#### X-rays and their interaction with matter

#### Luigi Paolasini

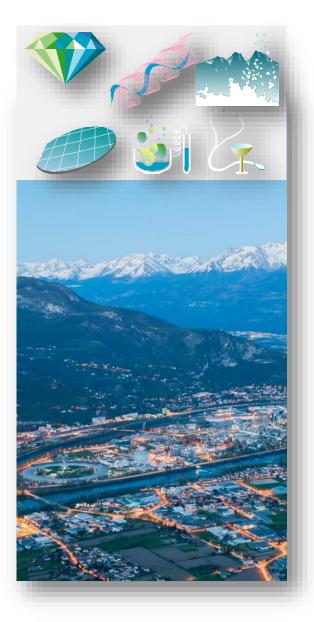
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#### OUTLINES



#### "X-rays and their interaction with matter"

- Waves and photons
- Elastic and inelastic scattering
- Absorption spectroscopies
- Optical properties



# X-RAY DISCOVERY: W.C. RÖNTGEN, 1895

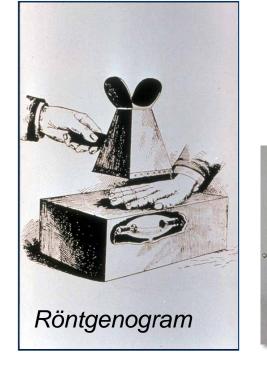
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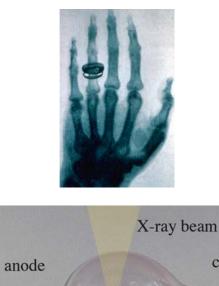
Röntgen discovered a penetrating form of electromagnetic radiation able to pass through the human body: the X-rays. X-rays are produced by collision of electrons with a metal target (kinetic energy

catode

electron beam

loss, "bremstralung")











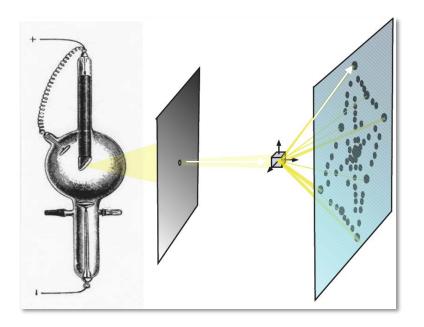
#### **CRYSTAL DIFFRACTION: MAX VON LAUE, 1912**

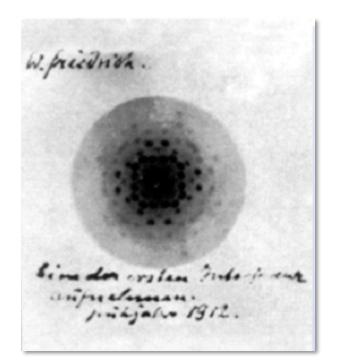
#### X-rays are electromagnetic waves:

- The x-ray wavelengths are comparable with the interatomic distances and molecular bonds.

- Typical interference x-ray patterns when pass through a periodic arrangement of atoms, like in a crystal, and reveal their crystallographic symmetries.



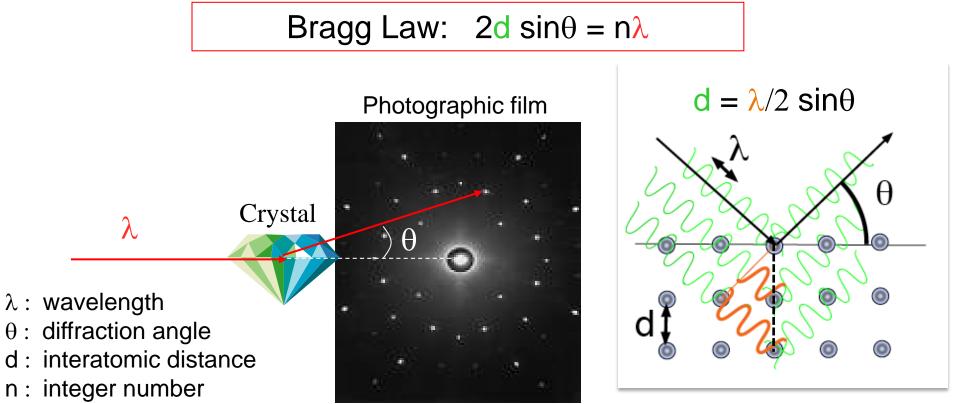




#### THEORY OF X-RAYS DIFFRACTION: BRAGG LAW, 1913

The interference X-ray pattern contains the signature of the periodic arrangement of atoms in a crystals.

The Bragg's law define the relation between interatomic distances d and scattering angle  $\theta$ 



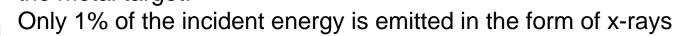


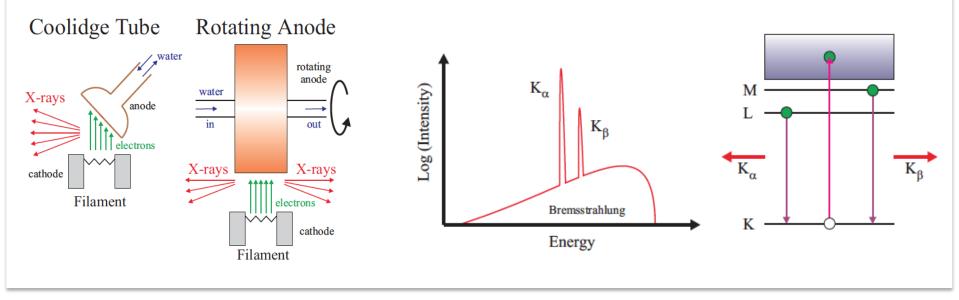
#### FROM COOLIDGE TUBE TO ROTATING ANODE.

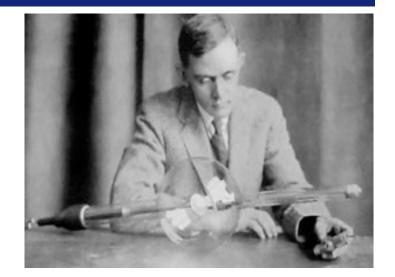
W.D. Coolidge's tube from General Electric developed in 1912 served as the standard X-ray tube for many decades until the advent of rotating anode generators.

The spectrum of X-rays generated from electrons impinging on a metal anode has two distinct components:

- Bremsstrahlung radiation : a continuous component
- Sharp radiations with a characteristic energy emission  $K_{\alpha}$  and  $K_{\beta}$  of the metal target.



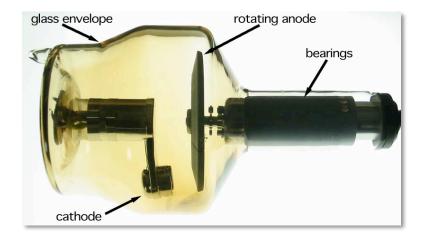




### X-RAY TUBES: ROTATING ANODE

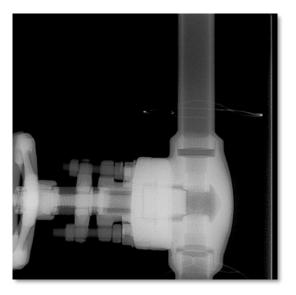
Today powerful x-rays sources can be obtained by rotating anode x-ray tubes.

X-ray tubes are also used in CAT scanners, airport luggage scanners, X-ray crystallography, and for industrial inspection.





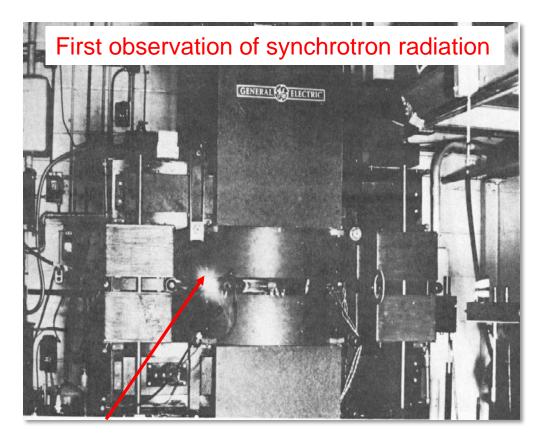






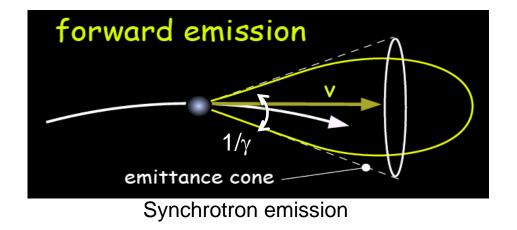
#### **DISCOVERY OF SYNCROTRON RADIATION : GENERAL ELECTRIC 1947**

Electromagnetic radiation emitted when **charge particles** moving at **ultra-relativistic energies** are forced to change direction under the action of a magnetic field.



Visible light emission discovered in a 70-MeV betatron at General Electric in 1947.

 $E >> m_e c^2 \sim 0.511 \text{ MeV}$ 





#### X-RAYS LARGE SCALE FACILITIES

SYNCHROTRONS : the electrons circulate in a close orbit

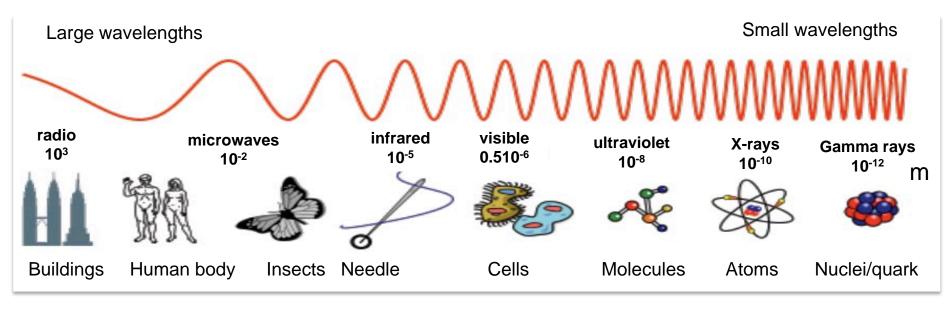
FREE ELECTRON LASERs: the electrons travel in linear accelerators





#### THE ELECTROMAGNETIC SPECTRUM

The electromagnetic radiation is generated by the accelerated charged particles (electron, protons ...)

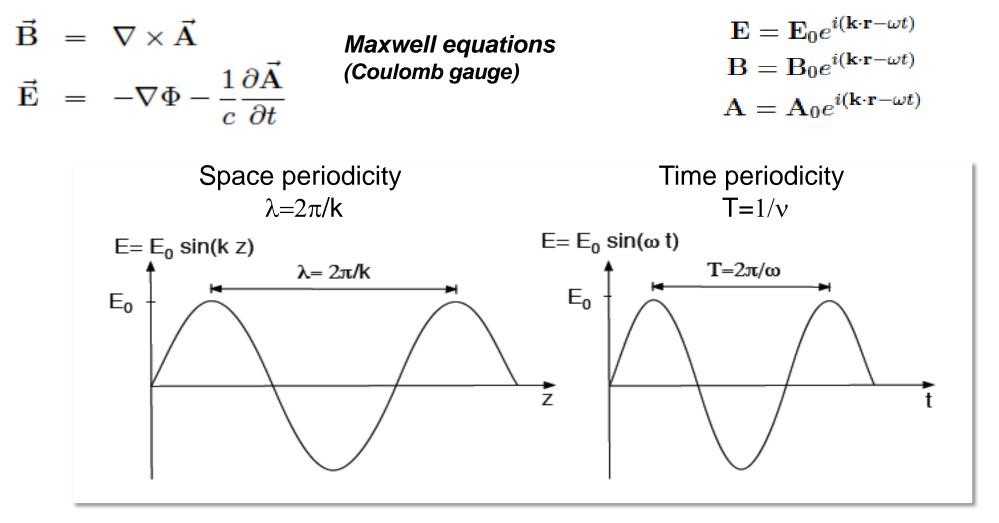






#### X-RAYS ARE ELECTROMAGNETIC WAVES

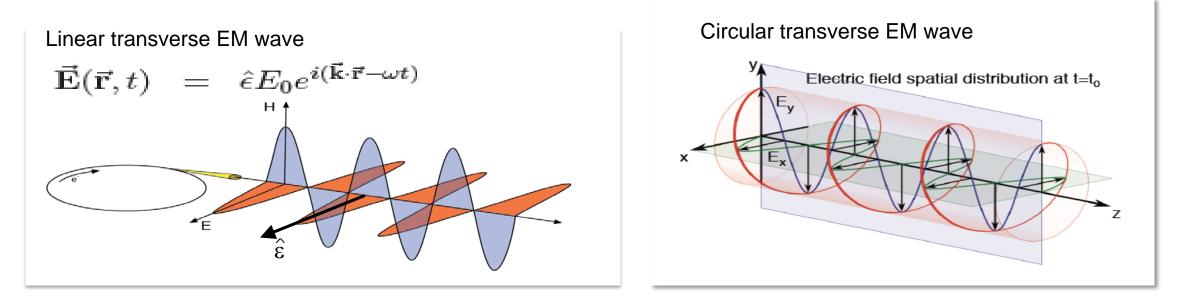
The electromagnetic field generated by the electrons is described by the electric **E** and magnetic **B** in term of scalar  $\Phi$  and vector **A** potential:





#### **X-RAYS POLARISATIONS**

The X-rays delivered by the insertion devices (bending magnets or undulators) is a **transverse polarized** electromagnetic wave, with the polarization vector  $\varepsilon$  parallel to the electric field **E**. Some particular insertion devices can also deliver circular polarization (ex. helical undulators, phase plates)



$$E_x = E_{x0} \cos[2\pi(\frac{z}{\lambda} - \frac{t}{T})]$$
$$E_y = E_{y0} \sin[2\pi(\frac{z}{\lambda} - \frac{t}{T})]$$

- $E_{x0} = \pm E_{y0}$  Circular polarisation (right=+, left=-)
- $E_{x0} = 0$  or  $E_{y0} = 0$  Linear polarization
- $E_{x0} \neq E_{y0} = 0$  Elliptical polarization



#### X-RAYS LENGTH SCALES

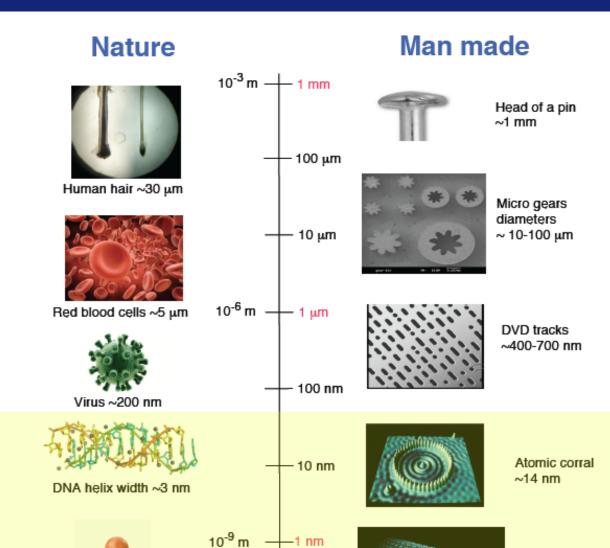
## **ULTRA SMALL**

$$\mathcal{E}[\text{keV}] = \frac{hc}{\lambda} = \frac{12.398}{\lambda[\text{\AA}]}$$

Planck's constant 
$$h = 4.135 \times 10^{15} \text{ eV s}$$

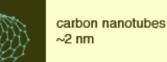
~ 0.001÷ 0.5 [nm]

Hard X-rays 1.2 MeV - 2.5 keV



100 pm

Water molecule ~ 275 pm



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#### **X-RAYS TIMESCALES**

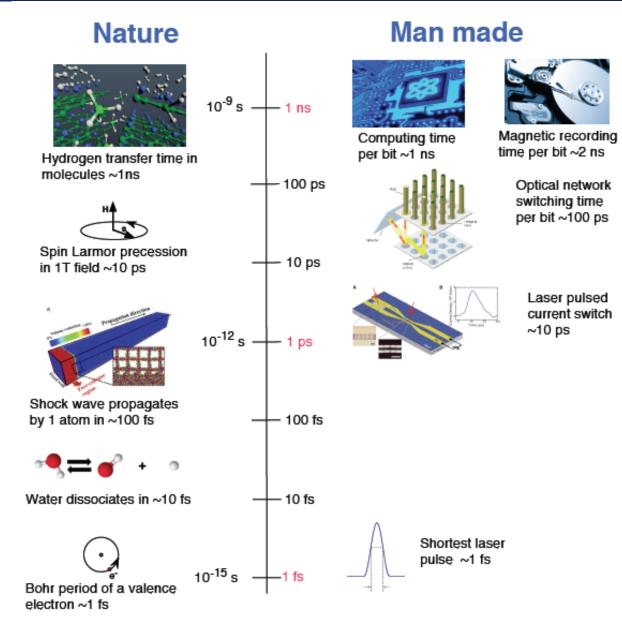
# **ULTRA FAST**

#### 10keV X-rays

- $2.4 \times 10^{18} \text{ Hz} = 2.4 \text{ exa Hz}$
- $T = 41 \times 10^{-3} \text{ fs} = 41 \text{ attosecond}$
- $\lambda = 12.5 \text{ nm} = 1.25 \text{ Å}$

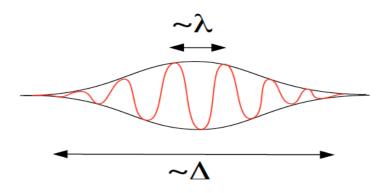
Pulse duration ESRF 20 ps

Pulse duration X-FEL (theory) 4.5 fs



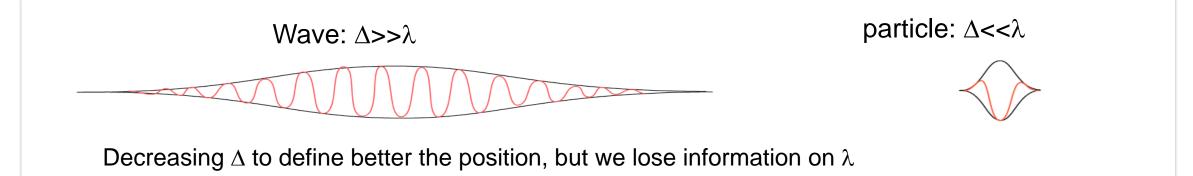
#### **WAVE-PARTICLE DUALITY**

Description of the behaviour of a quantum-scale objects.



