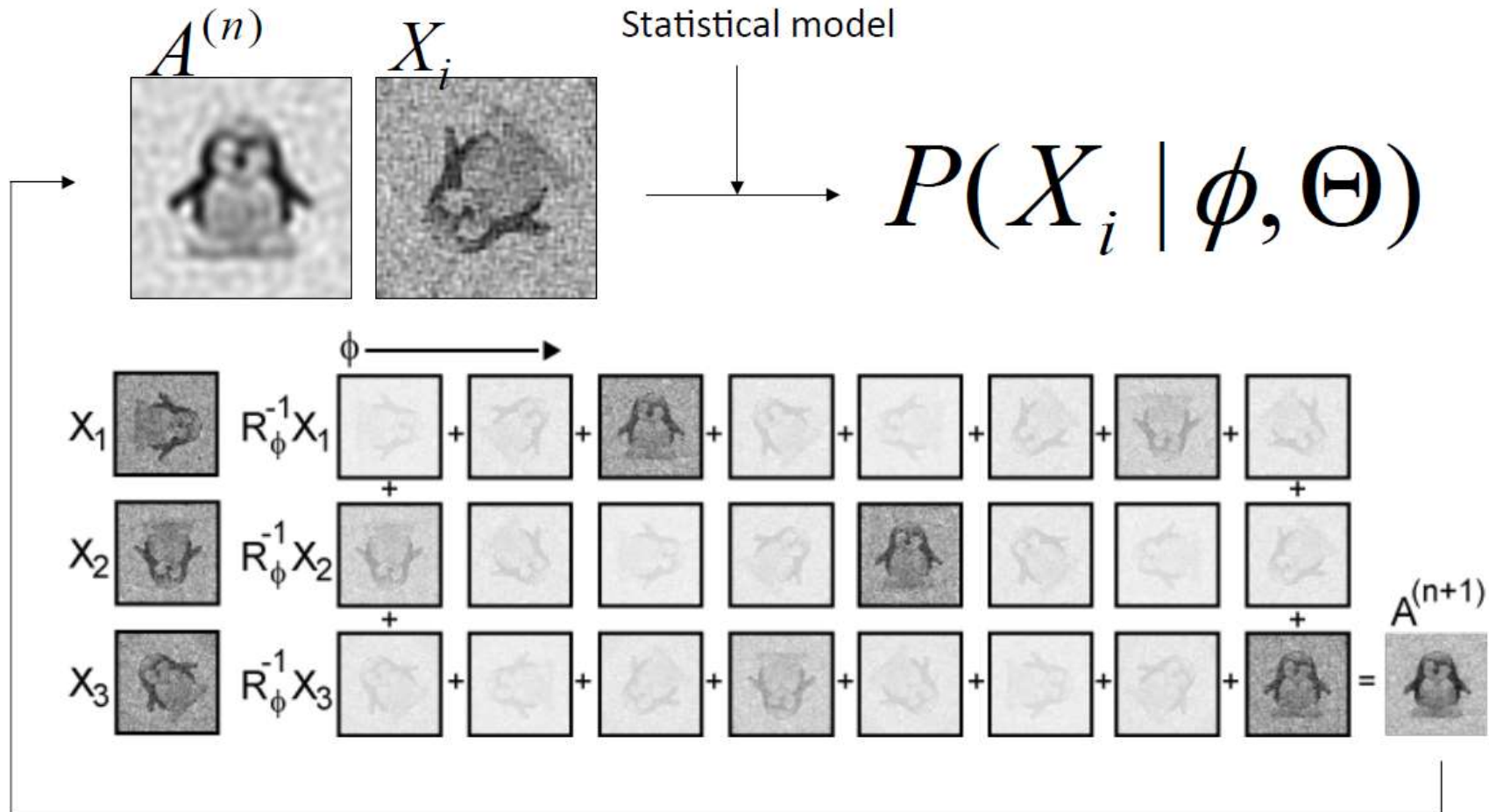
Rotation and Shift  
Determination of the cross-correlation



