

# Magnetic and lattice excitations characterized with neutron scattering

Mechthild Enderle

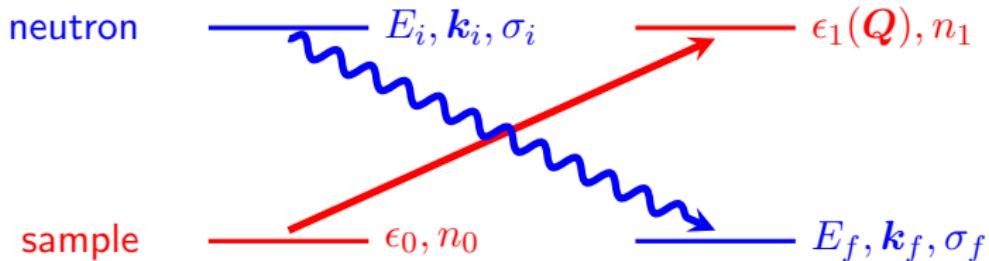
Institute Laue-Langevin, Grenoble

September 21, 2022

# Outline

- ▶ Interaction neutron – matter
- ▶ Collective dynamics: Dispersion
- ▶ Collective dynamics: Intensities
- ▶ More than spin waves: Quantum many-body states

# Master equation for neutron scattering



- ▶ incoming/scattered neutron plane wave
- ▶ energies far away from nuclear resonances

Energy conservation

$$E_i - E_f = \epsilon_1 - \epsilon_0$$

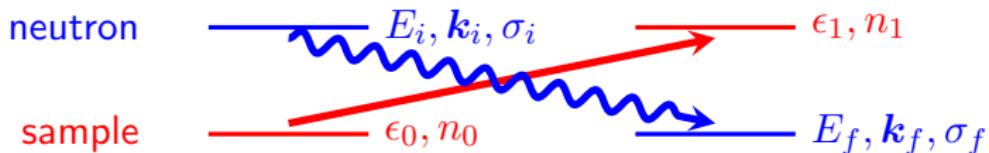
Momentum/wave vector conservation

$$\mathbf{k}_i - \mathbf{k}_f = \mathbf{Q}$$

# Master equation for neutron scattering

- feable interaction  $V \rightarrow$  single scattering process
- 1st order perturbation - 1st Born approximation -  
- Fermi's golden rule

$$\frac{d^2\sigma}{d\Omega dE_f} \Big|_{n_0, \sigma_i \rightarrow n_1, \sigma_f} = \frac{k_f}{k_i} \left( \frac{m}{2\pi\hbar^2} \right)^2 \left| \langle \mathbf{k}_f \sigma_f n_1 | V | \mathbf{k}_i \sigma_i n_0 \rangle \right|^2 \cdot \delta(\epsilon_1 - \epsilon_0 - (E_i - E_f))$$



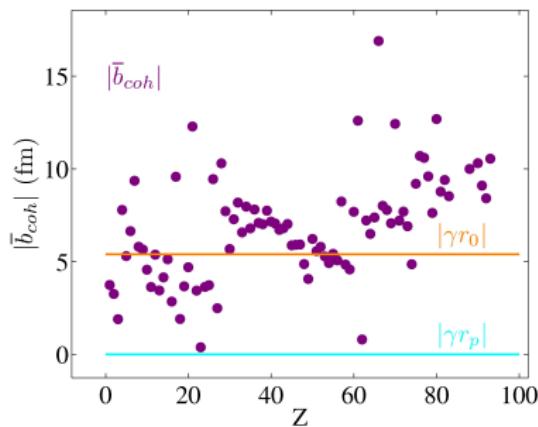
Intensities can be calculated

# Interactions of neutrons with matter V

- n – atomic nucleus strong interaction
- n – electronic magn. moment dipole-dipole interaction
- n – electric field spin-orbit + Foldy interaction

average (absolute)  
scattering length

nucleus	+6.5	fm
el. magn. mom.	-5.4	fm ( $\cdot S(J)$ )
electric field	+1.5	am



magnetic/nuclear intensities comparable  
charge  $< 1/10^6$

# Nuclear interaction potential

Fermi pseudopotential  $V_N$  for neutron scattering from one nucleus

$$V_N(\mathbf{r}) = \frac{2\pi\hbar^2}{m} b \delta(\mathbf{r} - \mathbf{R}_j)$$

Coherent scattering length  $\bar{b}$ : average over  $\left\{ \begin{array}{l} \text{nuclear spin states} \\ \text{isotopes} \\ \text{of an element.} \end{array} \right.$

entire sample:  $V_N(\mathbf{r}) = \frac{2\pi\hbar^2}{m} \sum_{j=1}^{10^{23}} \bar{b}_j \delta(\mathbf{r} - \mathbf{R}_j) = \frac{2\pi\hbar^2}{m} N(\mathbf{r})$

$b$       •      •      •      •      •      •      •      •      •      •

$\bar{b}$       •      •      •      •      •      •      •      •      •

# Magnetic interaction potential

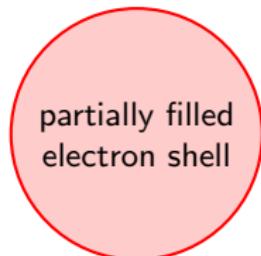
magnetic potential  $V_M$  for neutron scattering from one electron

$$V_M(\mathbf{r}) = -\boldsymbol{\mu}_n \cdot \mathbf{B}_e$$

$$V_M(\mathbf{r}) = -\boldsymbol{\mu}_n \cdot \nabla \times \mathbf{A}$$

vector potential  $\mathbf{A}$

$$V_M(\mathbf{r}) = -\boldsymbol{\mu}_n \cdot \nabla \times \left( \underbrace{\nabla \times \frac{\boldsymbol{\mu}_e^S}{r}}_{\text{spin}} + \underbrace{\nabla \times \frac{\boldsymbol{\mu}_e^L}{r}}_{\text{orbital current}} \right)$$



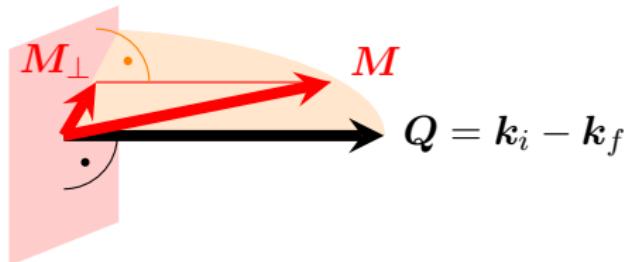
total (spin+orbital)  
magnetic moment  $\boldsymbol{\mu}_e$