Heisenberg uncertainly principle: Cannot define both  $\Delta$  and  $\lambda$  to an arbitrary accuracy

Oscillations  $\rightarrow$  wave Envelope  $\rightarrow$  particle localization



where

Energy: $E = hv = \hbar\omega$ Momentum: $p=h/\lambda = \hbar k$ 

h= Planck's constant v=frequency k=wavevector



#### WAVES AND PHOTONS

Assumption of quantum mechanics:

(i) Particles are represented mathematically by a wavefunction,  $\psi(\underline{r})$ (ii) Probability of finding a particle in a (infinitesimal) volume dV is  $|\psi(\underline{r})|^2 dV$ 

#### Infinite plane wave (spatial part):

 $\psi(\underline{z}) = e^{ikz} = \cos(kz) + i\sin(kz)$ 

 $|\psi|^2 = \psi\psi^* = e^{ikz}e^{-ikz} = 1$ 

1 particle per unit volume everywhere!

#### Spherical wave:

 $\psi(\underline{\mathbf{r}}) = \mathbf{b}/\mathbf{r} \ \mathbf{e}^{i\mathbf{k}\mathbf{r}}$ 

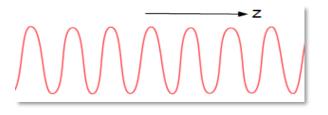
 $|\psi(\underline{r})|^2 = \psi \psi^* = b^2/r^2$ 

Density of particles falls as  $1/r^2$ 

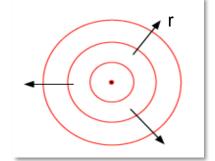
#### Flux of particles

- I = No. of particles incident normally on unit area per second =
- = particle density x velocity

$$= |\psi|^2 x v = |\psi|^2 \hbar k/m$$
 (m<sup>-2</sup> s<sup>-1</sup>)





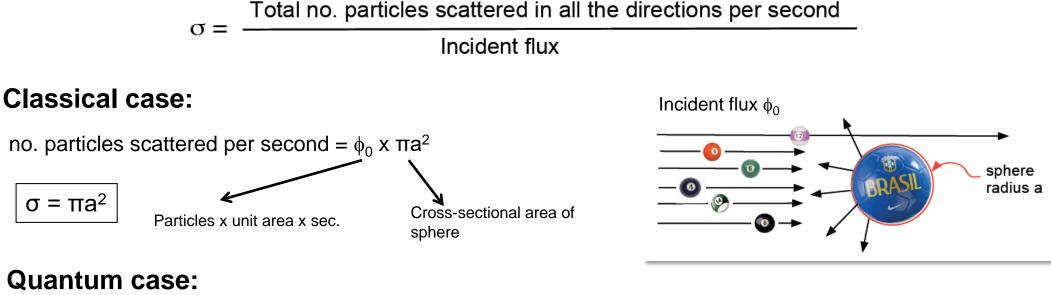




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#### TOTAL SCATTERING CROSS SECTION

#### Total cross section: Effective area viewed by scattering particles!

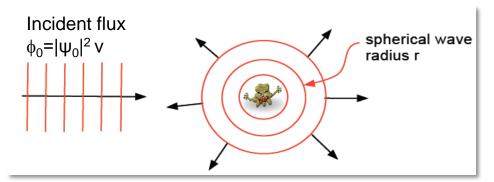


 $\Psi_0 = e^{ikz}$ Incident wave Incident flux  $\dot{\phi_0} = |\psi_0|^2 v = v$ Scattered wave  $\psi_{sc} = b/r e^{ikr}$  $\phi_{sc} = |\psi_{sc}|^2 v = b^2 v/r^2$ Scattered flux

no. particles scattered per second =  $\phi_{sc} \times 4\pi r^2$ 

 $\sigma = 4\pi b^2$ 

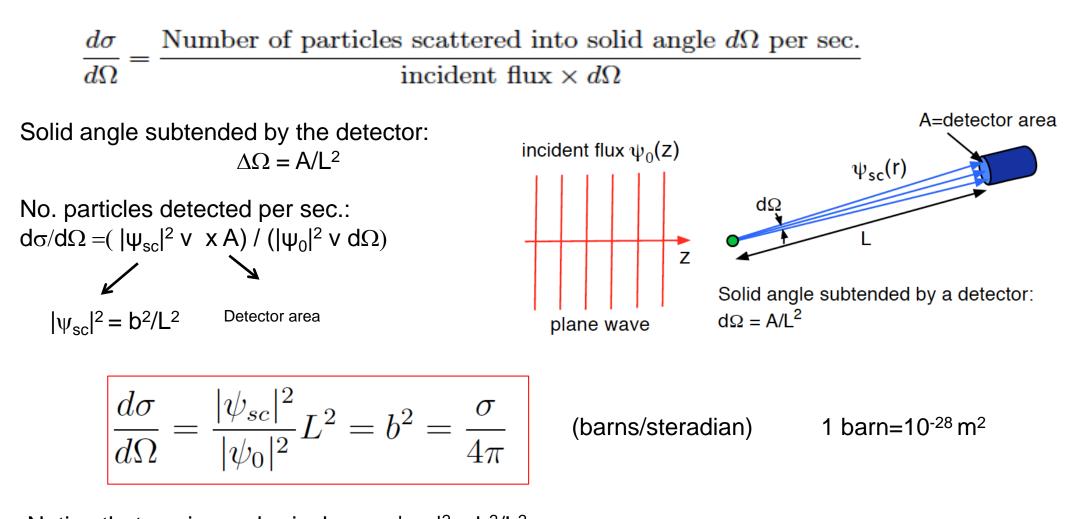
b= scattering length

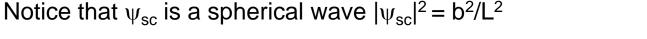




#### **DIFFERENTIAL SCATTERING CROSS SECTION**

#### **Definition: differential scattering cross section**

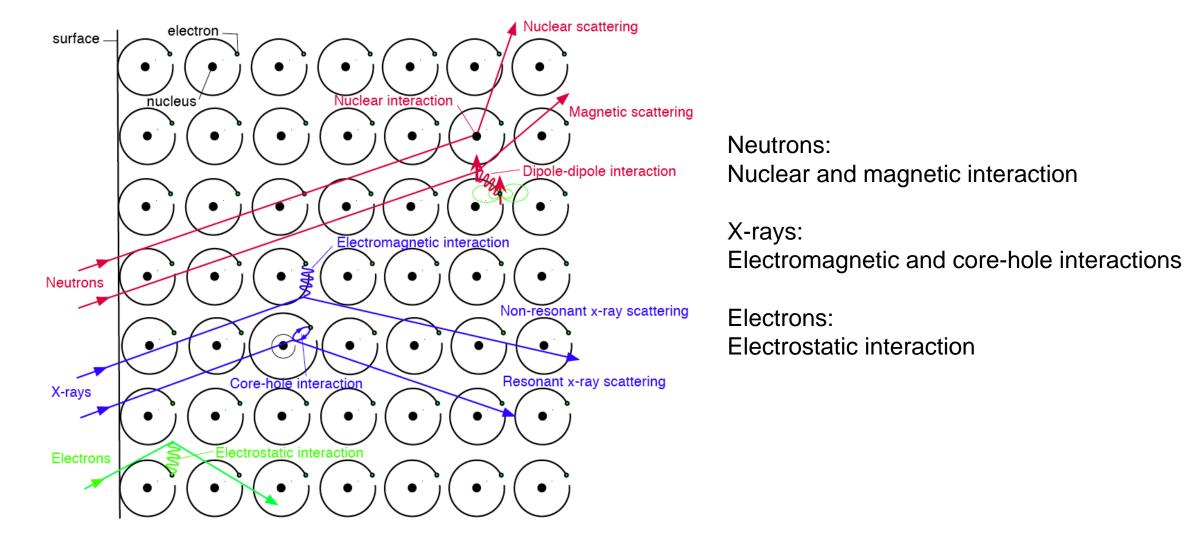






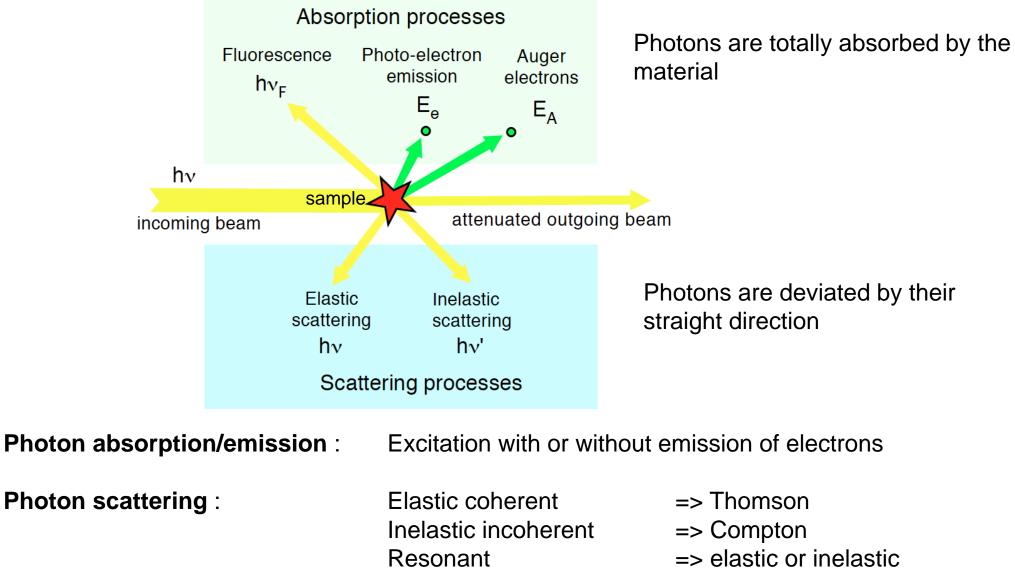
#### SCATTERING PROBES AND INTERACTION POTENTIALS

The scattering length **b** depends from the type of interaction potential with the scattering probes





#### **X-RAY MATTER INTERACTION**



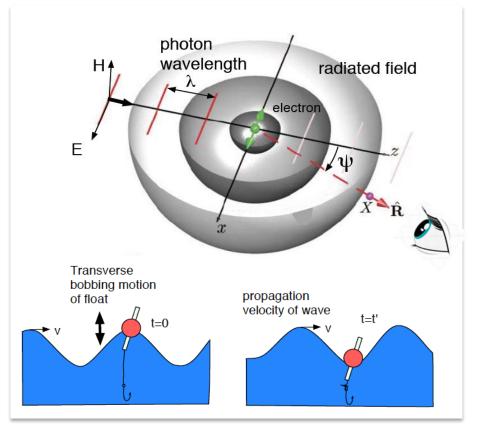
#### **ELASTIC SCATTERING BY A FREE ELECTRON**

- The incident electric field E<sub>in</sub> forces the motion of the electron
- Electric Force **F**=q**E** acting on an electron at rest
- Spherical wave re-radiation E<sub>rad</sub>

Radiated spherical field E<sub>rad</sub> at observer position R:

- proportional to the electron acceleration
- anti-phase with respect  $\mathsf{E}_{\mathsf{in}}$
- decreases with  $\cos(\psi)$

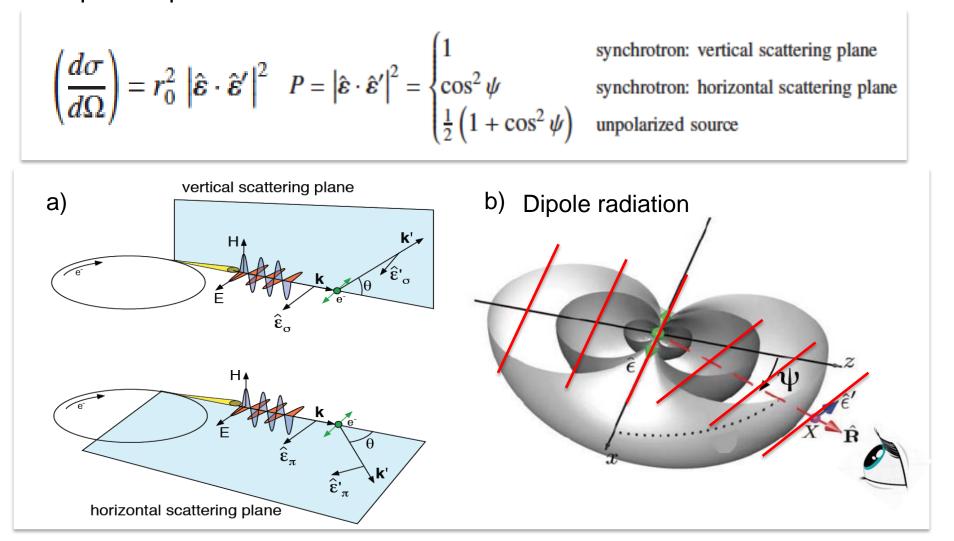
$$\begin{split} \frac{\mathrm{E}_{\mathrm{rad}}(R,t)}{\mathrm{E}_{\mathrm{in}}} &= -\left(\frac{e^2}{4\pi\epsilon_0 mc^2}\right) \frac{\mathrm{e}^{i\mathbf{k}R}}{R}\cos\psi\\ \text{Thomson scattering length:}\\ r_0 &= \left(\frac{e^2}{4\pi\epsilon_0 mc^2}\right) = 2.82\times 10^{-5}\,\mathrm{\AA}\\ (\text{Classical electron radius}) \end{split}$$





#### POLARIZATION DEPENDENCE OF THOMSON SCATTERING

The differential cross section for the Thomson scattering depends from the incident and scattered photon polarizations

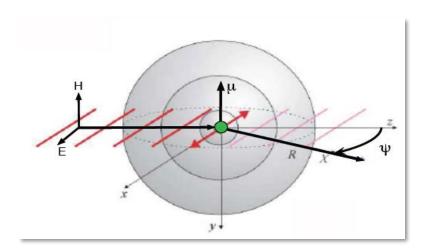


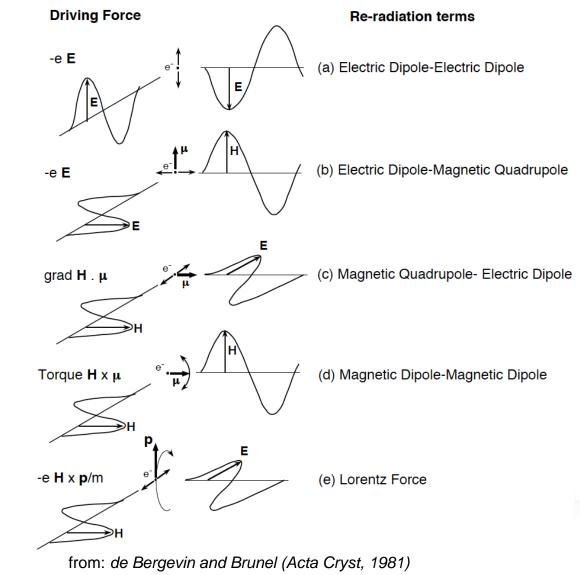


#### MAGNETIC SCATTERING BY A FREE ELECTRON

The magnetic scattering amplitudes are very weak because proportional to the relativistic factor E/mc<sup>2</sup>

Both the **H** magnetic and the electric **E** field interact with the charge and magnetic moments of the electron

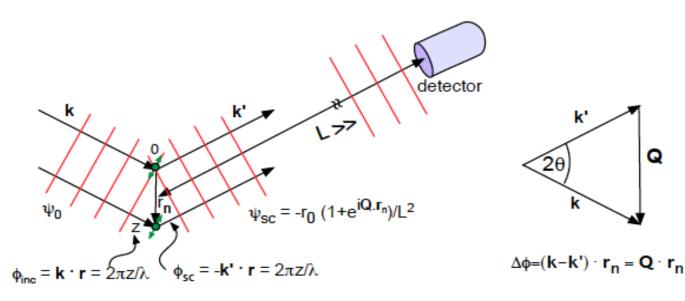




#### **THOMSON SCATTERING BY TWO FREE ELECTRONS**

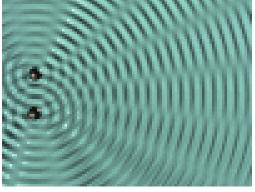
- Interference between scattered X-rays observed in the direction **k**' and at large distances (far field limit), with  $|\mathbf{k}| = |\mathbf{k}'| = 2\pi/\lambda$ .
- The incident wave **k** arrives at the second electron at  $\mathbf{r}_n$  with a phase shift
- The phase difference between the two scattered X-rays is  $\Delta \phi = (\mathbf{k} \mathbf{k}') \cdot \mathbf{r} = \mathbf{Q} \cdot \mathbf{r}$