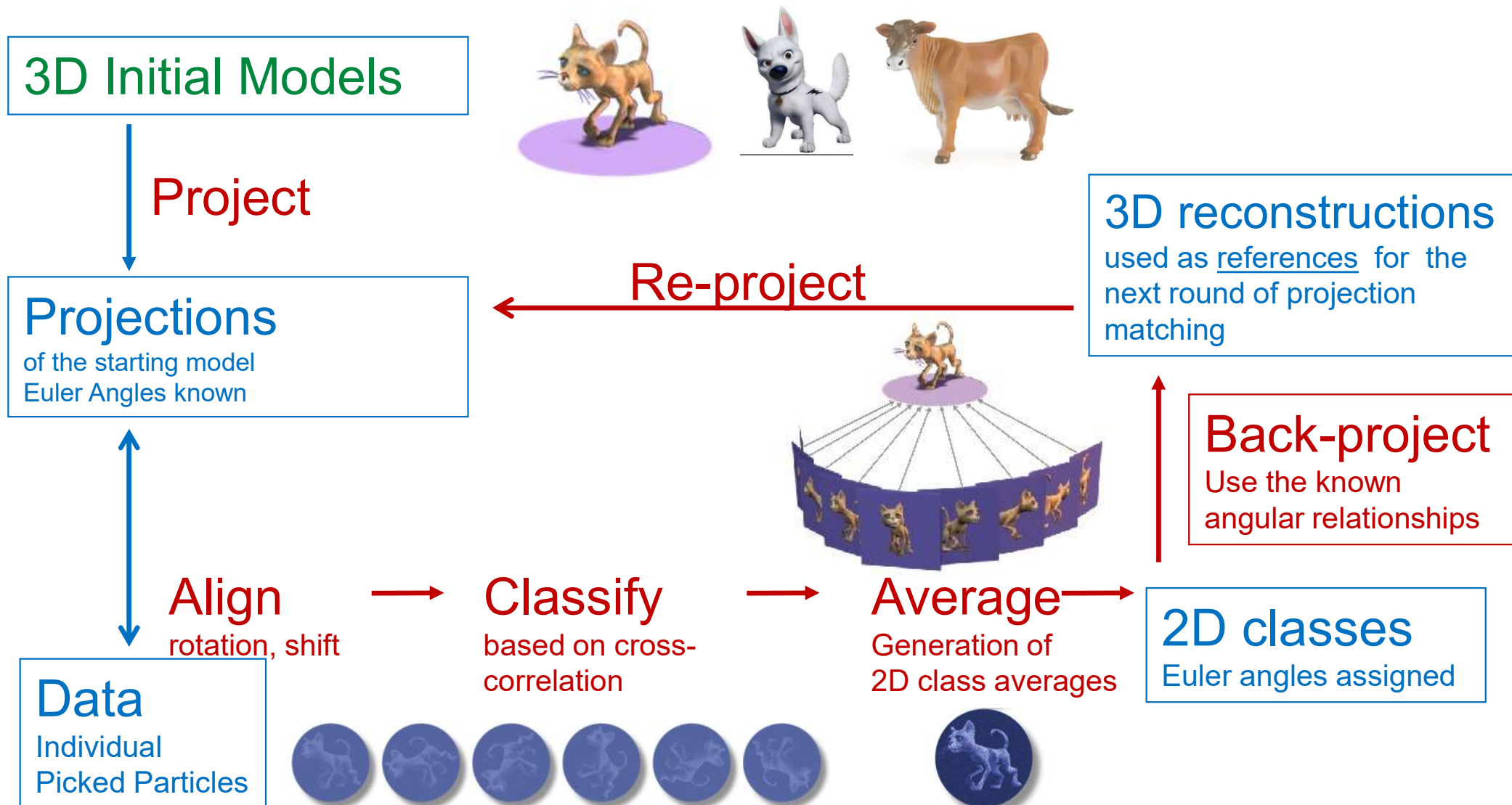
Now, **all solutions** are taken into account, but are weighted by their probability.  
This leads to **less model bias**.  
It also down-weights noisy images with no clear solution.