# Magnetic matrix element - Fourier transform

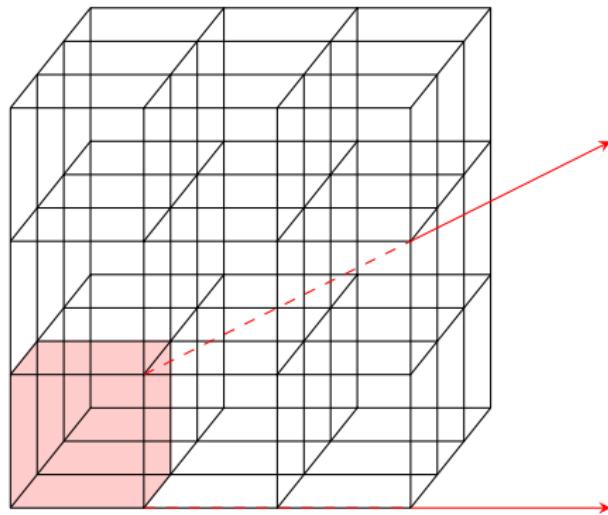
one electron

$$\begin{aligned} & \langle \mathbf{k}_i \sigma_i n_0 | -\boldsymbol{\mu}_n \cdot \nabla \times (\nabla \times \frac{\boldsymbol{\mu}_e^{tot}}{r}) | \mathbf{k}_f \sigma_f n_1 \rangle \\ = & \langle \sigma_i n_0 | -\boldsymbol{\mu}_n \cdot \underbrace{(\hat{\mathbf{Q}} \times (\hat{\mathbf{Q}} \times \boldsymbol{\mu}_e^{tot}(\mathbf{Q})))}_{-\boldsymbol{\mu}_n \cdot \boldsymbol{\mu}_e^{\perp tot}(\mathbf{Q})} | \sigma_f n_1 \rangle \\ = & \langle \sigma_i n_0 | -\boldsymbol{\mu}_n \cdot \boldsymbol{\mu}_e^{\perp tot}(\mathbf{Q}) | \sigma_f n_1 \rangle \end{aligned}$$

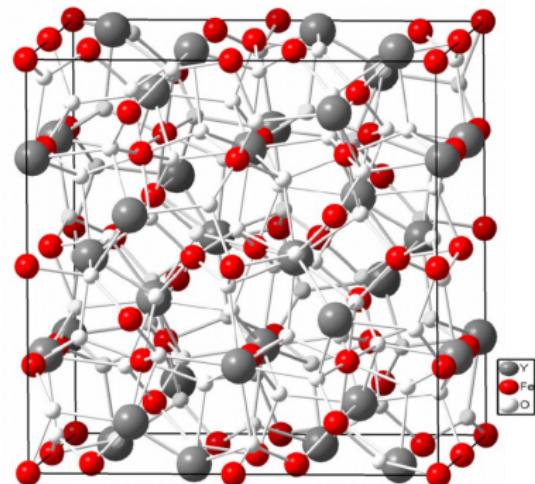
entire sample  $\sum \boldsymbol{\mu}_e^{\perp tot}(\mathbf{Q}) = \mathbf{M}_{\perp}(\mathbf{Q})$



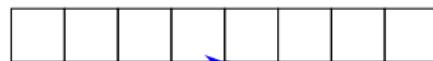
# Single crystals – periodic arrays



unit cell



neutron plane wave



interference pattern

# Coherent and incoherent scattering

Coherent scattering

average scattering amplitude

equal objects

spatial and temporal interference  
of many objects

Pair correlation

Full spatial information

Incoherent scattering

standard deviation

unequal objects

- isotopes
- nuclear spin directions
- electronic spin directions

temporal interference  
of 1 object with itself

Autocorrelation

No spatial information

# Coherent and incoherent scattering

Coherent scattering

average scattering amplitude

equal ~~objects~~ mag. moments

polarisation analysis

low temperature

Incoherent scattering

standard deviation

unequal objects

– ~~isotopes~~

– ~~nuclear spin directions~~

– ~~electronic spin directions~~

magnetic pair correlations separate from

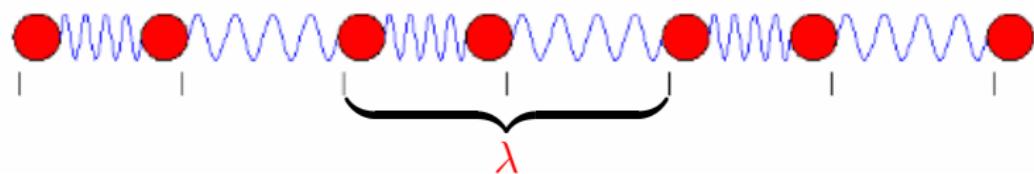
{ incoherent scattering  
phonons  
background

## Collective motion – coherent dynamics – "ballet"

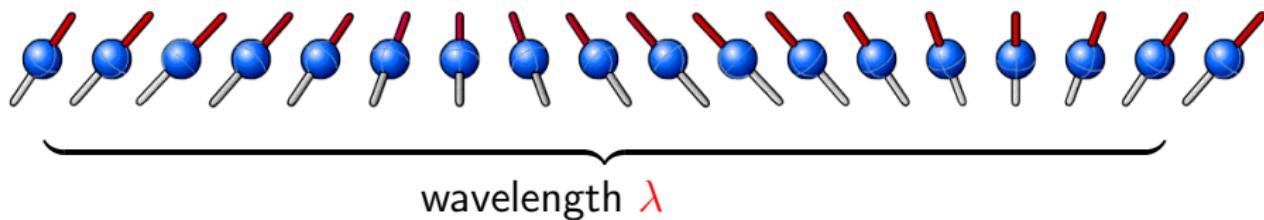
"snapshot" interference patterns

taken with the stroboscopic frequency  $\hbar\omega = E_i - E_f$

phonons



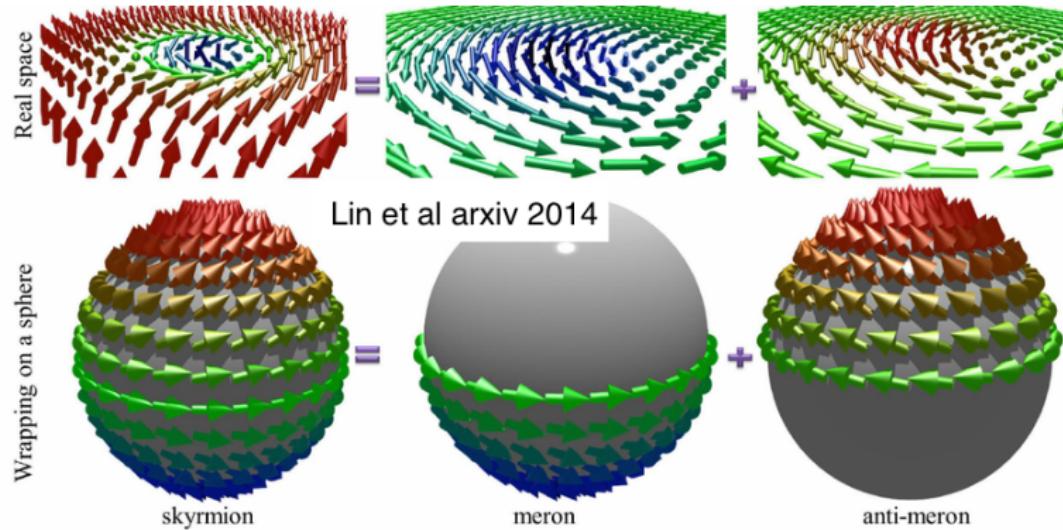
magnons



$$\text{wave vector } Q = \frac{2\pi}{\lambda}$$

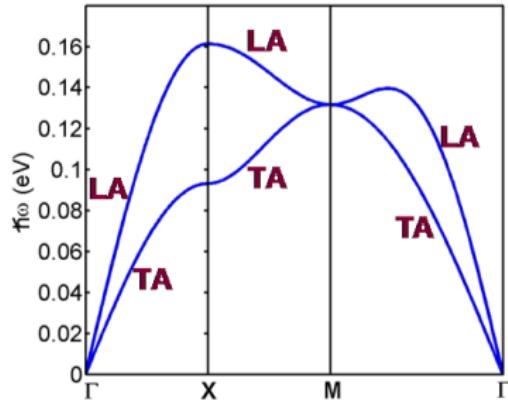
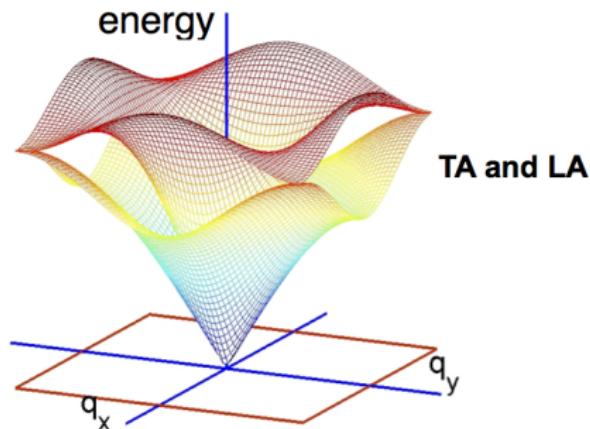
# Collective motion – coherent dynamics – "ballet"