 $\frac{d\sigma}{d\Omega} = \frac{\psi_{sc}^2}{\psi_0^2} L^2 = 2r_0^2 [1 + \cos(\mathbf{Q} \cdot \mathbf{r})]$  Differential scattering cross section



**Q** = wavevector transfer or scattering vector







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#### **RANDOM DISTRIBUTION OF TWO FREE ELECTRONS**

The scattering intensity depends from the relative orientation of scattering vector Q and the vector  $r_n$ . (with neglet the polarization)

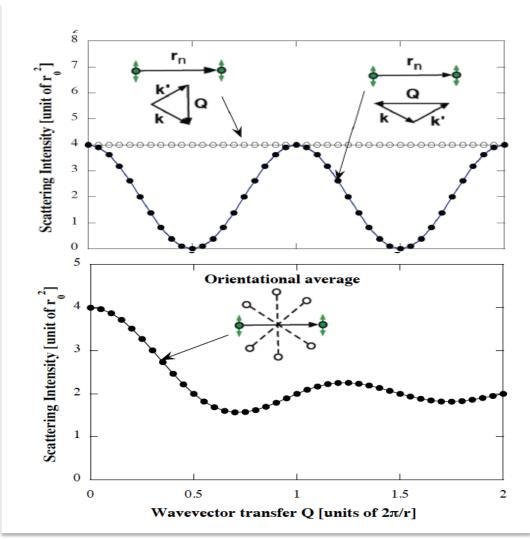
Scattering cross section (two electrons):

$$\frac{d\sigma}{d\Omega} = 2r_0^2(1 + \cos(\mathbf{Q} \cdot \mathbf{r}))$$

Scattering cross section (two electrons): orientational average

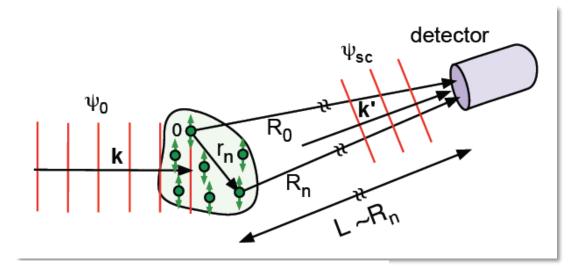
$$\langle \frac{d\sigma}{d\Omega} \rangle_{\mathbf{or.av.}} = 2r_0^2 (1 + \langle e^{(i\mathbf{Q}\cdot\mathbf{r})} \rangle_{\mathbf{or.av.}})$$

$$\langle e^{(i\mathbf{Q}\cdot\mathbf{r})} \rangle_{\mathbf{or.av.}} = \frac{\sin(Qr)}{Qr}$$



#### THOMSON SCATTERING BY MANY ELECTRONS

In general if we have a random distribution of electrons, the scattering function is obtained sum "coherently" all the individual terms



$$\begin{split} \psi^{sc} &= \sum_{n} \psi_{n}^{sc} = -r_{0} \sum_{n} \frac{e^{i\mathbf{Q}\cdot\mathbf{R}_{n}}}{R_{n}} \\ &\approx \frac{-r_{0}}{L} \sum_{n} e^{i\mathbf{Q}\cdot\mathbf{R}_{n}} = \frac{-r_{0}}{L} \sum_{n} e^{i\mathbf{Q}\cdot(\mathbf{R}_{0}+\mathbf{r}_{n})} = \\ &\approx \frac{-r_{0}}{L} e^{i\mathbf{Q}\cdot\mathbf{R}_{0}} \sum_{n} e^{i\mathbf{Q}\cdot\mathbf{r}_{n}} \end{split}$$

Differential cross section for a charge spatial distribution

$$\frac{d\sigma}{d\Omega} = r_0^2 |\sum_n e^{i \mathbf{Q} \cdot \mathbf{r}_n}|^2$$

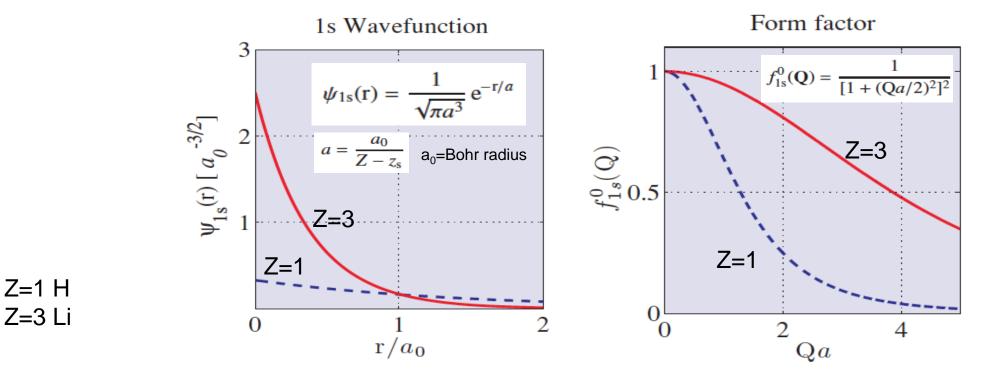


#### THOMSON SCATTERING BY ONE ATOM

The form factor is related to the Fourier transform of charge density distribution:

$$f^{0}(\mathbf{Q}) = -r_{0} \int \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} d\mathbf{r} = \begin{cases} Z & \text{for } \mathbf{Q} \to 0\\ 0 & \text{for } \mathbf{Q} \to \infty \end{cases}$$

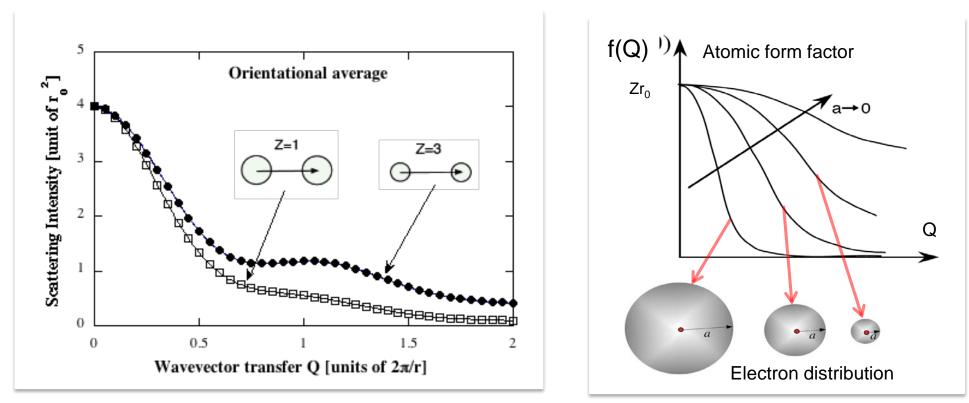
The Q dependence is due to the fact that the Thomson scattering is produced by all atomic electrons, which have a spatial extent of the same order of magnitude as the X-ray wavelength.



#### **SCATTERING BY TWO ATOMS**

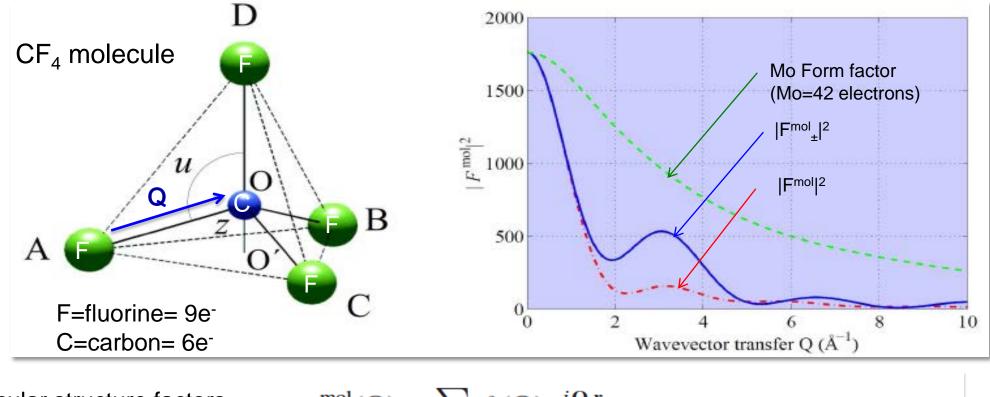
The scattering of two atoms which have a spatial extent of electron distribution of the same order of magnitude as the X-ray wavelength.

$$\langle I(\mathbf{Q}) \rangle_{\text{orient. av.}} = f_1^2 + f_2^2 + 2 f_1 f_2 \langle e^{i\mathbf{Q}\cdot\mathbf{r}} \rangle_{\text{orient. av}}$$



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#### **SCATTERING FROM A MOLECULE**



Molecular structure factors

Q // C-F bond

Orientational average

$$F^{\text{mol}}(\mathbf{Q}) = \sum_{j} f_{j}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{j}}$$

$$F^{\text{mol}}_{\pm}(\mathbf{Q}) = f^{\text{C}}(\mathbf{Q}) + f^{\text{F}}(\mathbf{Q}) \left[ 3e^{\pm i\mathbf{Q}R/3} + e^{\pm i\mathbf{Q}R} \right]$$

$$|F^{\text{mol}}|^{2} = |f^{\text{C}}|^{2} + 4|f^{\text{F}}|^{2} + 8f^{\text{C}}f^{\text{F}}\frac{\sin(\mathbf{Q}R)}{\mathbf{Q}R} + 12|f^{\text{F}}|^{2}\frac{\sin(\mathbf{Q}\sqrt{8/3}R)}{\mathbf{Q}\sqrt{8/3}R}$$

QR

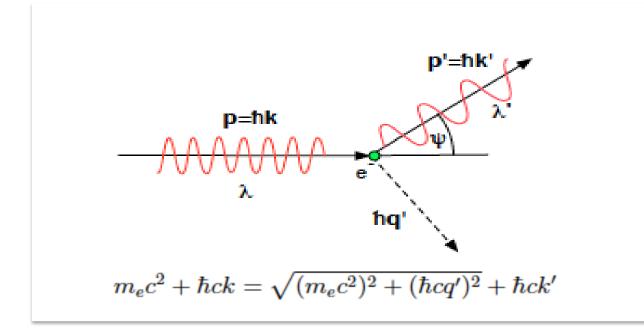


 $Q\sqrt{8/3R}$ 

#### **COMPTON SCATTERING (BY A FREE ELECTRON)**

Inelastic collision between a photon and an electron at the rest in which part of the the photon energy is transferred to the electron (photon "red shift")

$$\lambda' = \lambda + \frac{h}{m_e c^2} (1 - \cos \psi) = \lambda + \lambda_c (1 - \cos \psi)$$



Compton scattering wavelength  $\lambda_c = rac{h}{m_e c^2} = 0.0243$  Å

Fine structure constant

$$\alpha = \frac{r_0}{(\lambda_c/2\pi)} \sim \frac{1}{137}$$

Energy shift

$$\frac{\mathcal{E}}{\mathcal{E}'} = \frac{k}{k'} = \frac{\lambda'}{\lambda} = 1 + \frac{\lambda_c}{\lambda}(1 - \cos\psi)$$

Maximum "red-shift" when  $\psi$ =180 deg. No variation when  $\psi$ =0<sup>0</sup>

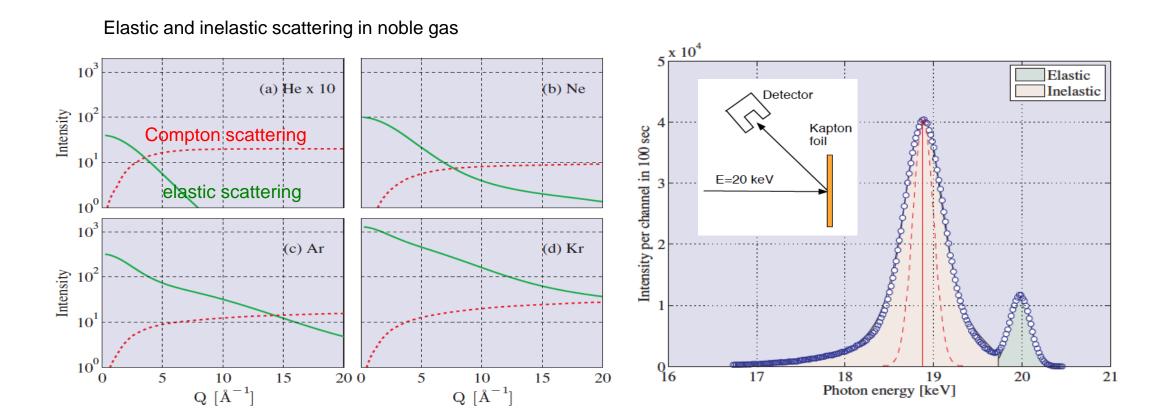
This scattering is incoherent and contribute only to the background.



#### **INCOHERENT INELASTIC COMPTON SCATTERING**

The inelastic scattering dominates at high Q vectors and for low Z elements

Thomson scattering intensity approach  $Z^2$  when  $Q \rightarrow 0$ Compton scattering approaches Z when  $Q \rightarrow 0$ 



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#### **COHERENT ELASTIC SCATTERING(THOMSON)**

Scattering processes conserve the number of photons If the photon energy is conserved, the scattering is elastic. If not. It is inelastic

one atom

a molecule

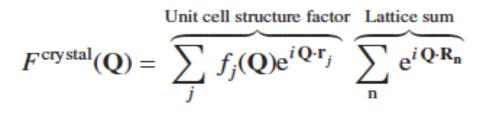
a crystal

 $\mathbf{k} \cdot \mathbf{r}$  $\mathbf{k}' \cdot \mathbf{r}$ Q=k-k' k' d Atomic form factor $f^0(\mathbf{Q}) = -r_0 \int 
ho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} d\mathbf{r}$ 

Molecule structure factors

$$\mathcal{F}^{\mathrm{mol}}(\mathbf{Q}) = \sum_{j} f_{j}(\mathbf{Q}) \,\mathrm{e}^{i\mathbf{Q}\cdot\mathbf{r}_{j}}$$

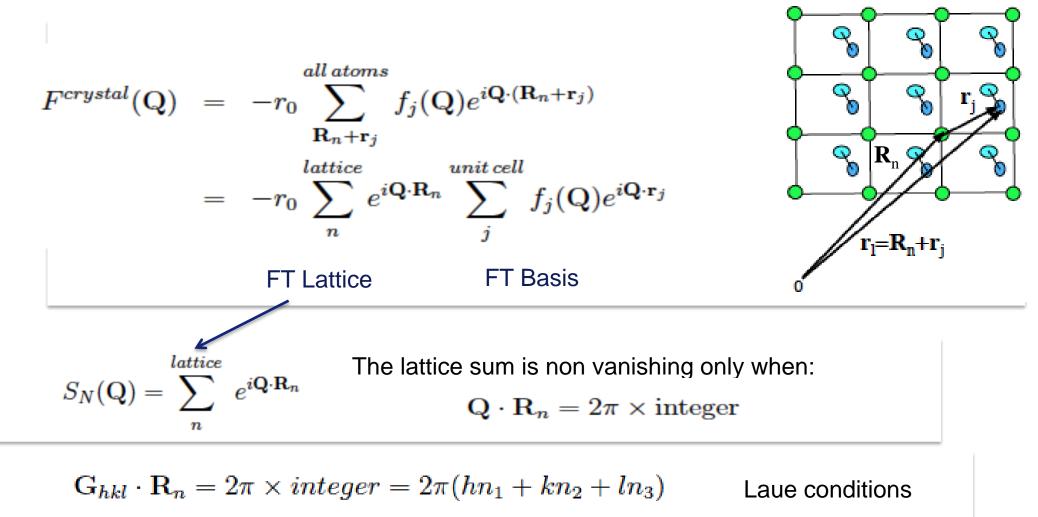
Crystal structure factors





#### **CRYSTAL STRUCTURE FACTOR**

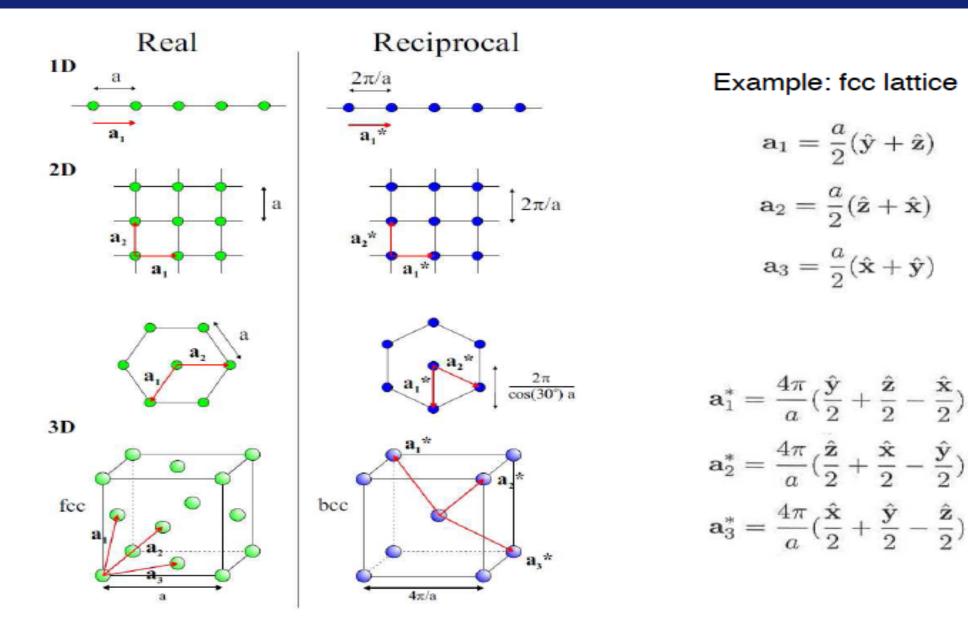
The Fourier transform of the crystal (the crystal structure factor) is equal to the product of the FT of lattice and the basis **CRYSTAL** 