# Maximum Likelihood Algorithms are computationally intensive:



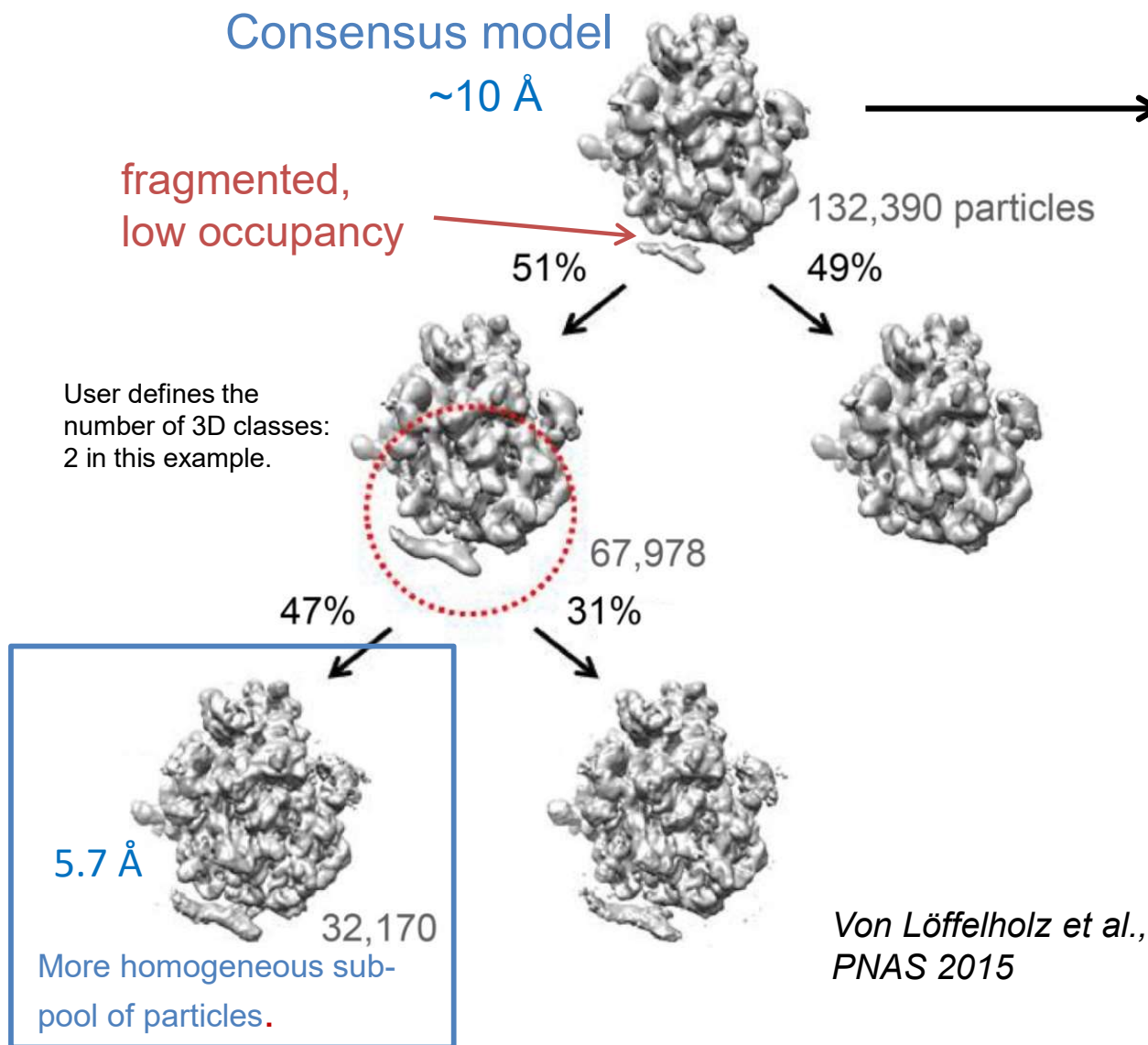
# Workflow: 3D Reconstruction, *Dealing with Heterogeneity*







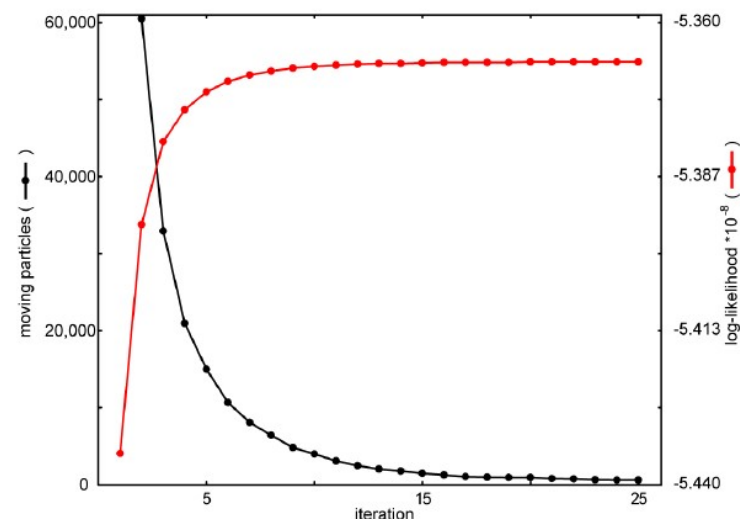
# Dealing with Heterogeneity: 3D Classification yields multiple structures



Filter single consensus model to low resolution (e.g. 80 Å).