topological magnetic excitations:  
solitons – skyrmions – merons – antimerons



quantum mechanical analogues:  
spinons, vector bosons . . .

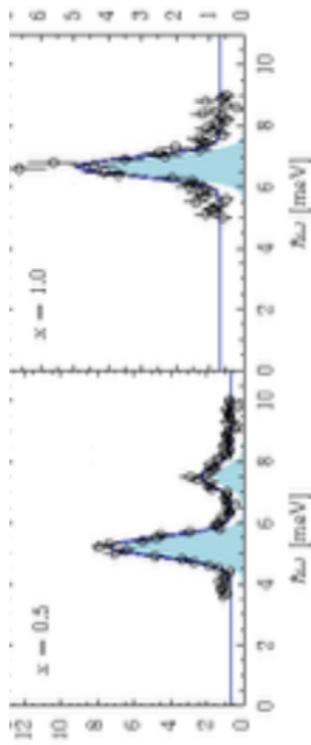
# Dispersion surface – energy $\hbar\omega$ as function of $Q_x, Q_y, Q_z$



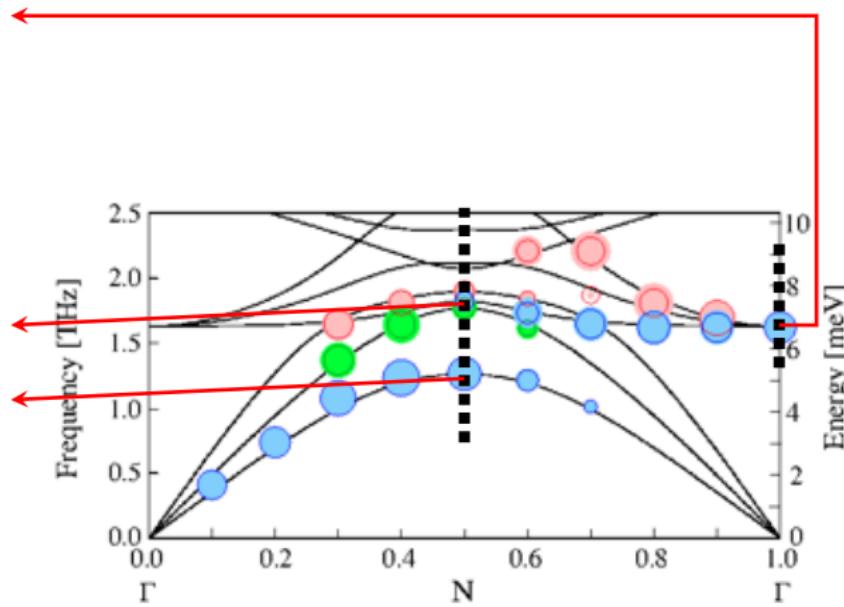
F Rana 2009

spatial interference pattern: discrete  $Q$ -pattern at each  $\hbar\omega$   
↔ discrete  $\omega$  at given  $Q$

# Collective dynamics: signature dispersion $\hbar\omega(\mathbf{Q})$



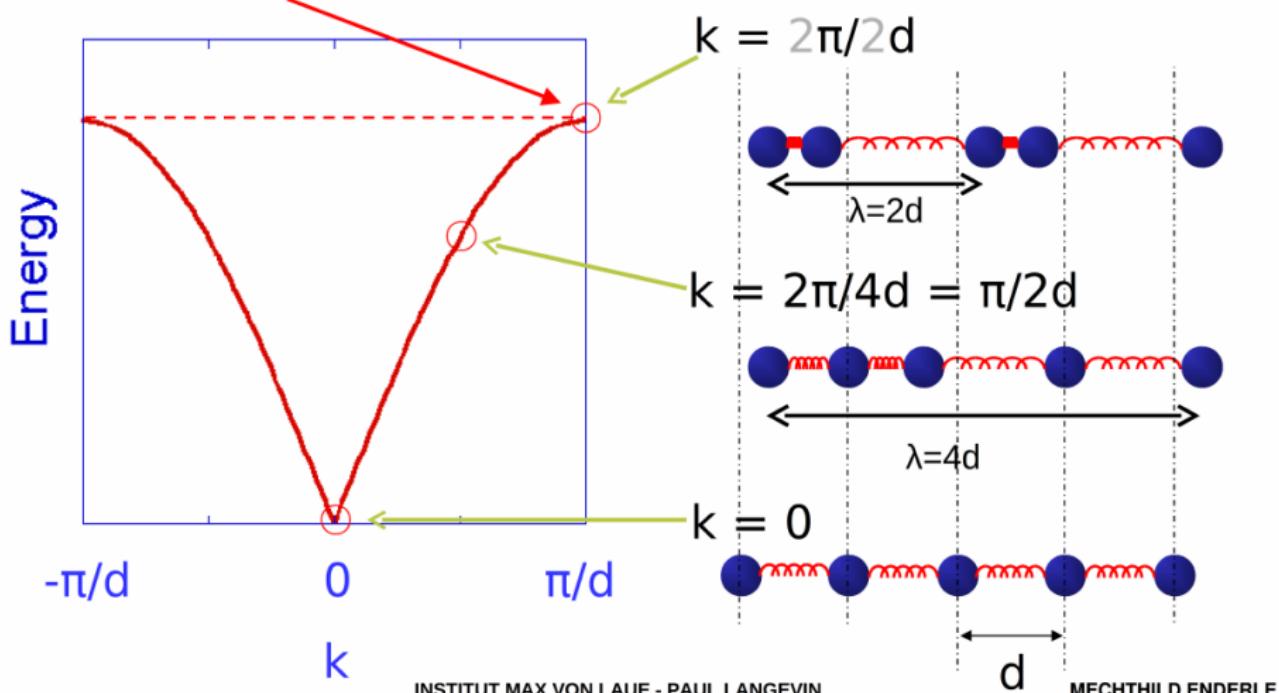
phonons (TAS IN8)



M.M. Koza *et al.* PRB **91** 014305 (2015)