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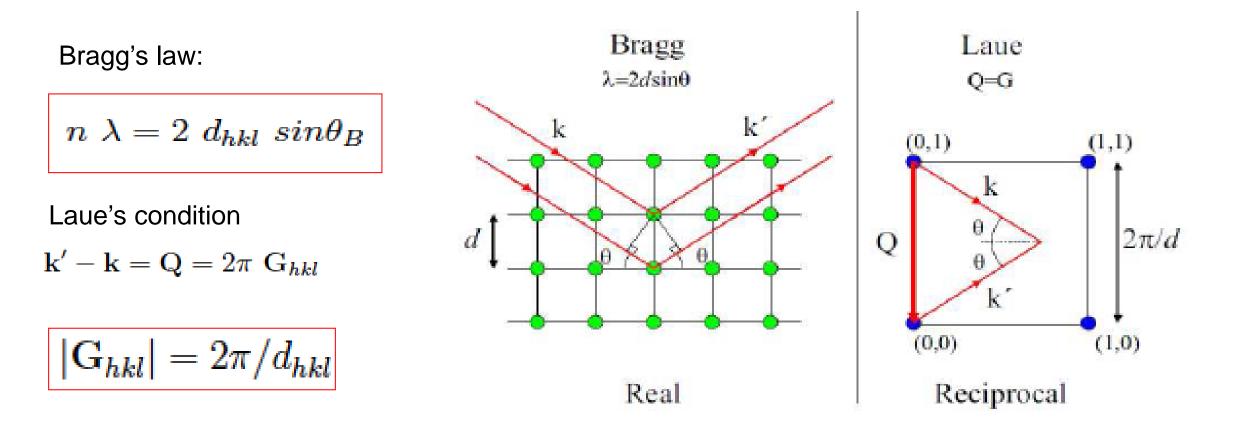
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#### EXAMPLE OF RECIPROCAL SPACES





#### **EQUIVALENCE BRAGG-LAUE**



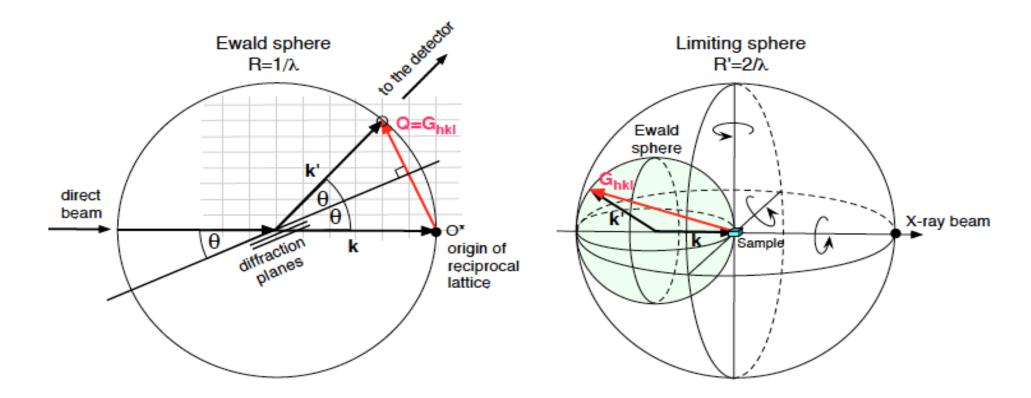
$$\left(\frac{d\sigma}{d\Omega}\right)_{Bragg} = N \frac{(2\pi)^3}{v_0} r_0^2 \sum_{hkl} \delta(\mathbf{Q} - \mathbf{G}_{hkl}) |F(hkl)|^2$$

Differential cross section for the Bragg diffraction in crystals



# **EWALD SPHERE**

Geometric construction that allows one to visualize the Bragg' law during the elastic diffraction experiment

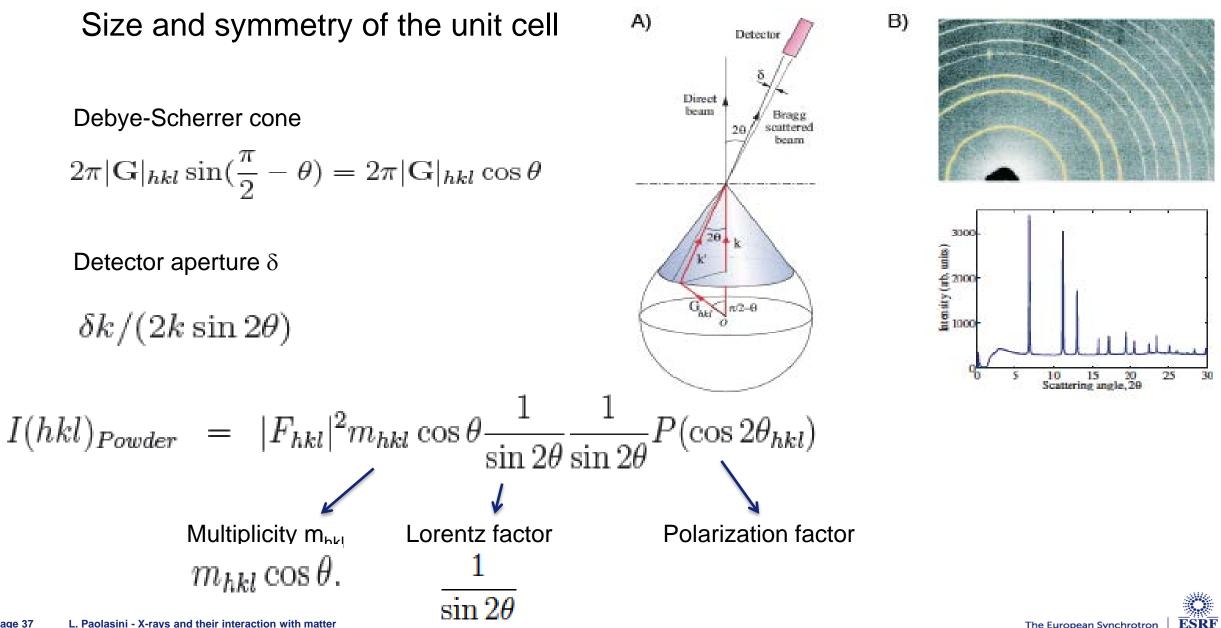


Only all the reciprocal lattice points  $G_{hkl}$  intercepting the Ewald sphere fulfill the Bragg conditions

All the  $G_{hkl}$  contained in limiting sphere could be reached by rotating the sample about the goniometer axis



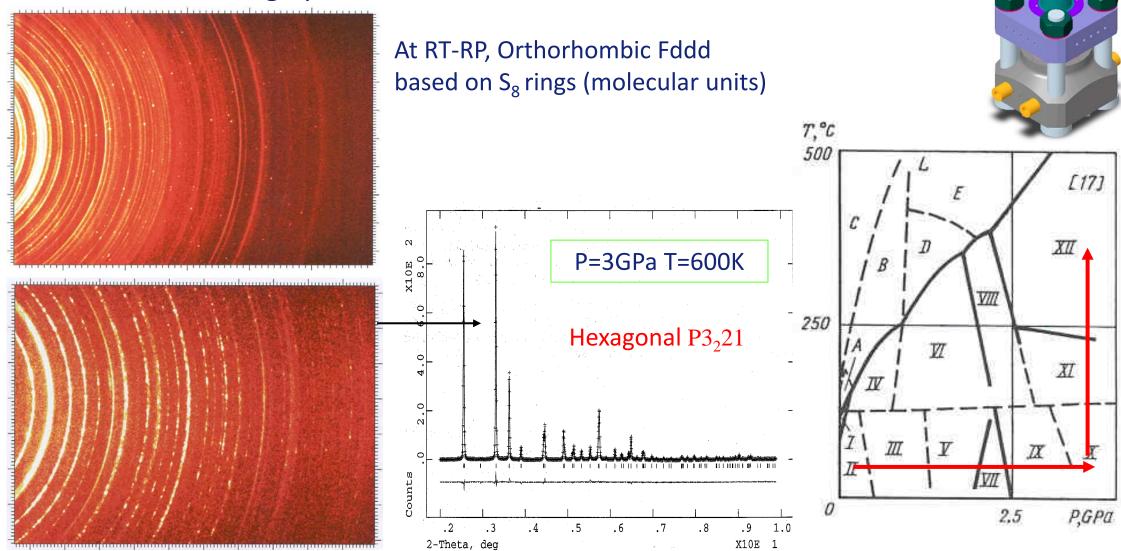
# **POWDER DIFFRACTION**



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**EXAMPLE : HIGH PRESSURE SYNTESIS OF SULFUR** 

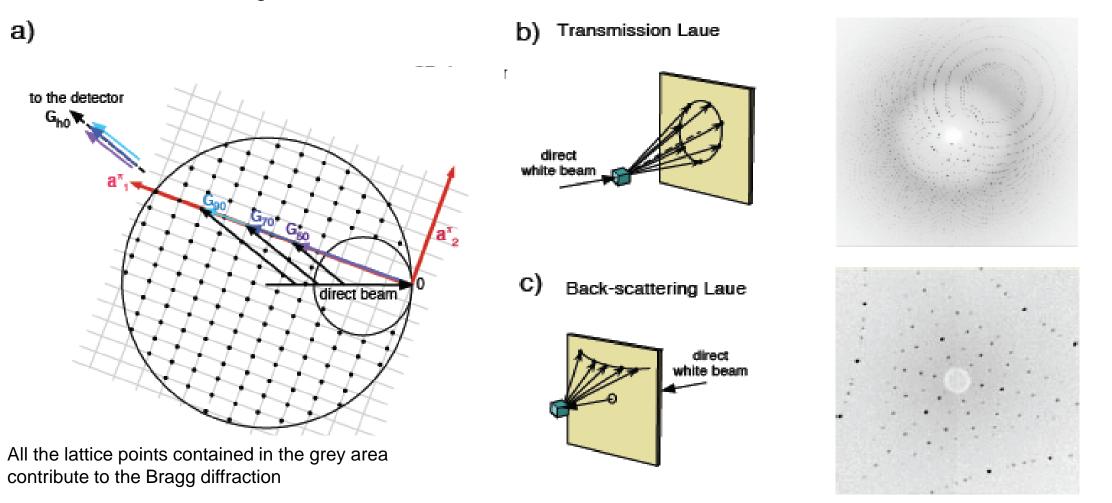
- Pressure up to 17 GPa on 2 mm<sup>3</sup> sample volume
- Resistive heating up to 2000 K



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# WHITE BEAM LAUE PATTERN

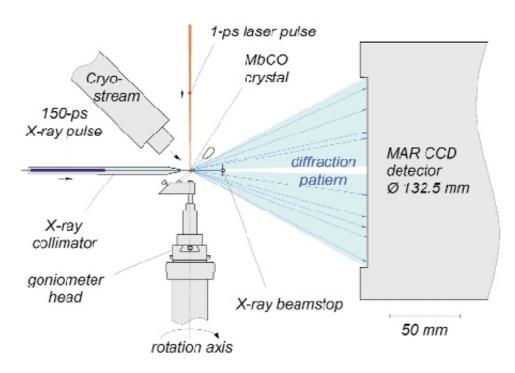
Polychromatic beam technique used in protein crystallography or in more complex structures. Data collection of a large number of reflections





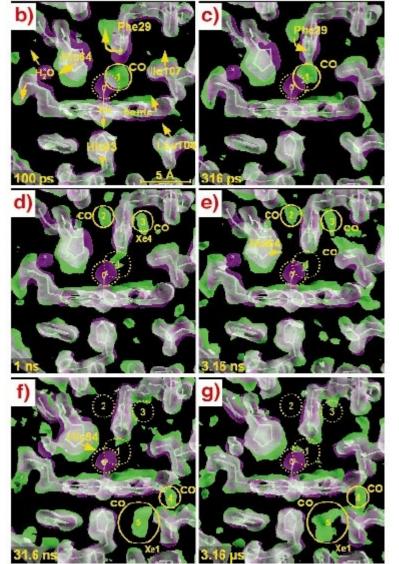
#### TIME RESOLVED PROTEIN CRYSTALLOGRAPHY

#### Time-resolved MX using the Laue technique (White/Pink beam)



Structure of MbCO at different time delays after photolysis. The bound CO dissociates, eventually becoming trapped in sites 4 and 5, where it remains out to the microsecond time scale.

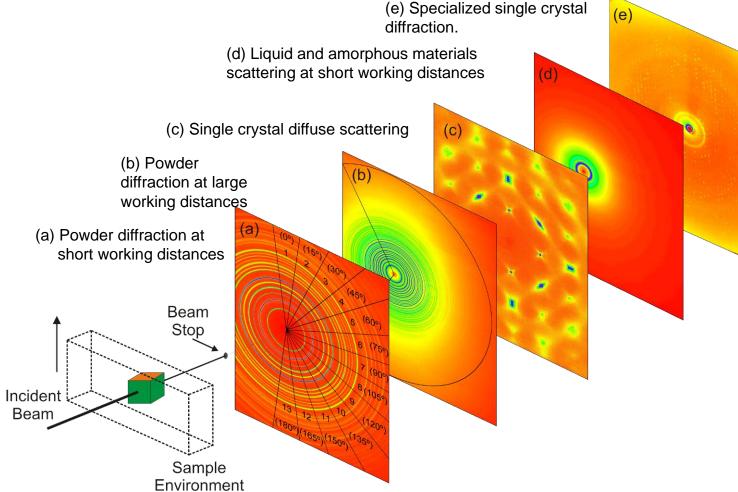
F. Schotte et al., (2003), Science, 300, 1944-1947.



ESRF

#### **X-RAY DIFFRACTION WITH 2D DETECTORS**

#### • Multi-length scale problems





- Large input surface (>150 mm diameter)

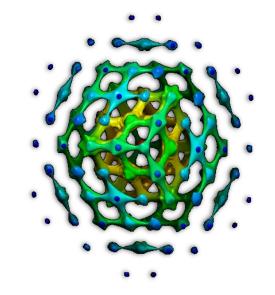
- High spatial resolution (50 to 100 mm)
- High dynamic range (14 bits or more)
- High sensitivity (quantum efficiency)
- Fast read-out (a few seconds or less)



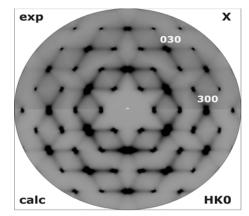
State-of-the-art methods for diffuse scattering/diffraction studies of crystalline materials

- Direct space real structure of systems with correlated disorder
- Time-resolved diffuse scattering studies of phase transitions
- Electron-phonon coupling in strongly correlated electron system
- Shape determination of Fermi surface in metallic systems
- Vibrational properties of nano-modulated and low-dimensional systems

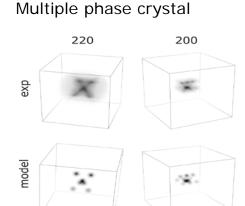
Absorption  $\geq$ 



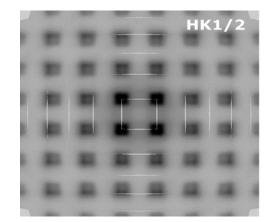
Thermal diffuse



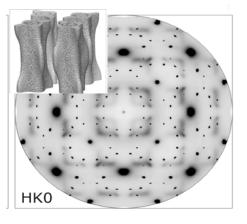




Static disorder



Structured diffuse scattering



The European Synchrotron

# Inelastic X-ray scattering



# LATTICE VIBRATIONS

#### Vibrations around equilibrium position

 $\mathbf{u}_n(t)$  Istantaneous displacement Around the equilibrium position  $\langle \mathbf{u}_n \rangle = 0$  Temporal (thermal) average

#### Istantaneous structure factor:

$$F^{crystal}(\mathbf{Q},t) = -r_0 \sum_{n}^{all \ atoms} f_n(\mathbf{Q}) e^{i\mathbf{Q} \cdot (\mathbf{R}_n + \mathbf{u}_n(t))}$$