User decides on number of 3D classes and programme assigns a random class to each particle in the 1<sup>st</sup> iteration.

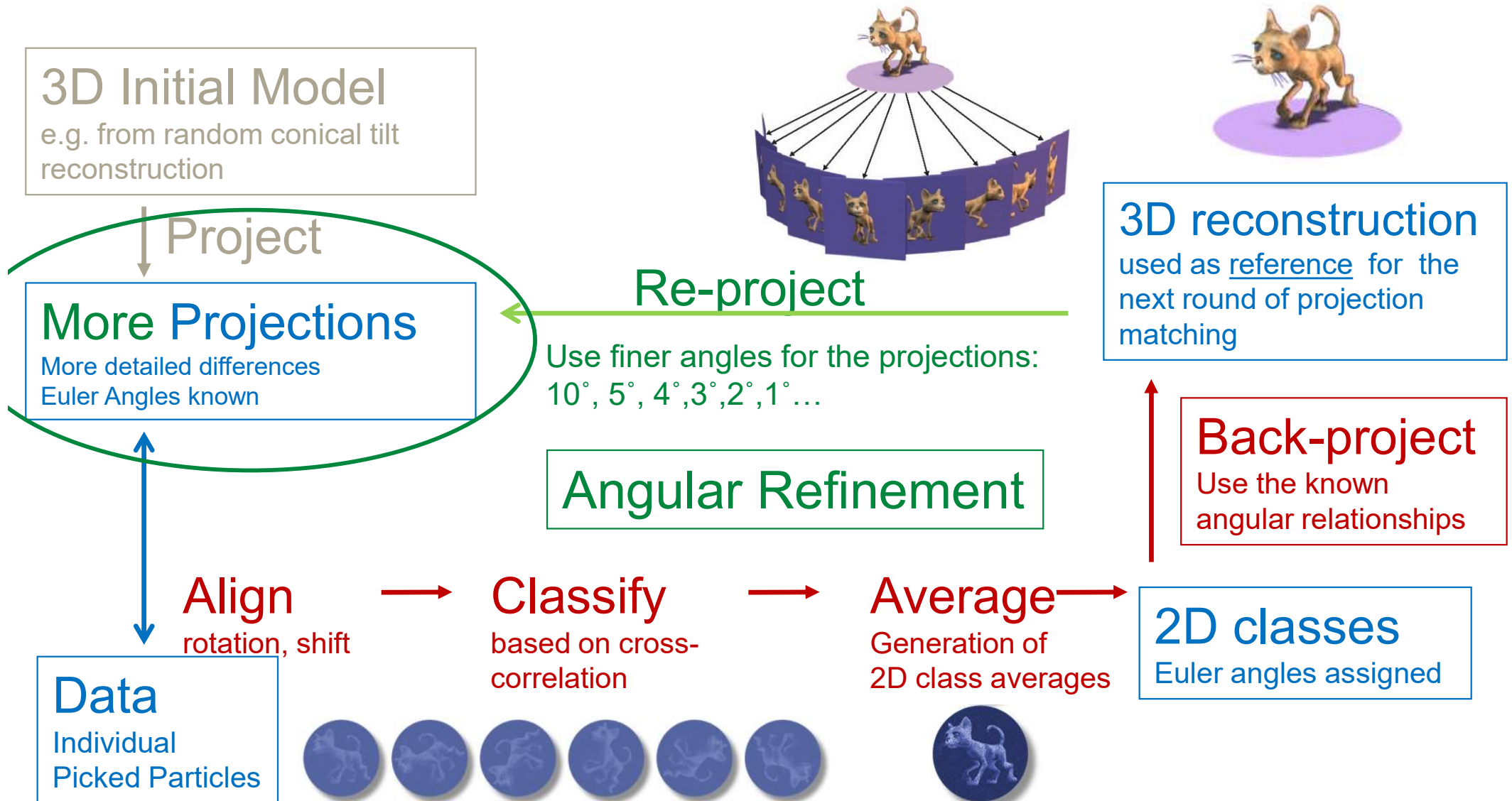
Run many iterations until the maps are stable.