# Collective lattice excitations: phonons (LA)

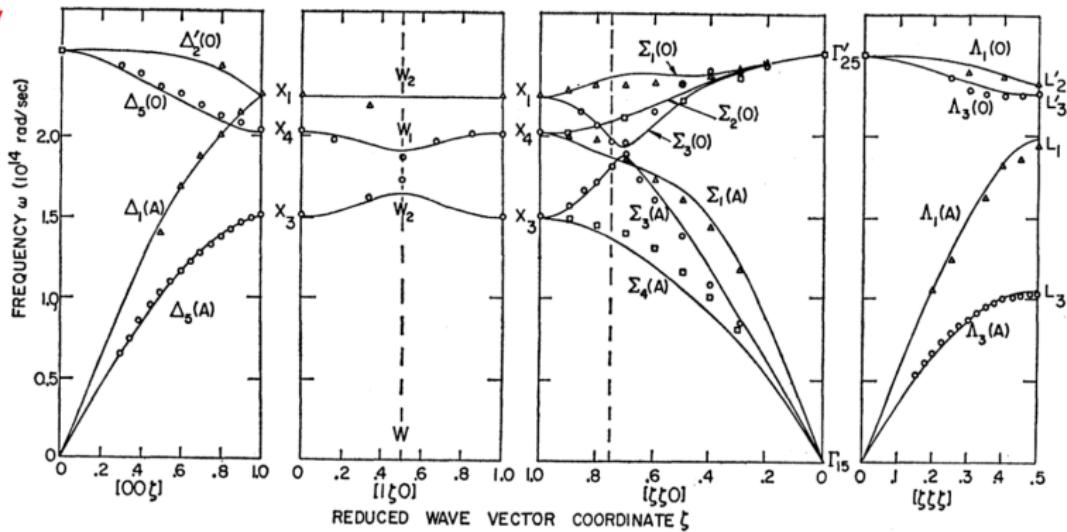
Function of **interaction, M**



# Phonons in diamond

diamond:  covalent bonds

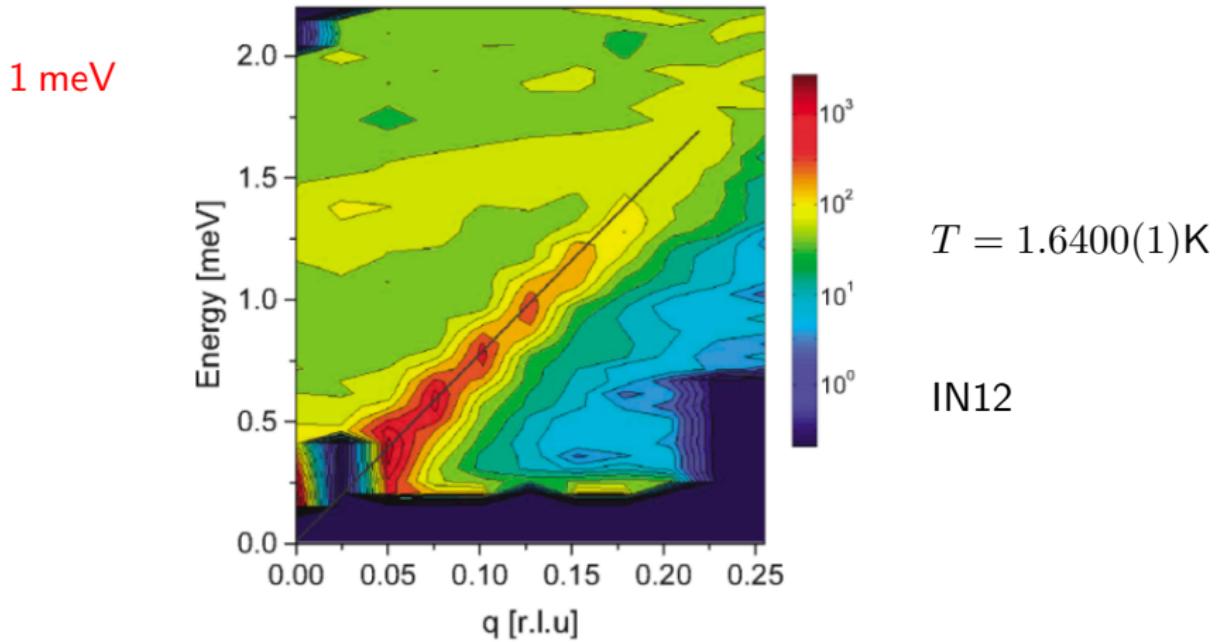
1000 meV



J.L. Warren *et al.* Phys.Rev. **158** 805 (1967)

# Phonons in bcc $^4\text{He}$

bcc  $^4\text{He}$   van der Waals (+quantum effects)



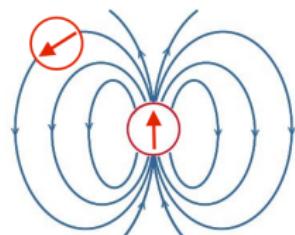
M. Markovich *et al.* PRL **88** 195301 (2002)

# "Magnetic springs" - mostly super-exchange

dipole-dipole

$\sim \mu\text{eV}$

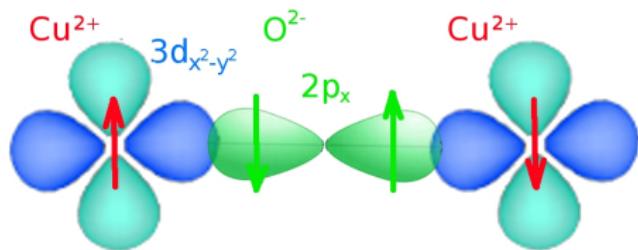
$$E = -\mathbf{m}_1 \cdot \mathbf{B}_2$$



super-exchange

$\sim \text{meV} - 0.5\text{eV}$

overlapping orbitals  
+ Pauli principle  
+ Coulomb interaction



# Magnetic interactions – magnetic long-range order

may favor

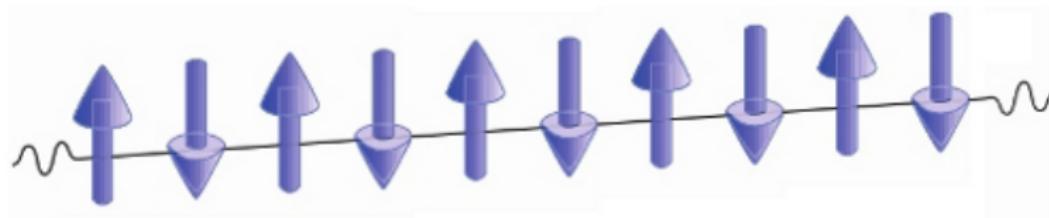
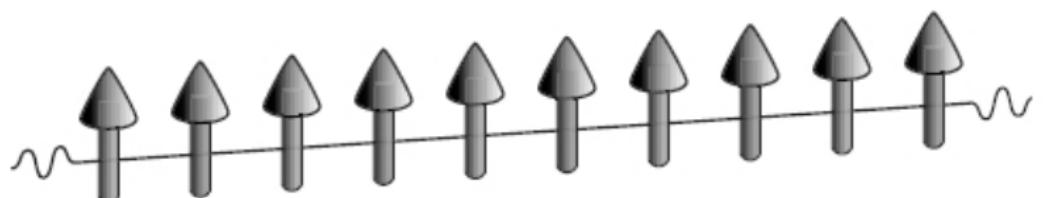
parallel