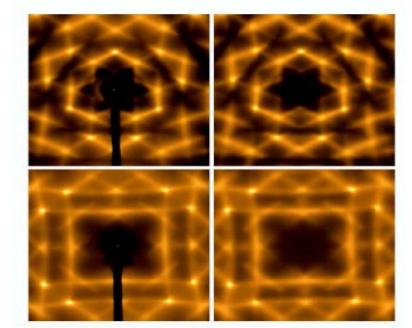
Scattering intensity proportional to the thermal average

$$I(\mathbf{Q}) = \langle F(\mathbf{Q}, t) F^*(\mathbf{Q}, t) \rangle$$
  
=  $r_0^2 \sum_n \sum_m f_n(\mathbf{Q}) f_m^*(\mathbf{Q}) e^{i\mathbf{Q} \cdot (\mathbf{R}_n - \mathbf{R}_m)} \langle e^{i\mathbf{Q} \cdot (\mathbf{u}_n - \mathbf{u}_m)} \rangle$ 



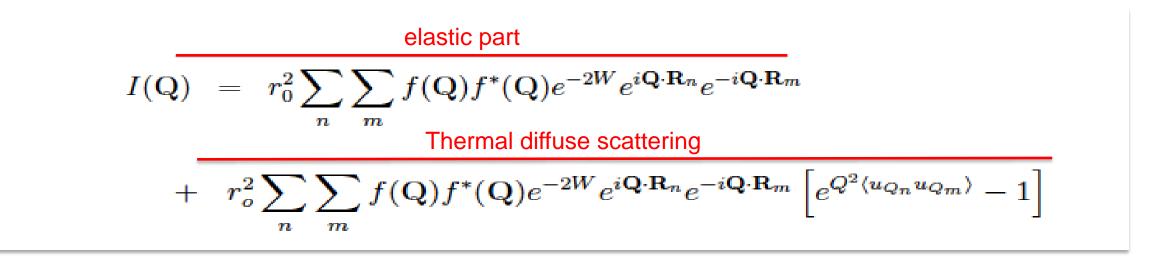
- Origin: Thermal vibration
  - Zero point fluctuations

Thermal diffuse scattering:



# **INELASTIC STRUCTURE FACTOR**

#### Scattering intensity for the lattice vibrations



Debye-Waller factor

- Indipendent fro the inter-atom interactions
- Depends from Q<sup>2</sup>
- Decreases the Bragg intensities

For isotropic vibrations

$$B_{T,isotropic} = \frac{8\pi^2}{3} \langle u_Q^2 \rangle$$

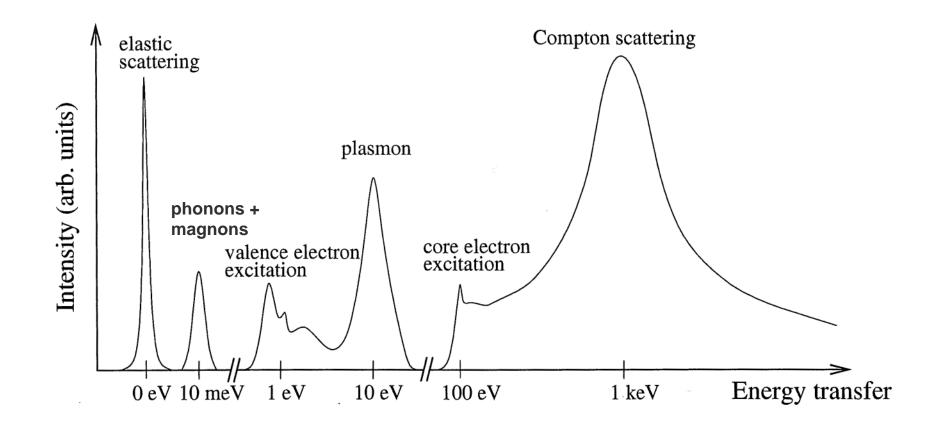
Because

$$V_{j} = \frac{1}{2}Q^{2}\langle u_{Q_{j}}^{2}\rangle = \frac{1}{2}\left(2ksin\theta\right)^{2}\langle u_{Q_{j}}^{2}\rangle$$
$$= \frac{1}{2}\left(\frac{4\pi}{\lambda}\right)^{2}\sin^{2}\theta\langle u_{Q_{j}}^{2}\rangle = B_{T}^{j}\left(\frac{\sin\theta}{\lambda}\right)$$

$$\langle |\mathbf{u}|^2 \rangle = \langle u_x^2 + u_y^2 + u_z^2 \rangle = 3 \langle u_x^2 \rangle = \langle u_Q^2 \rangle$$



# Schematic inelastic X-ray spectrum



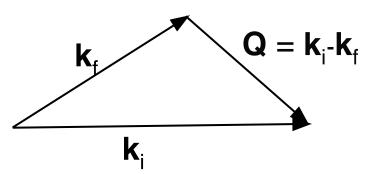


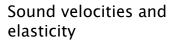
# **INELASTIC X-RAY SCATTERING**

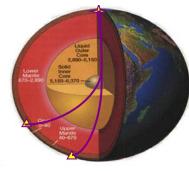
- High energy resolution studies of collective motion in solids and liquid phases

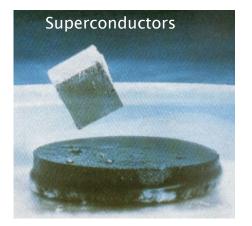
- Simultaneous information on energy E and momentum Q transferred between the photons and the electonic systems of interest

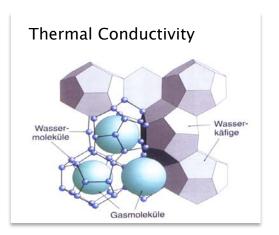
- Energy transfer:  $E_i E_f = \Delta E = 1 \text{ meV} 200 \text{ meV}$ • Momentum transfer:  $\Delta k = 1 - 180 \text{ nm}^{-1}$
- Phonon dispersion in single crystals with small sample volume
- Collective dynamics in disordered systems
- Phonon dispersion in geophysical relevant materials
- Lattice dynamics in thin films and interfaces

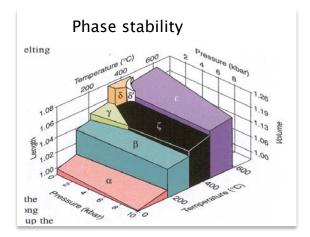














# **EX.: PHONON DISPERSION CURVES**

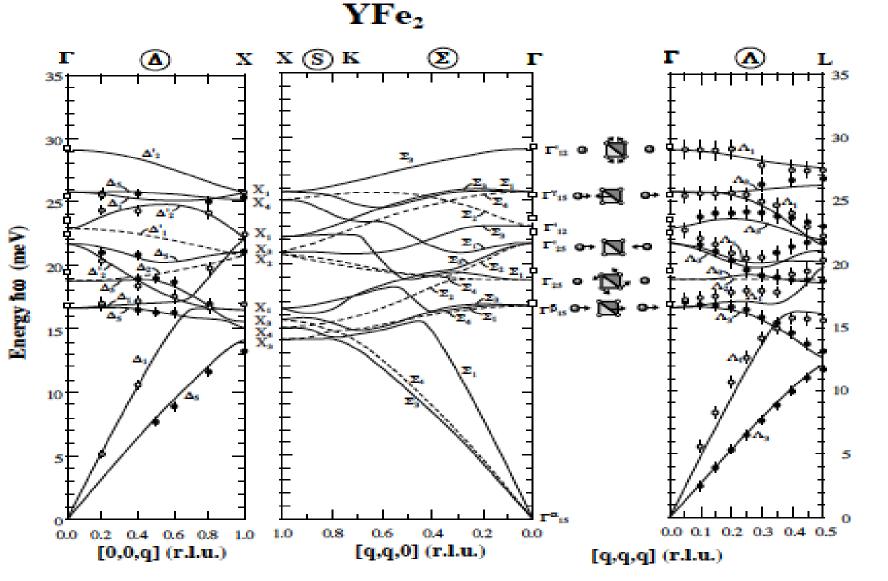
#### YFe<sub>2</sub> cubic Laves phase

2 formula unit N= 6 atoms

Phonon branches: N x 3= 18 branches

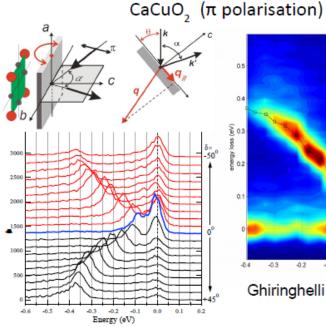
3 acoustic: 1 long., 2 transv.

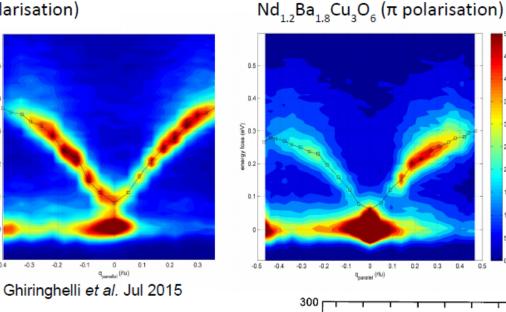
15 optics:10 transverse5 longitudinal

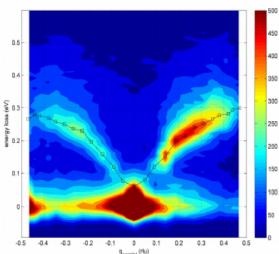


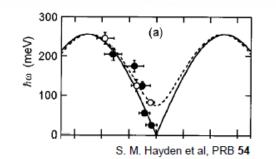


#### Magnon Dispersion









The sample used in INS was a single crystal of YBa<sub>2</sub>Cu<sub>3</sub>O<sub>615</sub> with mass 96 g.

**Inelastic Neutron Scattering:** 96 g (15.2 cm<sup>3</sup>) bulk crystal YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.15</sub>

**Resonant Inelastic X-ray Scattering: 100 nm film crystal** Nd<sub>1,2</sub>Ba<sub>1,8</sub>Cu<sub>3</sub>O<sub>6</sub>

> Long-term collaboration between Politecnico di Milano and ESRF



**ESRF** 

POLITECNICO **MILANO 1863** 

The European Synchrotron

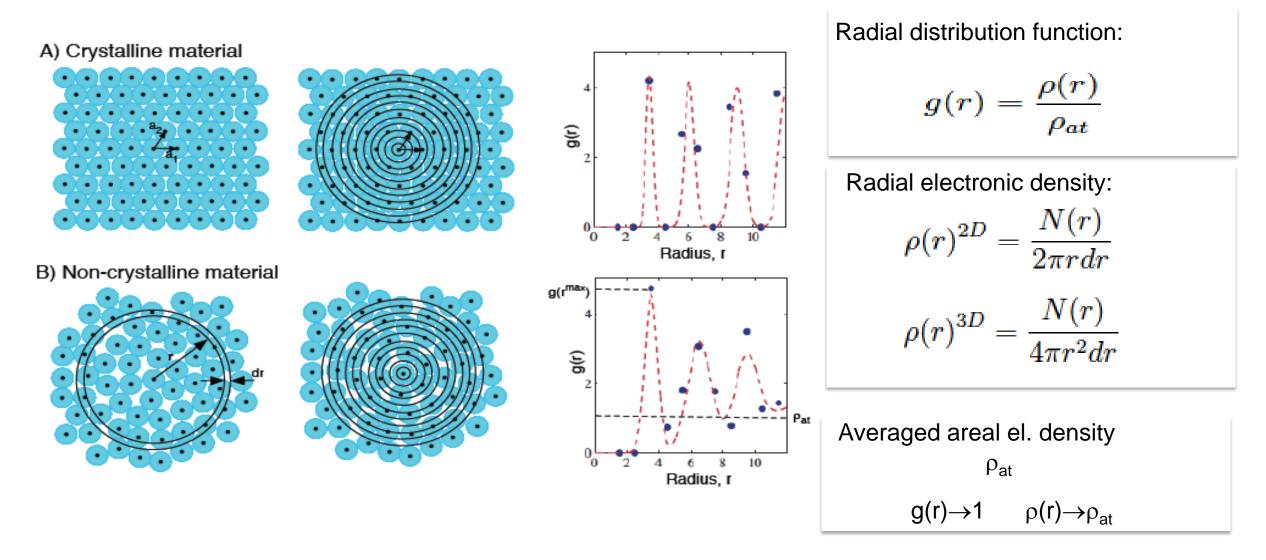


Samples mass ratio: ~ 250 000

# Scattering in non-crystalline materials



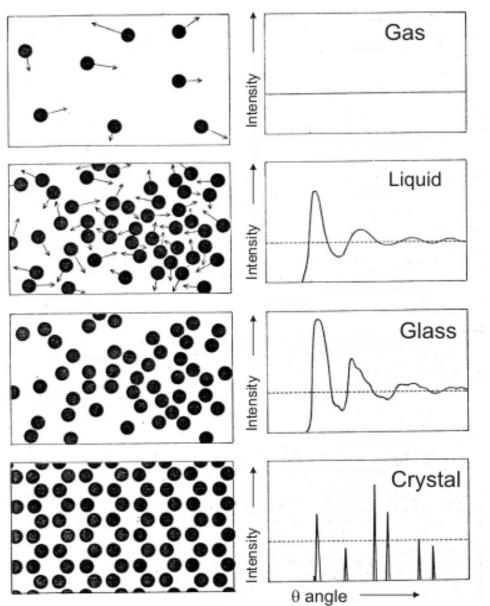
# NON CRYSTALLINE MATERIALS



The radial distribution function g(r) is the FT of the scattered intensity I(Q).

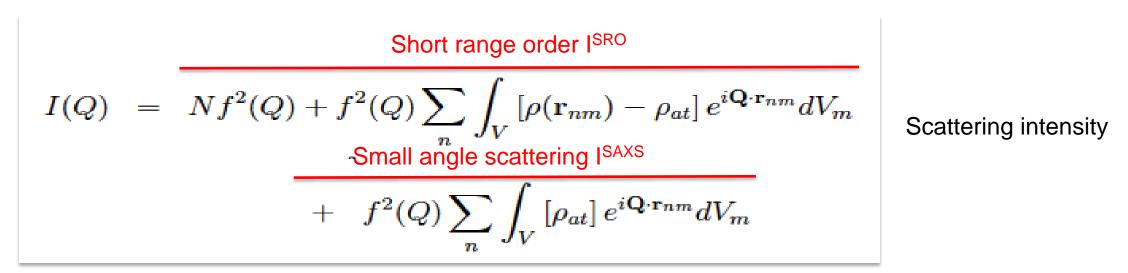


# SCATTERING INTENSITIES : FROM GAS TO SOLIDS





#### SCATTERING OF GLASSES AND LIQUIDS



Deviation of the electron density between two different scattering centers with respect to the **averaged** electron density

$$(\rho_n(\mathbf{r}_{nm}) - \rho_{at})$$

electron density at  $r_{nm}=(r_n-r_m)$  with respect at  $r_n$ 

we add and subtract this term at the scattering intensity

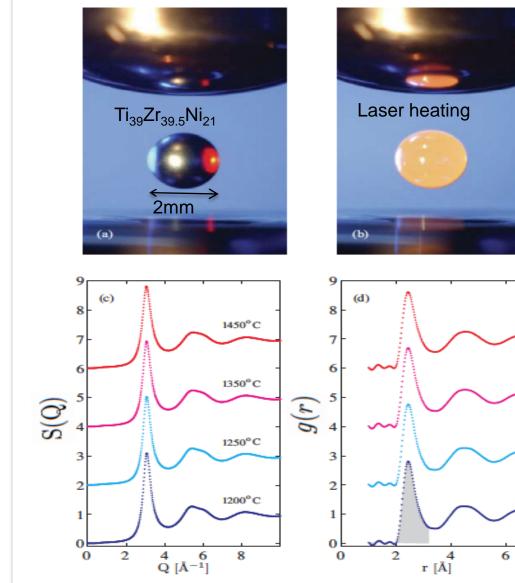
I<sup>SRO</sup> : Structural information on interatomic distances

I<sup>SAXS</sup> : Information on shape. Morphology and size of molecular aggregate



#### **EX.: LIQUID METALS**

#### **Electrostatic levitation**



Liquid/glass "structure factor"  $S(Q) = \frac{I^{SRO}(Q)}{Nf(Q)^2} = 1 + \frac{4\pi}{Q} \int_0^\infty r \left[\rho(r) - \rho_{\alpha r}\right] \sin(Qr) dr$ 

Radial distribution function of Liquid/glass

$$g(\mathbf{r}) = 1 + \frac{1}{2\pi^2 \mathbf{r} \rho_{\alpha}} \int_0^\infty Q \left[ S(Q) - 1 \right] \sin(Q\mathbf{r}) \, dQ$$

The **peak position** indicate the first neighbour distance r=2.5 Å

The **integral** of the first peak gives the number of the atoms in the first coordination shell

$$N_{nn} = \int_{r_1}^{r_2} \rho_{at} g(r) 4\pi r^2 dr$$

N~12 nearest neighbours Icosahedral coordination

# SMALL ANGLE X-RAYS SCATTERING (SAXS)

Scattering at small Q vectors is sensitive to the uniform electron distribution in molecules of shaped large object (polymers, biological macro-molecules ...)

$$I^{saxs}(Q) = f^2(Q) \sum_n \int_V \rho_{at} e^{i\mathbf{Q} \cdot (\mathbf{r}_n - \mathbf{r}_m)} dV_m = \left| \int_V \rho_{sl} e^{i\mathbf{Q} \cdot \mathbf{r}_n} \right|^2$$

Scattering length density:  $ho_{sl}=f(Q)
ho_{at}$  Fourier transform of charge density