Scheres et al., Nat. Methods 2007

This allows classification without prior knowledge of the differences between the structures present in the data.

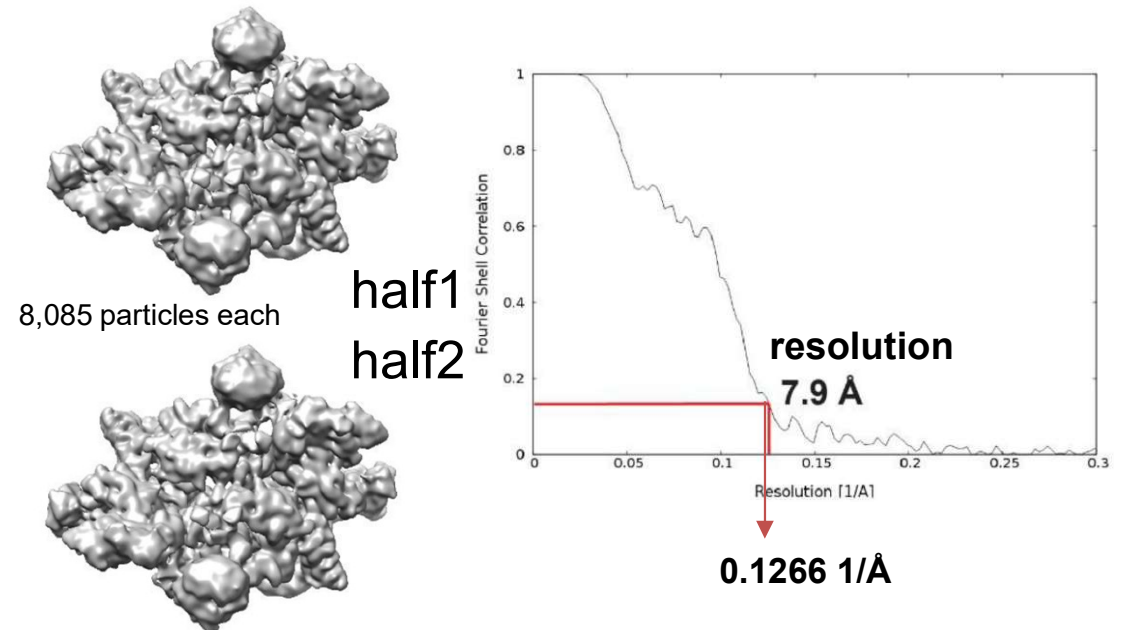
# 3D Refinement, Angular Refinement



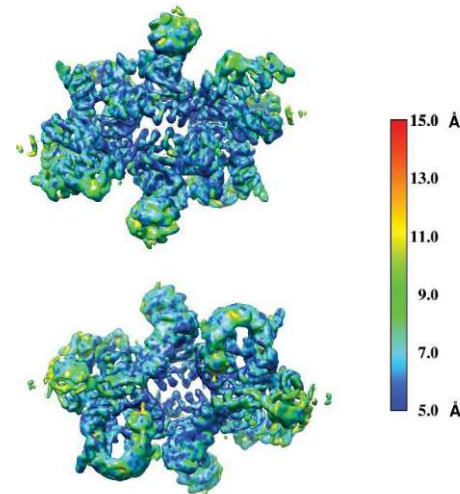
# Assessing the Quality of the Structure: Determine the Resolution

## Gold standard refinement:

- Split your final particle pool randomly into two.
- Start from the low resolution model and independently refine the two structures.
- Determine (in Fourier space) the correlation at different resolution ranges of the two independent structures = Fourier shell correlation curve.
- A Fourier Shell Correlation Criterion of 0.143 is used to determine the resolution.