antiparallel

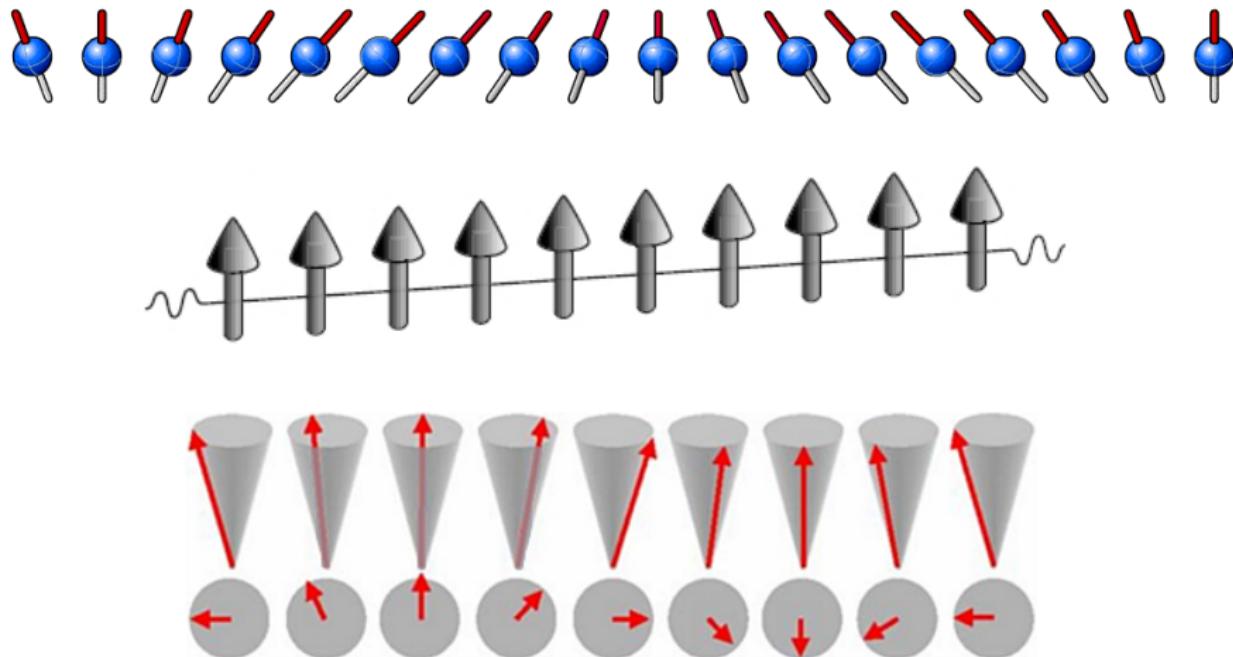
magnetic moments:

Ferromagnet

Antiferromagnet

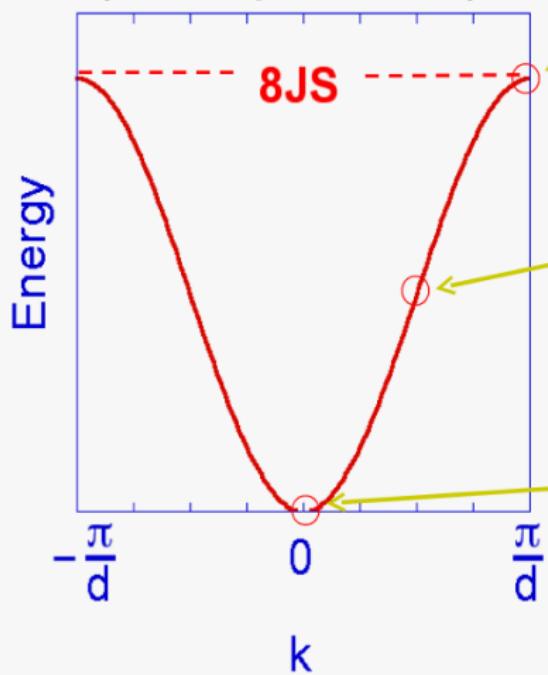


## Spin waves in a ferromagnet



# Collective excitations of the ferromagnet: magnons

$$\hbar\omega(q) = 4SJ [1 - \cos(qa)]$$



$$k = \pi/d$$



$$k = \pi/2d$$



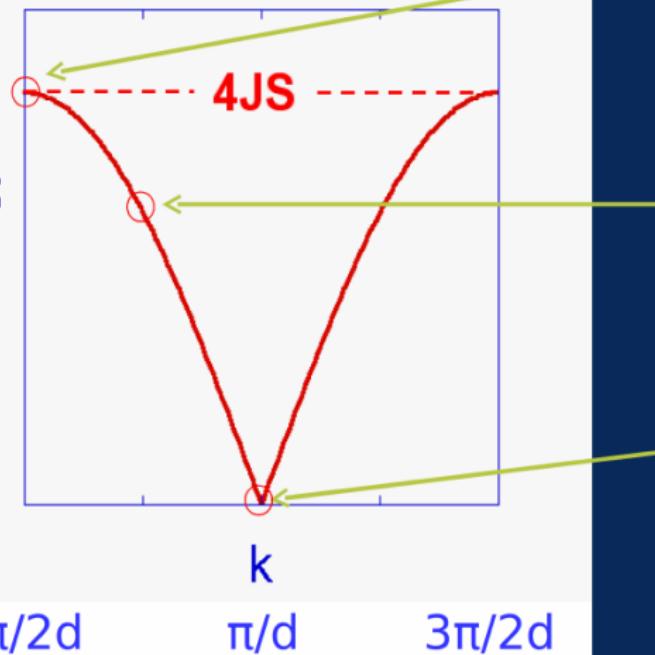
$$k = 0$$



# Magnons in the "classical" antiferromagnet

$$\hbar\omega(q) = 4S |J| |\sin(qa)|$$

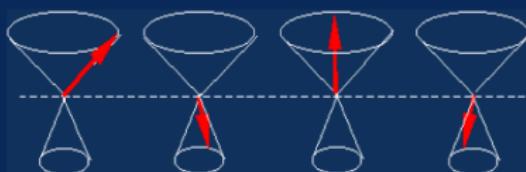
Energy



$$k = \pi/2d$$



$$k = 3\pi/4d$$

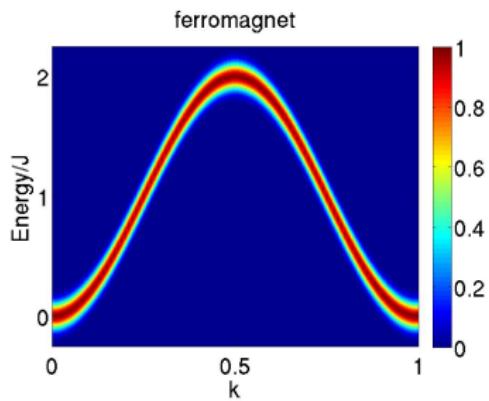
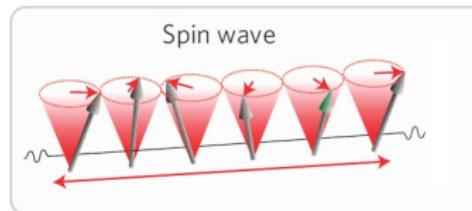
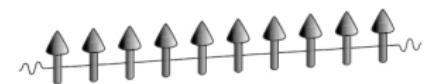


$$k = \pi/d$$

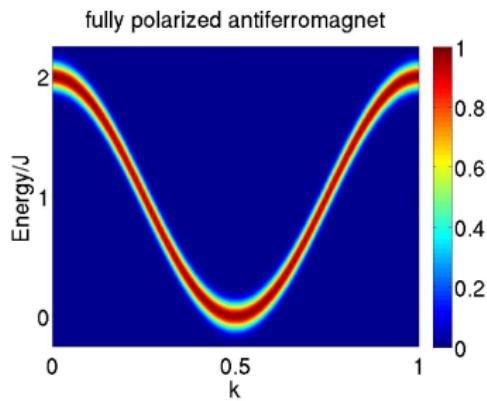
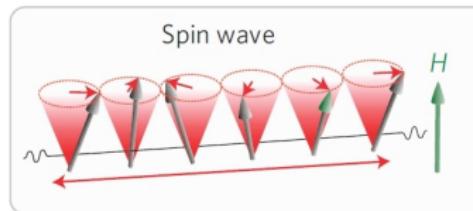
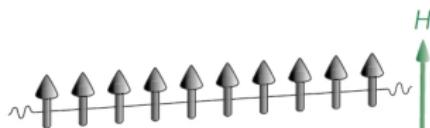