For diluted molecules in solutions:

$$I^{saxs}(Q) = (\rho_{sl_p} - \rho_{sl_0}) \int_{V_p} e^{i\mathbf{Q}\cdot\mathbf{r}_n} dV_p$$
Particle scattering  
length density
Solvent scattering  
length density
$$F(Q) = \frac{1}{V_p} \int_{V_p} e^{i\mathbf{Q}\cdot\mathbf{r}_n} dV_p$$

V<sub>p</sub> particle volume

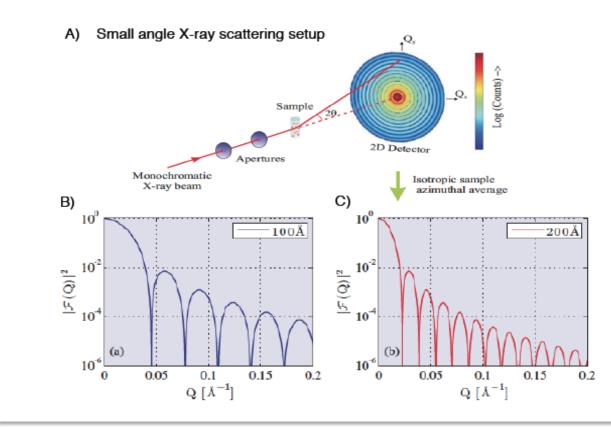


# **EX.: SAXS ON RIGID SPHERES**

Small angle scattering experiment on a rigid sphere of radius R

$$I^{saxs}(Q) = \Delta \rho^2 V_p^2 |F(Q)|^2$$

 $\Delta \rho$ = excess of number of electrons with respect to the solvent F(Q) form factor of the sphere V<sub>p</sub>=volume of the sphere



Form of the a sphere of radius R

$$F^{sphere}(Q) = \frac{1}{V_p} \int_0^R \int_0^{2\pi} \int_0^{\pi} e^{iQr\cos\theta} r^2 \sin\theta d\theta d\phi dr$$
  
$$= \frac{4\pi}{V_p} \int_0^R \frac{\sin(Qr)}{Qr} r^2 dr$$
  
$$= 3 \left[ \frac{\sin(QR) - QR\cos(QR)}{Q^3 R^3} \right]$$
  
$$= -\frac{3J_1(QR)}{QR}$$

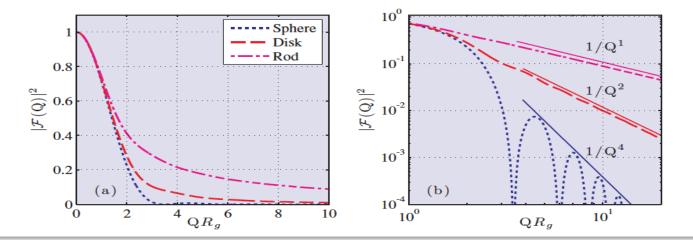
Long wavelength limit QR < < 1

$$I^{saxs}(Q) = \Delta \rho^2 V_p^2$$



# PARTICLE DIMENSIONALITY

	$ \mathcal{F}(\mathbf{Q}) ^2$	Radius of gyration $R_g$
Sphere $(d = 3)$	$\left(\frac{3J_1(QR)}{QR}\right)^2$	$\sqrt{\frac{3}{5}} R$
Disc $(d = 2)$	$\frac{2}{\mathbf{Q}^2 R^2} \left( 1 - \frac{J_1(2\mathbf{Q}R)}{\mathbf{Q}R} \right)$	$\sqrt{\frac{1}{2}} R$
Rod $(d = 1)$	$\frac{2Si(QL)}{QL} - \frac{4\sin^2(QL/2)}{Q^2L^2}$	$\sqrt{\frac{1}{12}} L$



Radius of giration

$$R_g^2 = \frac{1}{V_p} \int_{V_p} \mathbf{r}^2 dV_p$$

$$R_g^2 = \frac{\int_{V_p} \rho_{sl,p}(\mathbf{r}) r^2 dV_p}{\int_{V_p} \rho_{sl,p}(\mathbf{r}) dV_p}$$

Uniform sphere

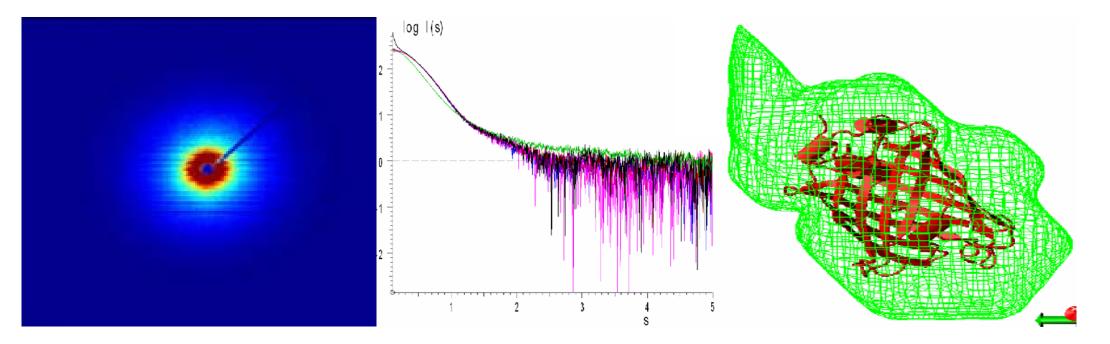
$$I_1^{SAXS}(\mathbf{Q}) \approx \Delta \rho^2 V_p^2 \mathbf{e}^{-\mathbf{Q}^2 R_g^2/3}$$

#### SMALL ANGLE X-RAY SCATTERING (SAXS) IN STRUCTURAL BIOLOGY

Small Angle X-ray Scattering (SAXS) is a technique for studying structure at <u>low resolution</u> in <u>solution</u> & under <u>normal biophysical/biochemical conditions</u>

Information from SAXS:

- model independent parameters (Rg, I(0))
- ab initio shape determination
- rigid body modelling



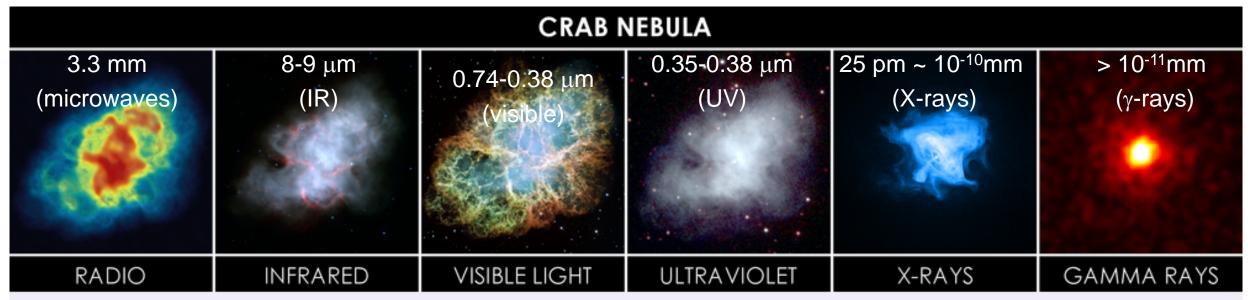
molecular shape, molecular interactions, kinetics, etc...



# X-rays absorption



The absorption of electromagnetic radiation change with the wavelength and depends from the characteristic properties of photon/matter interaction.



The crab nebula in radio, infrared, visible, ultraviolet, x-ray and gamma-ray wavelengths.

Sources: Radio: NRAO/AUI and M. Bietenholz, J.M. Uson, T.J. Cornwell; Infrared: NASA/JPL-Caltech/R. Gehrz (University of Minnesota); Visible: NASA, ESA, J. Hester and A.Loll (Arizona State University); Ultraviolet: NASA/Swift/E. Hoversten, PSU, X-ray: NASA/CXC/SAO/F. Seward et al.; Gamma: NASA/DOE/Fermi LAT/R. Buehler

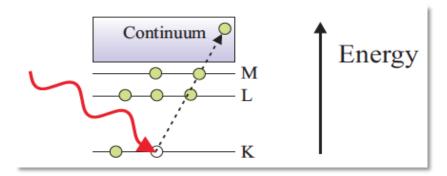


# **ABSORPTION AND EMISSION PROCESSES**

Absorption and emission processes are tools for basic analysis of the electronic structure of atom, molecules and solids over different energy scales.

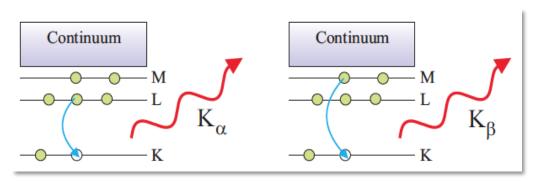
#### **Photo-electric absorption**

Photon absorbed and electron emitted in the continuum



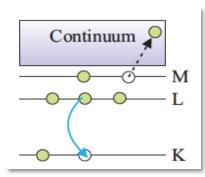
#### **Fluorescent emission**

An electron from the outer shell fill the hole and emit a photon



#### Auger electron emission

The atom relax into the ground state by emitting an electron





#### **INTERACTION HAMILTONIAN**

The interaction Hamiltonian is the weak relativistic limit of Dirac's equation (terms v/c < 1)

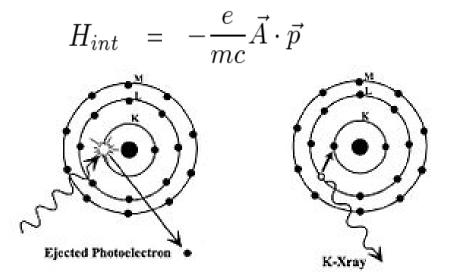
$$H = \frac{1}{2m} \left( \vec{p} + \frac{e}{c} \vec{A} \right)^2 + V(r)$$
  

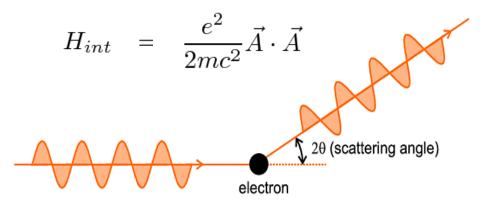
$$H_{int} = -\frac{e}{2mc} (\vec{p} \cdot \vec{A} + \vec{A} \cdot \vec{p}) + \frac{e^2}{2mc^2} \vec{A} \cdot \vec{A}$$
  

$$= -\frac{e}{mc} \vec{A} \cdot \vec{p} + \frac{e^2}{2mc^2} \vec{A} \cdot \vec{A}$$

Absorption and emission processes

Scattering processes

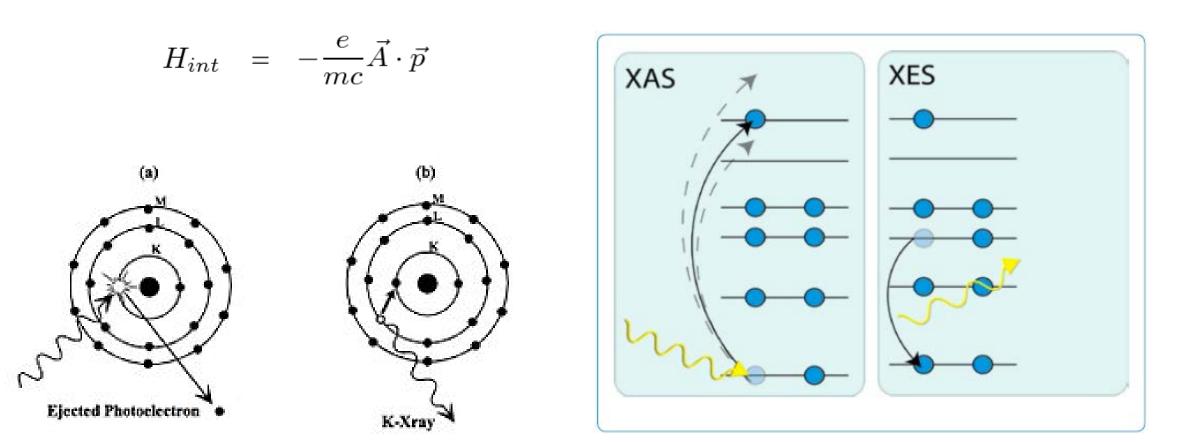






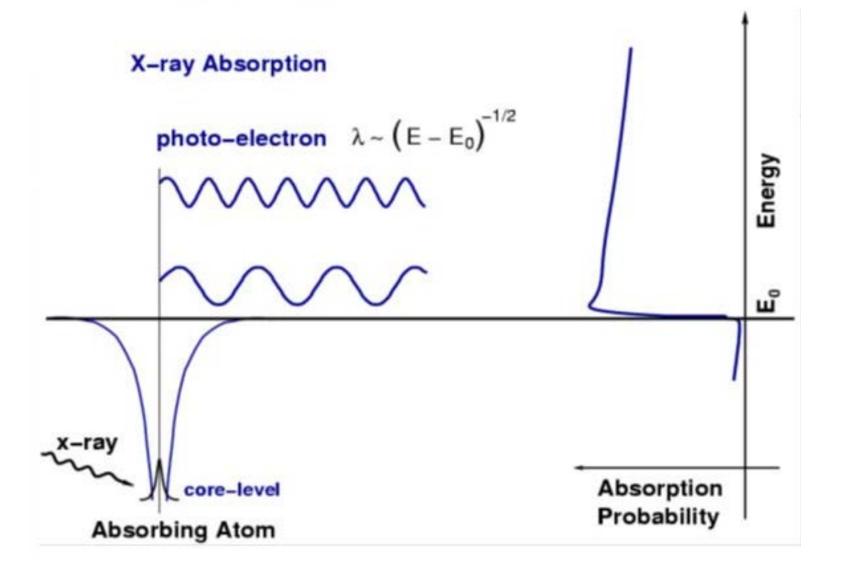
#### PHOTO-ELECTRON ABSORPTION AND EMISSION PROCESSES

Absorption and emission processes of photo-electrons probe the atomic level and valence orbital symmetries of the material under investigation.





#### **ABSORPTION OF AN ISOLATED ATOM**

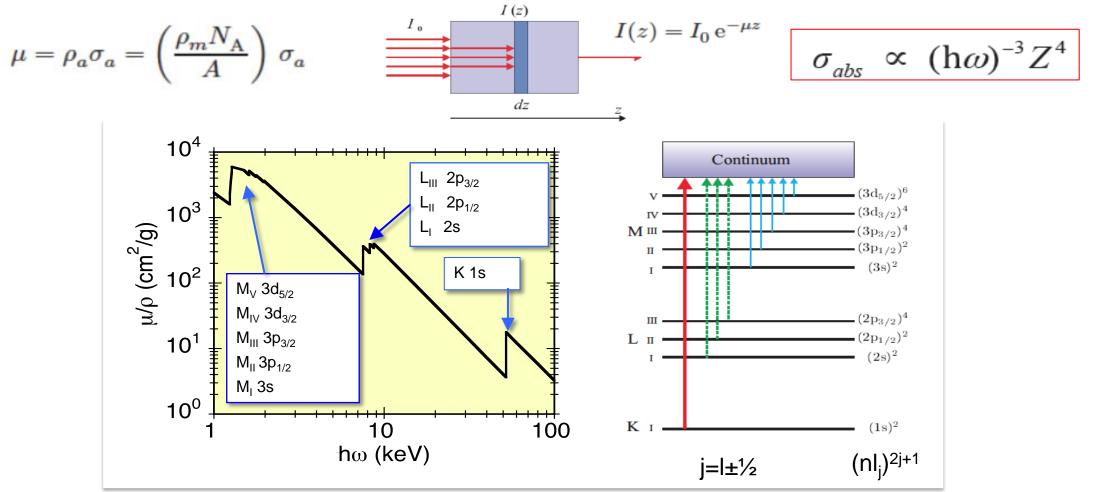




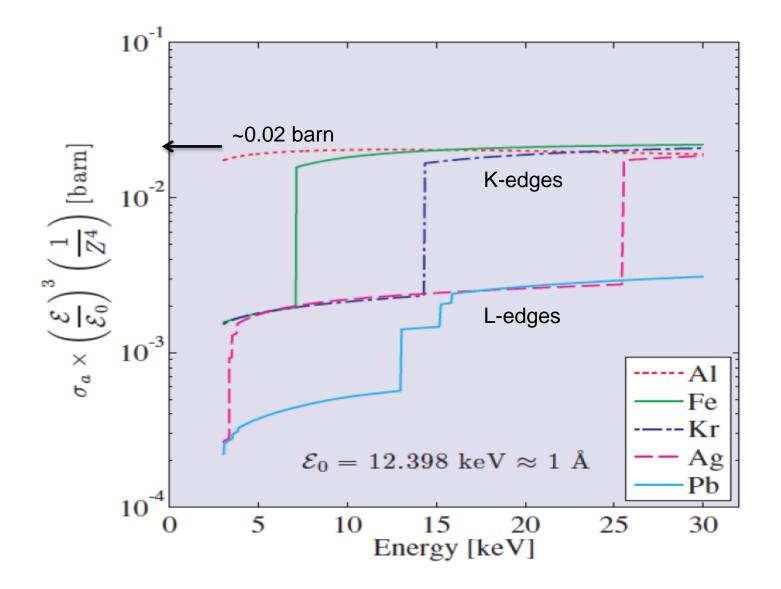
## **X-RAY ABSORPTION EDGES**

X-rays energies are able to extract atomic electrons from the atomic core.