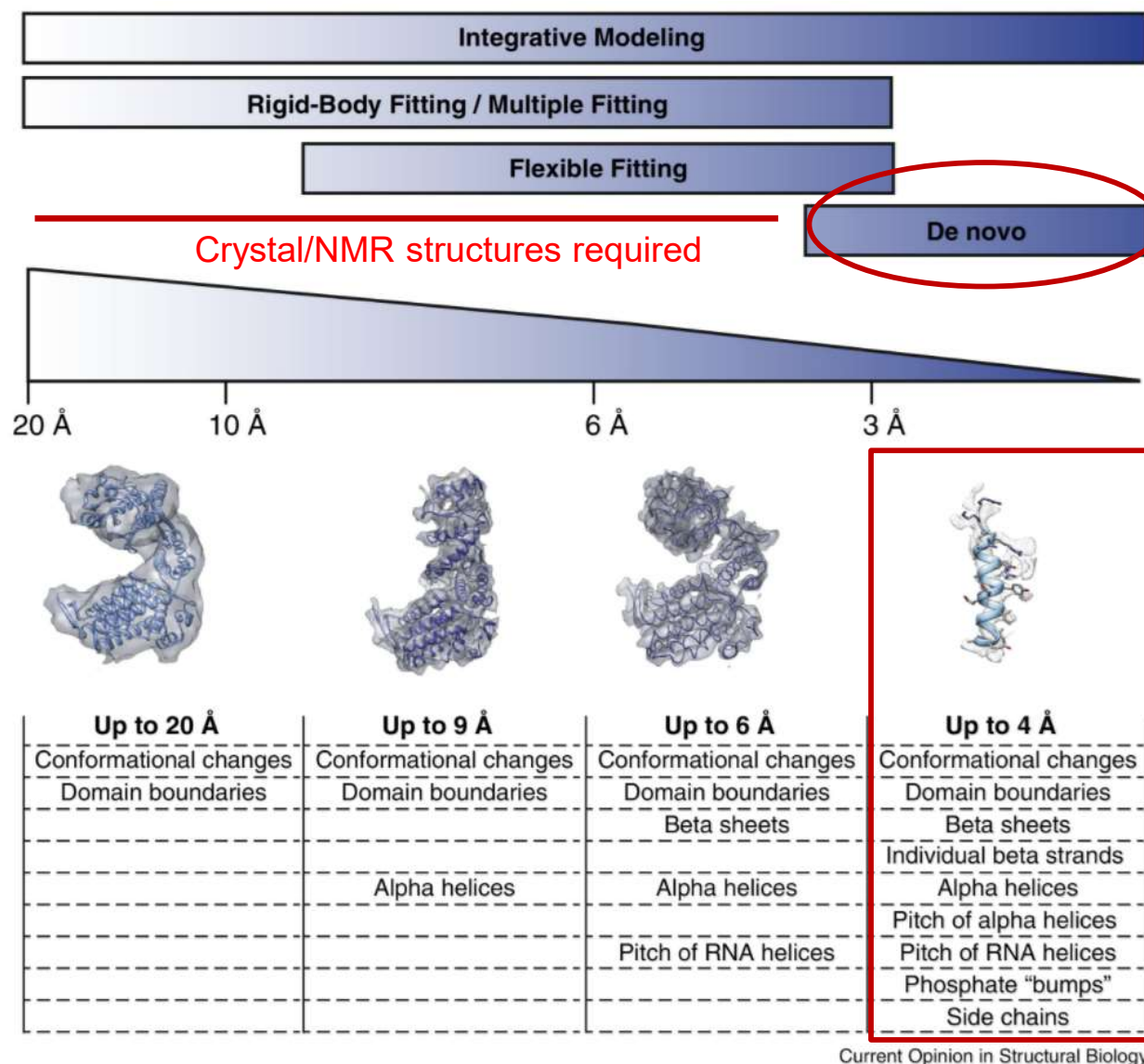


It is important to consider the **local resolution**: not all parts of a structure are equally well resolved.





# Interpretation of EM Structures



Ideal case,  
no further  
information  
required.