# Magnon dispersion reveals microscopic interactions

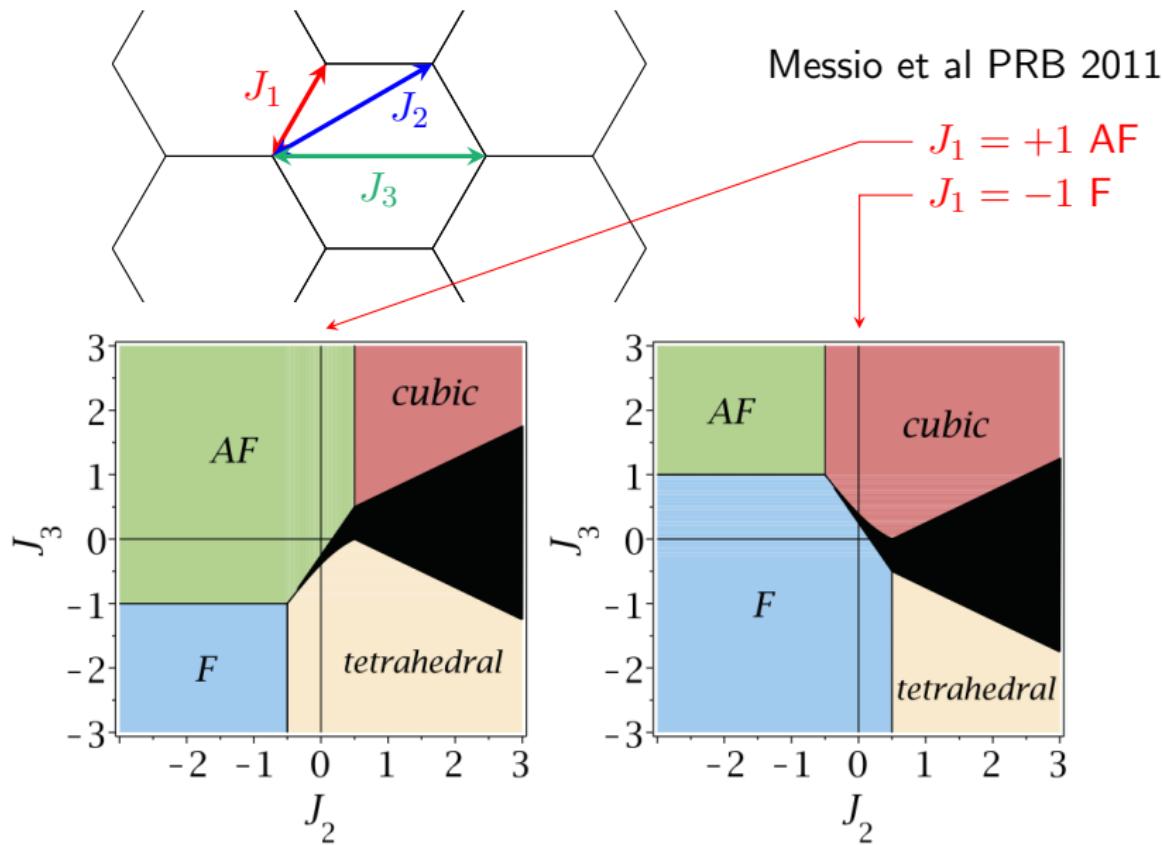
Ferromagnet



Saturated antiferromagnet  $H > H_{\text{sat}}$

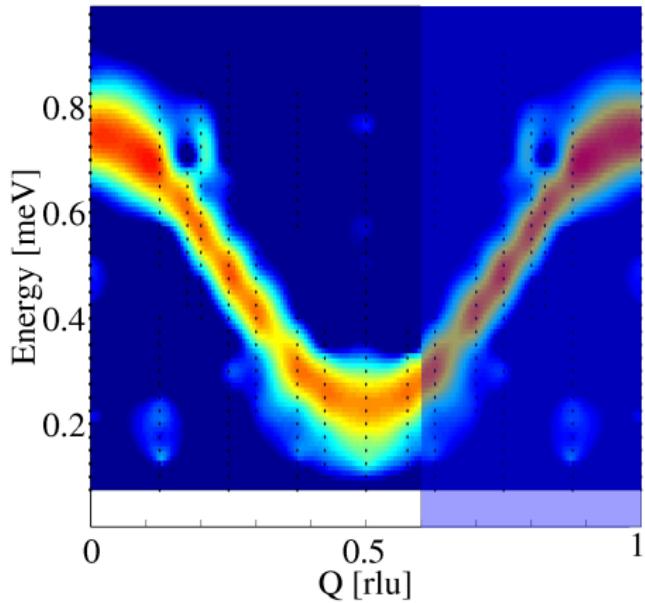


# Same magnetic structure for large variety of interactions



# Magnon dispersion reveals microscopic interactions

$\text{CuSO}_4 \cdot 5\text{D}_2\text{O}$

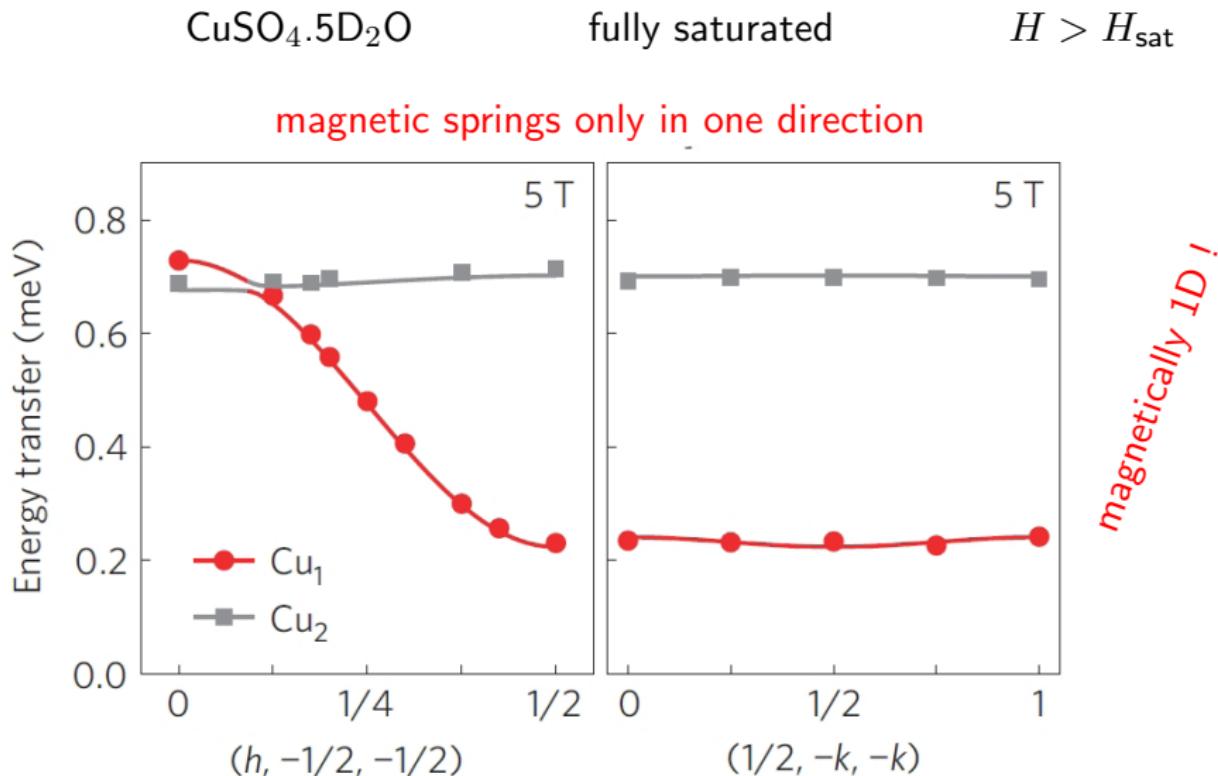


$$H > H_{\text{sat}}$$

no long range order  $> 0.1\text{K}$

$\uparrow$   
antiferromagnetic exchange