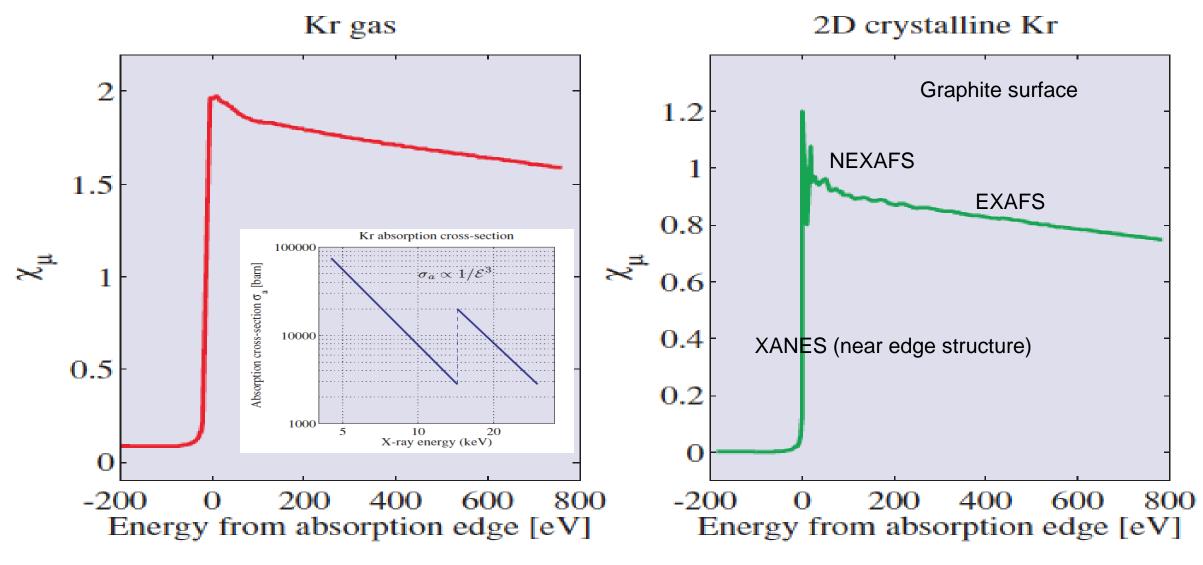
The **element-specific** energies of the discontinuous jumps in the x-rays absorption spectra are called absorption edges.



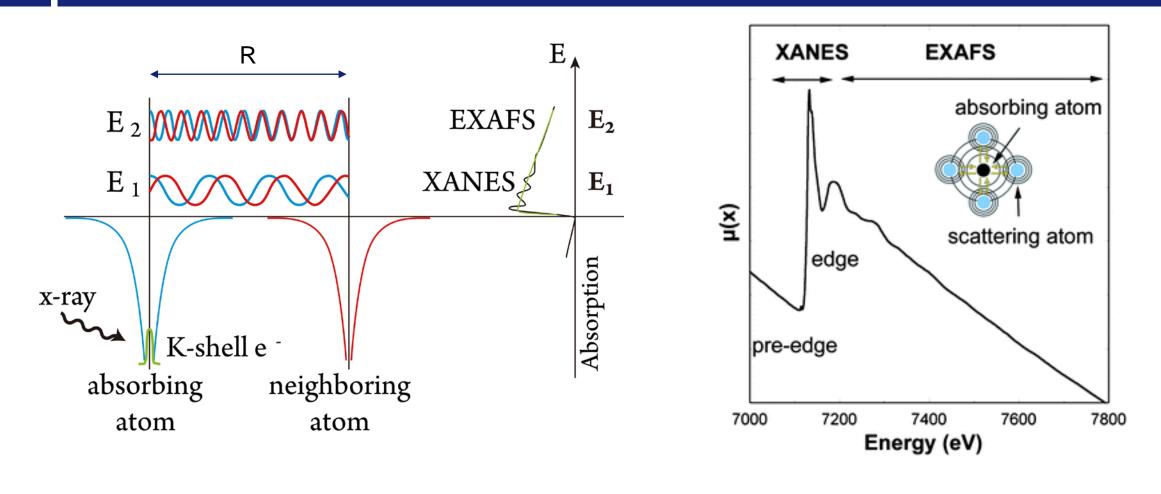
# **EXPERIMENTAL RESULTS K-EDGES**



#### **ABSORPTION IN GAS AND CRYSTALLINE MATERIALS**



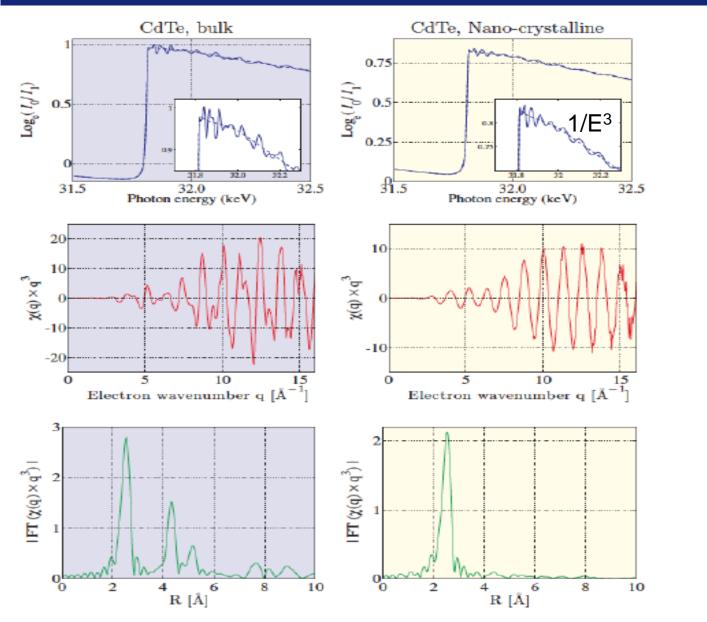




$$\psi_{backscatt.}(0) = t(q) \frac{e^{i(2qR+\delta)} + c.c.}{qR^2}$$

2R= double distance (neighbour)-(absorbing atom) t(q) = scattering amplitude of neighbour atom  $\delta$  = phase shift

# **EXAMPLE: CdTe NANO-CRYSTALS - I**



Te K-edge

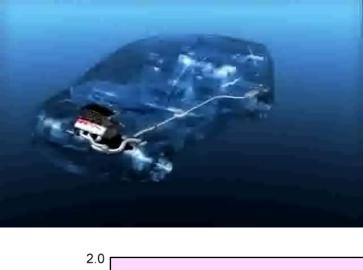
Nano-crystals: N=3.55 Only first neighbours (reduced clusters)

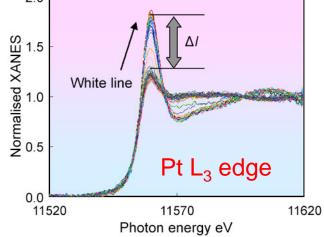


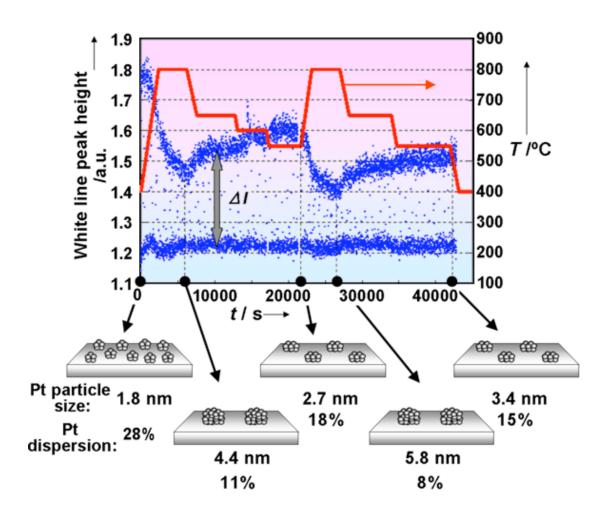


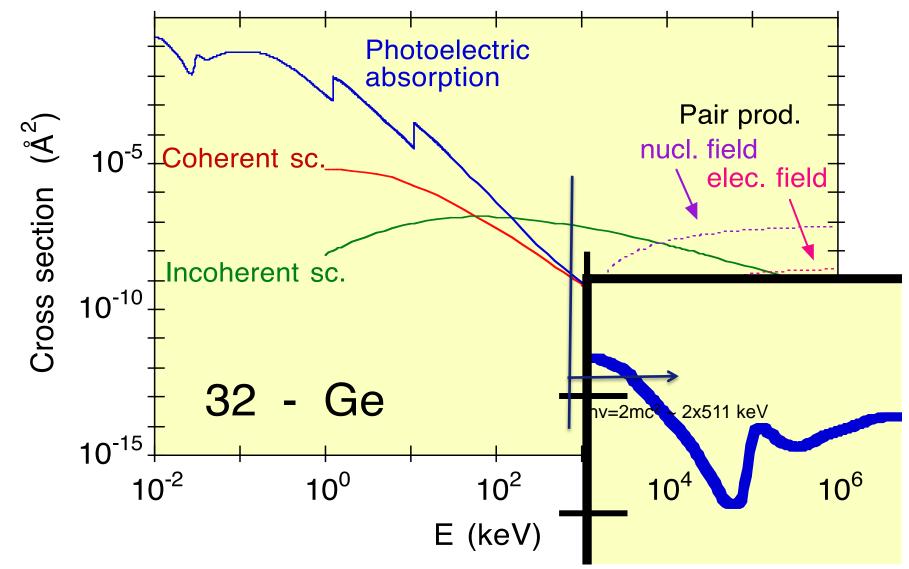
# TIME - RESOLVED XANES : CATALYSIS STUDIES

Time resolved absorption spectroscopy could help in understanding the mechanism of CO catalysis at interfaces by monitoring the time evolution of oxidation state of Pt.











# POLARIZATION DEPENDENT ABSORPTION

#### Linear dichroism

Produced by the preferential absorption of one of the two orthogonal photon polarization



Linearly oriented polimers When the electric field is parallel to the preferential molecular axis, it is absorbed

#### **Circular dichroism**

Produced by the preferential absorption of one of the two circular photon polarization



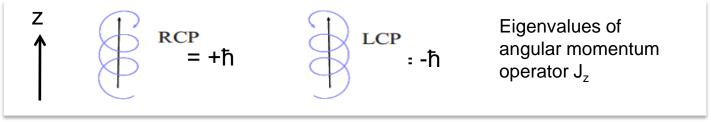
Combination of  $\lambda/4$  and linear polarized filters have the different effect on circular polarization. Circular dichroism is found also in chiral molecules which select only one circular polarization (ex. sugar)



## **X-RAYS MAGNETIC CIRCULAR DICHROISM**

Quantum description of a a circular polarised photon beam:

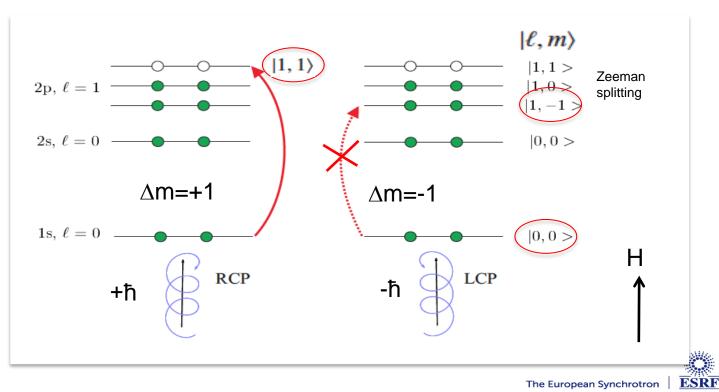
RCP and LCP eigenstates of J<sub>z</sub>



The sum rule for the conservation of angular momentum in electronic transition produces a difference in the absorption of RCP and LCP photons.

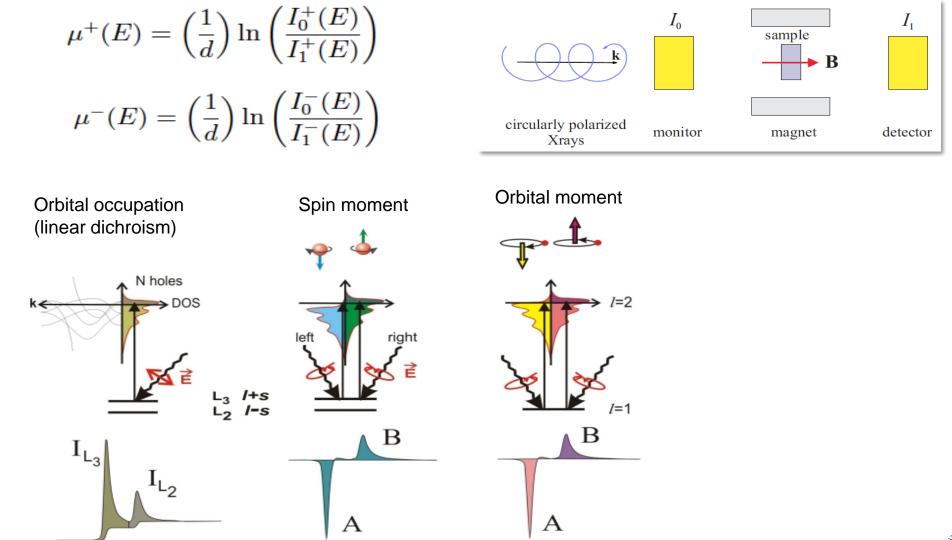
Ex.: Dipole electric transitions in Oxigen (E1) selection rule:  $\Delta l \pm 1$ (odd function for coordinate exch.)

Transition allowed:  $\Delta m=+1$  for RCP  $\Delta m=-1$  for LCP



## X-RAY MAGNETIC CIRCULAR DICROISM

X-ray magnetic dichroism is the difference in the absorption coefficients  $\mu^{\pm}$  of left (-) and right (+) circularly polarized x-rays.



## SINGLE MOLECULE MAGNETS ON FERROMAGNETIC METALS

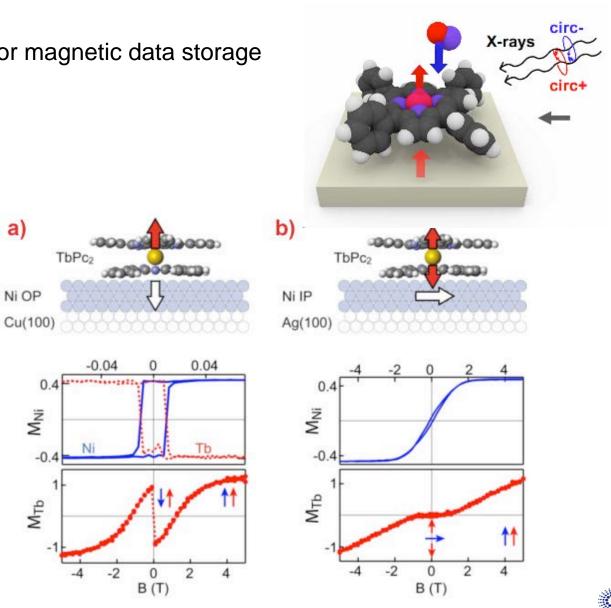
A. Lodi Rizzini et al., Phys. Rev. Lett. 107, 177205 (2011)

Single molecule magnets are ideal candidates for magnetic data storage and quantum computing applications.

Element-resolved magnetization measurements using X-ray magnetic circular dichroism (soft x-rays)

Tb-complex on Ni metal film a) Cu(110) substrate -> out-of-plane magnetization b) Ag(100) substrate -> in-plane magnetization

- a) Ni AF to Tb at H=0 H-dependent F or AF coupling finite remanent magn. up to 100K square hysteresis loop
- b) Frustrated Tb magnetization zero remanence at H=0

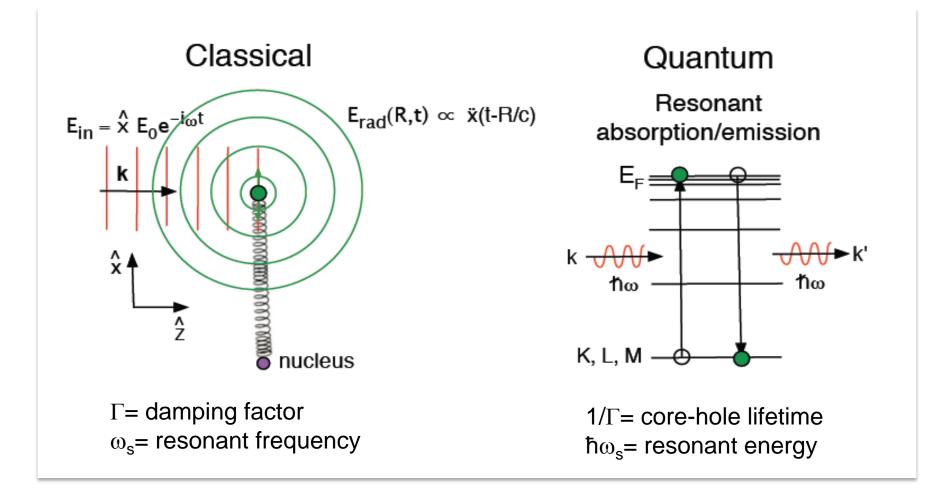


# X-rays optical properties



## **SCATTERING FROM BOUND ELECTRONS**

We suppose the electron be subject to the electric field  $E_{in}$  of an incident X-ray beam and to a damping term  $\Gamma$  proportional to the electron velocity  $\dot{x}$  which represents dissipation of energy.