Model can be built  
*de novo*

Villa & Lasker, CoSB 2016

Current Opinion in Structural Biology



# Atomic Resolution Electron Microscopy

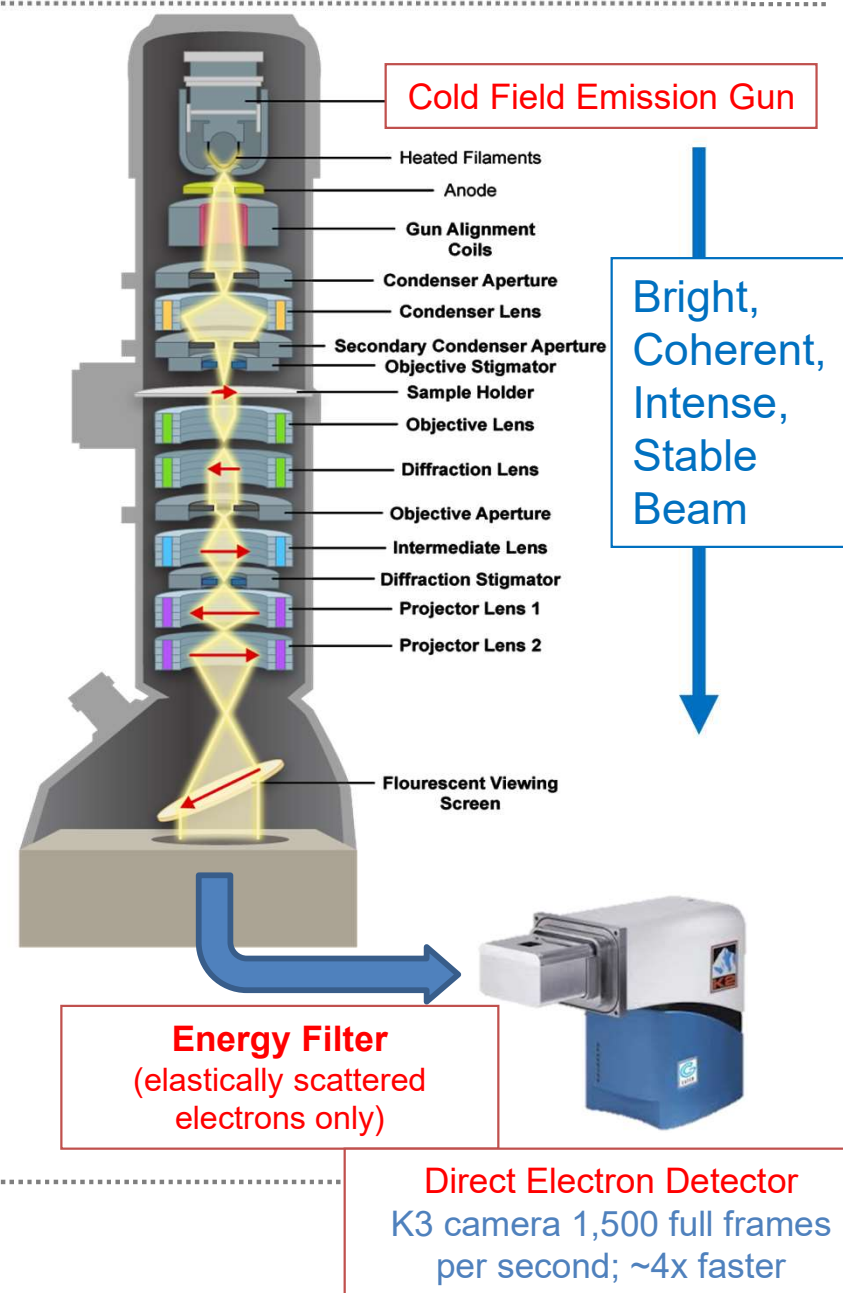
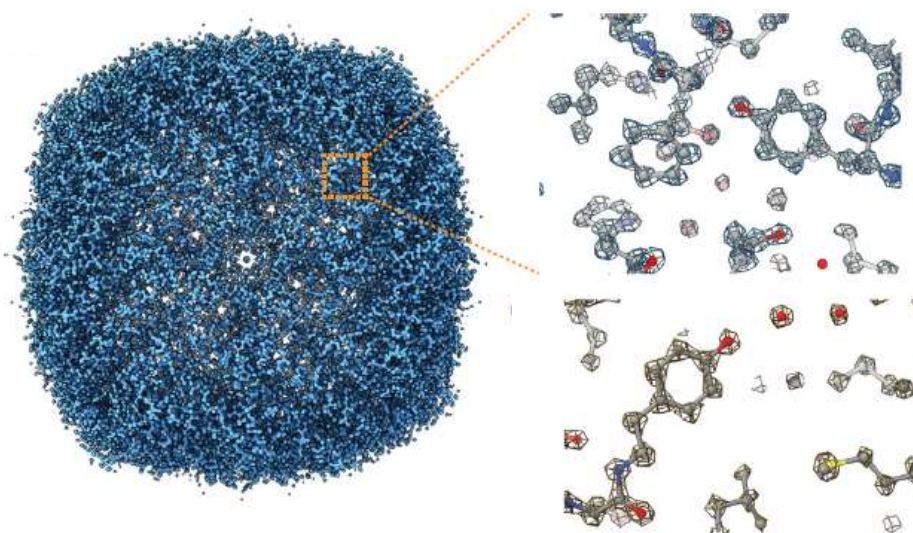
LMB Cambridge team (Nakane et al, *Nature* 2020):

- better electron source (beam with narrow energy spread)
- more stable energy filter (removes inelastically scattered electrons more efficiently)
- new generation of DED (faster, more sensitive)
- optical aberration corrections during image processing

MPI team (Yip et al, *Nature* 2020):

- Home-build microscope with advanced electron-optical devices: monochromator and spherical aberration corrector

-> more coherent electron beam and reduce optical aberrations.