# Magnon dispersion reveals microscopic interactions



M. Mourigal, M.E. et al. Nat. Phys. **9** 435 (2013)

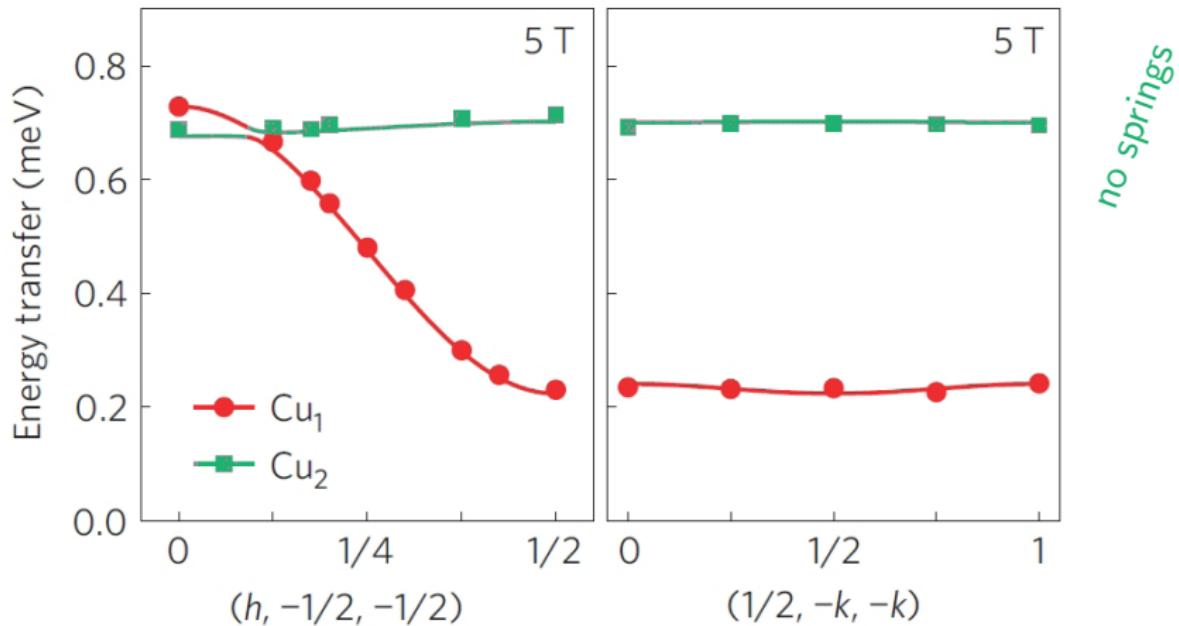
# Magnon dispersion reveals microscopic interactions

$\text{CuSO}_4 \cdot 5\text{D}_2\text{O}$

fully saturated

$H > H_{\text{sat}}$

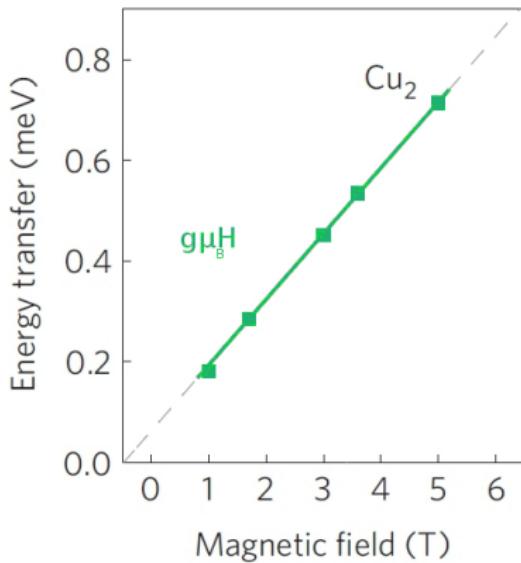
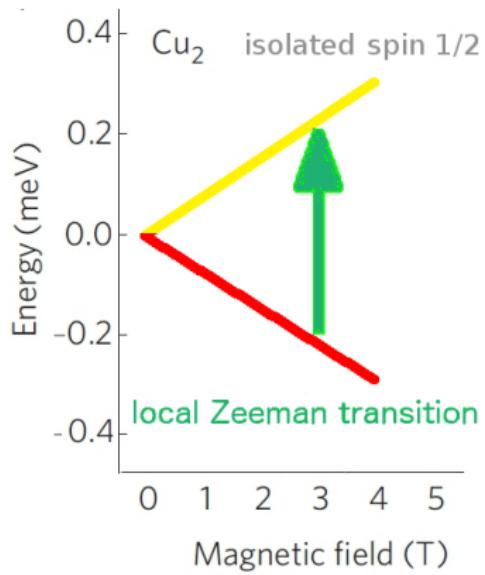
no springs/ no interaction: local transition



Energy independent of  $Q$  for all directions of  $Q$

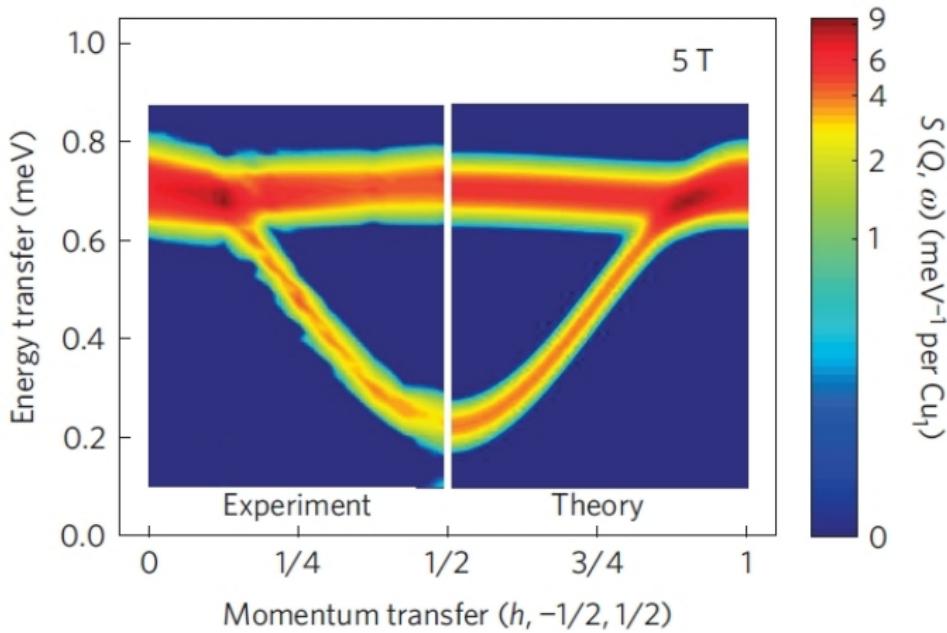
# Local spin flip between Zeeman-split states