## THE CLASSICAL FORCED OSCILLATOR

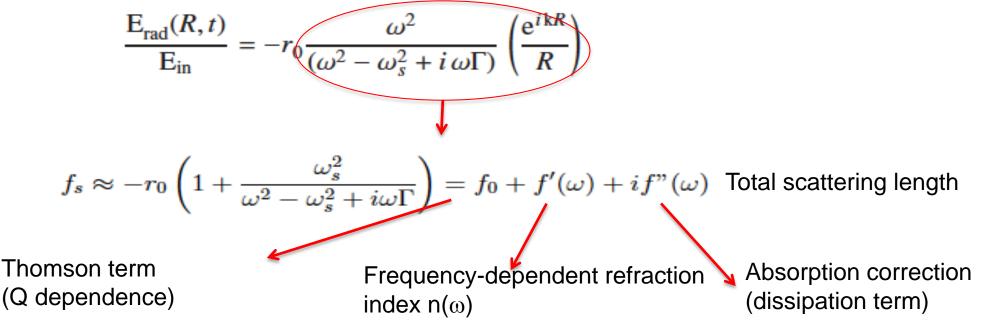
The amplitude of the forced oscillations:

$$x_0 = -\left(\frac{e \,\mathrm{E}_0}{m}\right) \frac{1}{(\omega_s^2 - \omega^2 - i\,\omega\Gamma)}$$

 $\Gamma$ = damping factor  $\omega_s$ = resonant frequency

The radiated field  $E_{rad}$  is proportional to the acceleration of the electron  $\ddot{x}(t-R/c)$  at the detector position R and at retarded time t'=t-R/c:

 $\ddot{x}(t-R/c) = -\omega^2 x_0 e^{-i\omega t} e^{i(\omega/c)R}$ 



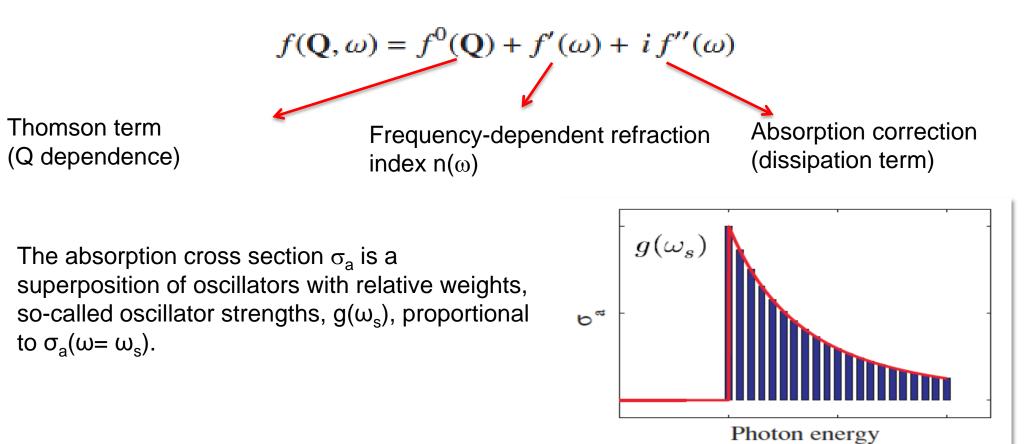
The European Synchrotron

ESRF

#### **X-RAY ABSORPTION AND DISPERSION CORRECTIONS**

Because the electrons are bound in atoms with discrete energies, a more elaborate model than that of a cloud of free electrons must be invoked.

The scattering amplitude includes two energy dependent term  $f'(\omega)$  and  $f''(\omega)$  which are called "dispersion corrections".



## **SCATTERING AND REFRACTIVE INDEX**

The existence of resonant scattering terms arising from the dispersion corrections can therefore be expected to lead to a frequency dependence of the refractive index n.

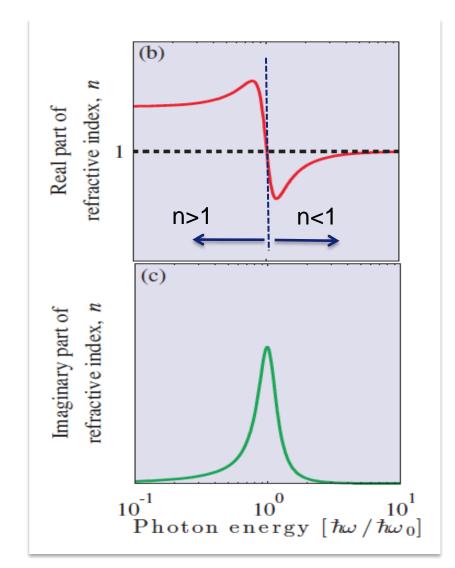
$$n^{2} = 1 + \left(\frac{e^{2}\rho}{\epsilon_{0}m}\right) \frac{1}{(\omega_{s}^{2} - \omega^{2} - i\,\omega\Gamma)}$$

For 
$$\omega << \omega_s => n>1$$
 visible light  
For  $\omega >> \omega_s => n<1$  x-rays

Notice that if  $\omega >> \omega_s >> \Gamma$ 

$$n \approx 1 - \frac{1}{2} \frac{e^2 \rho}{\epsilon_0 m \omega^2} = 1 - \frac{2\pi \rho r_0}{k^2}$$

Real part of refractive index





## **SCATTERING AND REFRACTION INDEX**

Scattering and refraction are alternative ways to view the same physical phenomenon.

$$n = 1 - \delta + i\beta$$

$$\delta = (f^{0}(Q) + f') \frac{2\pi\rho_{at}r_{0}}{k^{2}}$$

$$\beta = -f'' \frac{2\pi\rho_{at}r_{0}}{k^{2}}$$

$$\rho_{at} = \sum_{i} \frac{Z_{i}}{V_{m}}$$
atomic number  
density
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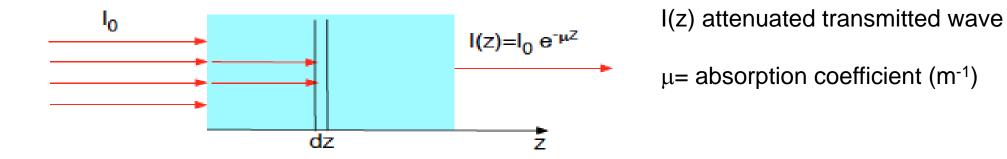
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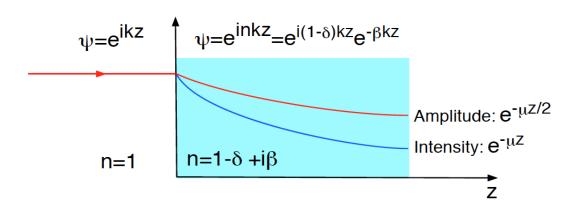
#### REFRACTIVE INDEX AND ABSORPTION COEFFICIENT $\boldsymbol{\mu}$

X-rays propagating from vacuum to a absorbing medium of thickness Z



The attenuation length  $\mu^{-1}$  is the distance where the intensity of the transmitted beam has dropped to 1/e

The waves amplitude in attenuated ( $\beta$ ) and phase shifted ( $\delta$ )



Relation between the absorption and the refraction index

 $\beta = \frac{\mu}{2k}$ 



## **OPTICAL THEOREM**

Taking into account the Thomson dispersion corrections

$$\begin{split} \delta &= (f^0(0) + f') \frac{2\pi \rho_{at} r_0}{k^2} \\ \beta &= -f'' \; \frac{2\pi \rho_{at} r_0}{k^2} \end{split}$$

$$f(\mathbf{Q}, \omega) = f^{0}(\mathbf{Q}) + f'(\omega) + i f''(\omega)$$

Forward direction Q=0

Relation between the imaginary part of anomalous dispersion and the absorption coefficient

$$f'' = -\left(\frac{k^2}{2\pi\rho_{at}r_0}\right)\frac{\mu}{2k}$$

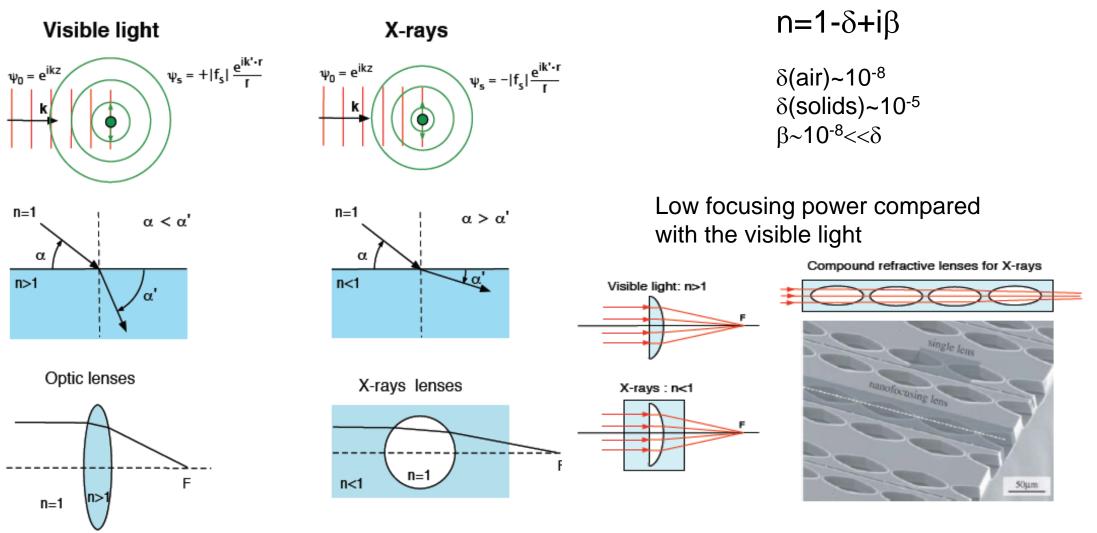
And because  $\mu = 
ho_{at} \sigma_a$ 

$$f'' = -\left(\frac{k}{4\pi r_0}\right)\sigma_a$$
 Optical Theorem



## **REFRACTION: X-RAYS AND VISIBLE LIGHT**

#### Snell law: $n_1 cos \alpha = n_2 cos \alpha'$

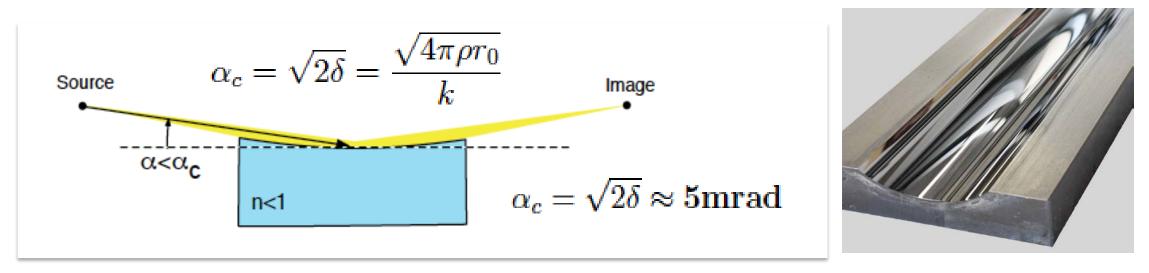




Refraction index for X-rays:

## **X-RAY FOCUSING MIRRORS**

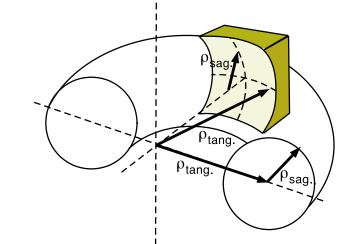
#### The critical angle for the total reflection



High quality mirrors are required for x-rays focusing and a large radius tangential focusing

Ex: silicon mirror with toroidal shape Distance from source p=76m, Distance mirror object q=26m  $\theta$ =2.7 mrad

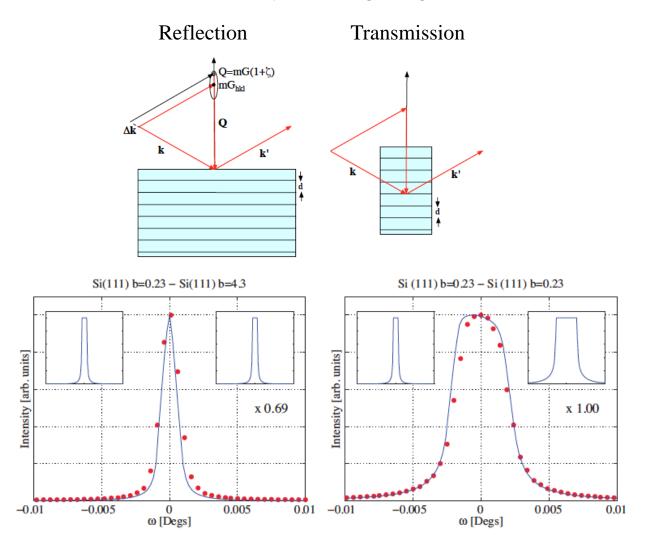
$$\rho_{\text{sagital}}$$
=27 cm  $\rho_{\text{tang}}$ =27 km





## **X-RAYS MONOCHROMATORS**

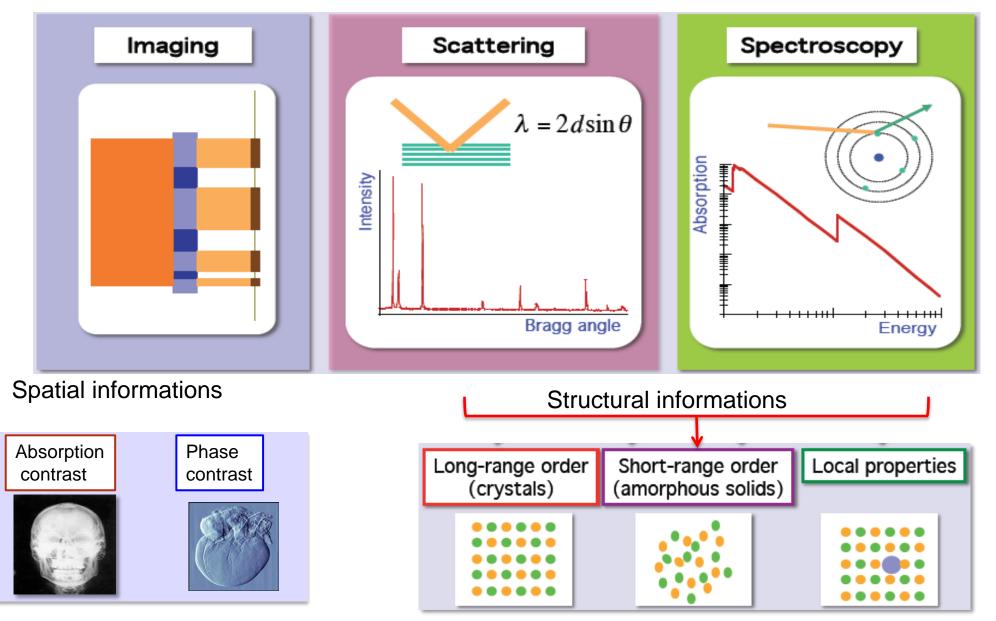
The Bragg diffraction from perfect crystals select a wavelength  $\lambda$  from the synchrotron radiation spectrum emitted by bending magnets or undulators



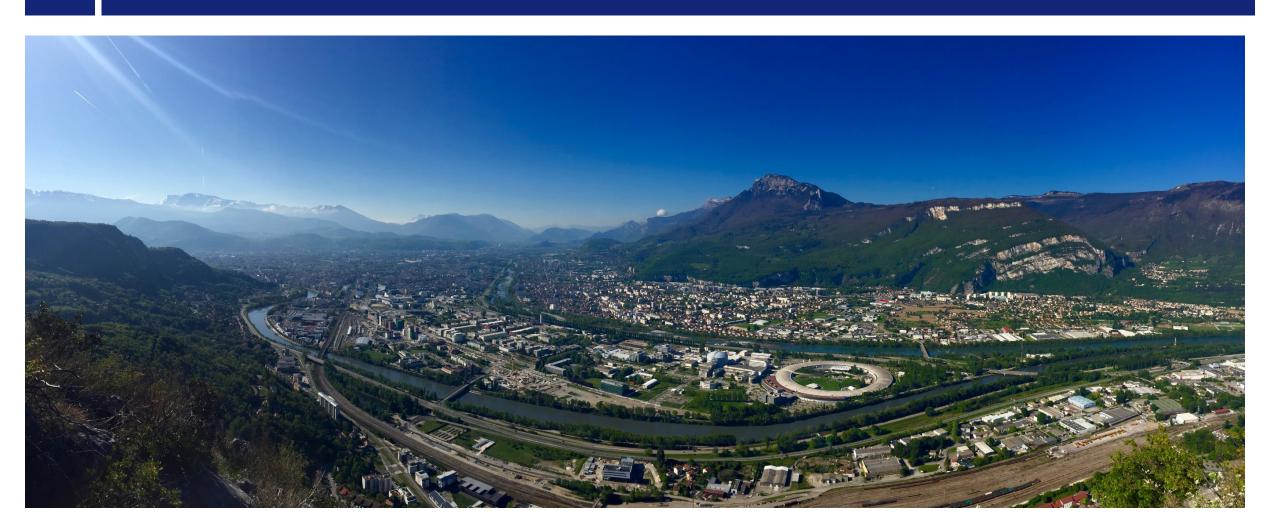




## **X-RAY SCATTERING METHODS**



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## Thank you for your attention!