# Summary

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## Advantages:

1. The sample is studied **in solution**, at near-native conditions
2. Small **amount of sample** required
3. No **crystallisation** necessary
4. It is possible to study large macromolecular complexes and membrane proteins, i.e. **samples which are difficult to crystallise**
5. **Computational sorting** allows insights into the **dynamics** of macromolecular machines: more than one structure can be solved from one sample, revealing different functional states.
6. **One or several structures can be solved from samples which are not completely 'pure'**, provided the complex of interest can be identified and computationally purified from the contaminations.

## Disadvantages, Limitations and Problems:

1. Low intrinsic contrast of the sample
  2. Radiation damage > **low signal-to-noise ratio** in the images
  3. One image per particle – **very large data sets** required to amplify the signal
  4. Determining and dealing with **heterogeneity (dynamics, partial complexes, contaminations)** is **computationally intensive** and often limits the resolution of the structure. **The basic assumption that the 'sample is homogenous' is usually not correct due to the heterogeneity.**
  5. **Anisotropy resulting from** missing views are a common problem, often due to interaction of particles with the air-water interface.
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Talos Arctica (200kV)

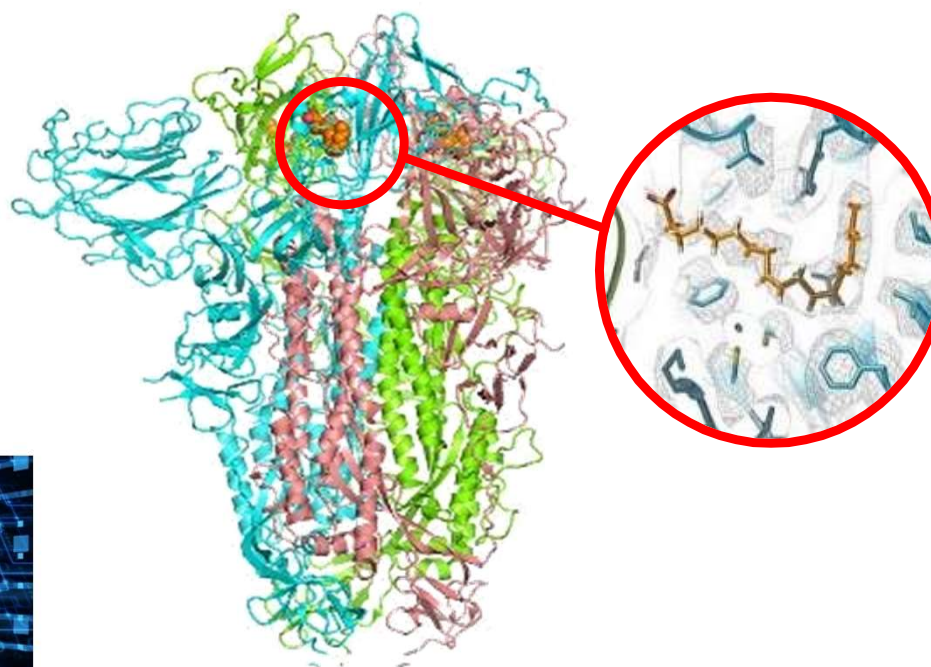
wellcome trust



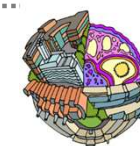
BlueCryo  
Supercomputer



## A Free Fatty Acid Binding Pocket in the SARS-CoV-2 Spike protein



Toelzer et al., *Science* 2020;  
Gupta et al., *Nat. Comm.* 2022;  
Buchanan et al., *Science* 2022;  
Toelzer et al., *BioRxiv* 2022



## Learn more about Cryo-EM

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*Getting started in Cryo-EM , Greg Jensen, Caltech:*

[https://www.youtube.com/watch?v=gDgFbAqdM\\_c](https://www.youtube.com/watch?v=gDgFbAqdM_c)

LMB Electron Cryo-Microscopy Course:

<https://www.youtube.com/playlist?list=PLQbPquAyEw4etKtxyqcvZz4uELPeLDLeF>