$\text{CuSO}_4 \cdot 5\text{D}_2\text{O}$



M. Mourigal, M.E. et al. Nat. Phys. **9** 435 (2013).

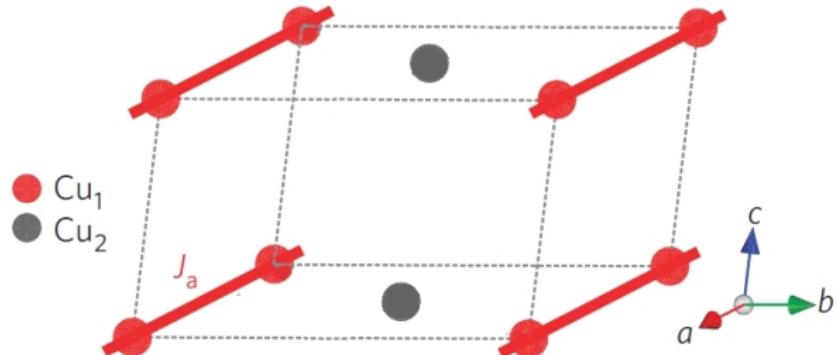
# Fully saturated CuSO<sub>4</sub>.5D<sub>2</sub>O



M. Mourigal, M.E. et al. Nat. Phys. **9** 435 (2013).

# Spin waves in fully saturated CuSO<sub>4</sub>.5D<sub>2</sub>O

→ microscopic scheme of magnetic interactions



Cu<sub>1</sub>: one-dimensional arrays with antiferromagnetic interaction  
Cu<sub>2</sub>: not coupled by any interaction

M. Mourigal, M.E. et al. Nat. Phys. **9** 435 (2013).

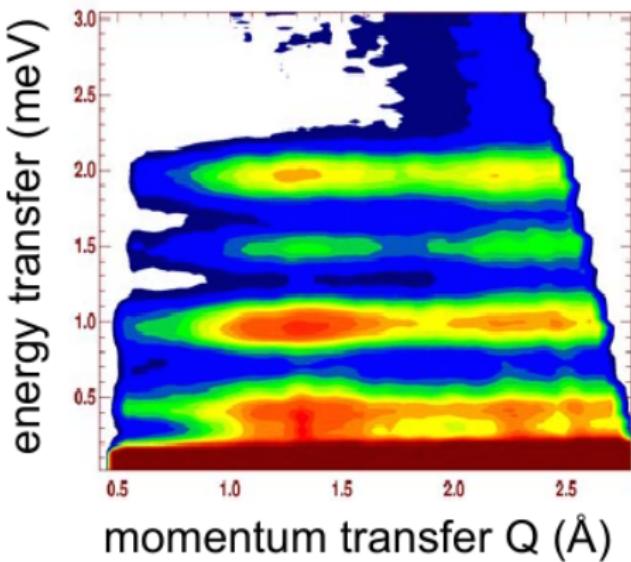
# Local excitations: infinitely weak "springs"

Signature: **flat** dispersion

CsFe<sub>8</sub>

IN5

- ▶ Molecular magnets
- ▶ Crystal field excitations  
(Rare Earth)



O. Waldmann, APS lecture 2006

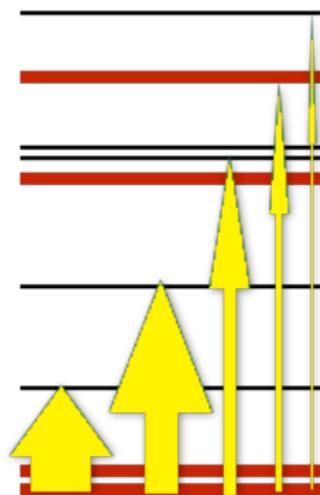
# Local transitions: Crystal Electric Field Splitting

$\text{Tb}_2\text{Ti}_2\text{O}_7$

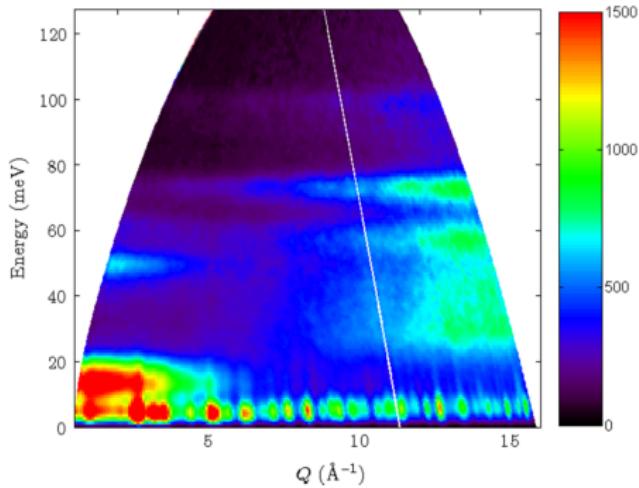
$\text{Tb}^{3+}$ :

$^7F_6$   $\left\{ \begin{array}{l} S = 3 \\ L = 3 \end{array} \right\}$   $J = 6$

Stark effect



Merlin  $E_i = 150\text{meV}$   
powder,  $T = 7\text{K}$



CF

phonons:  $I(Q) \sim Q^2$

A. J. Princep *et al.* PRB **91** 224430 (2015).

© Mechthild Enderle

# Distinction between lattice and magnetic excitations

real space

nucleus = point

•    •    •    •    •

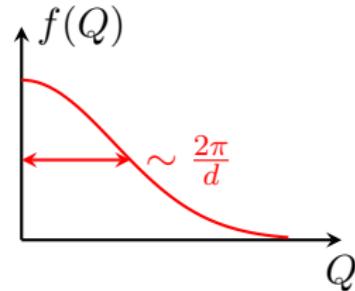
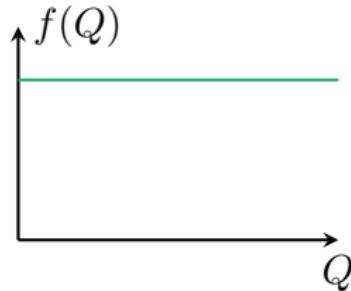
extended magnetic electron shell



Interference pattern in reciprocal  $Q$  space:

Fourier-transformed scattering object  $\times$  reciprocal lattice

form factor  $f(Q)$



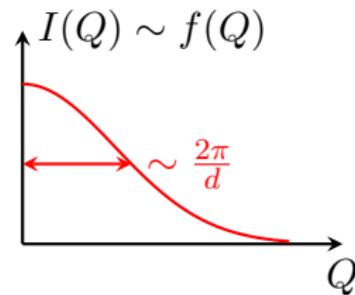
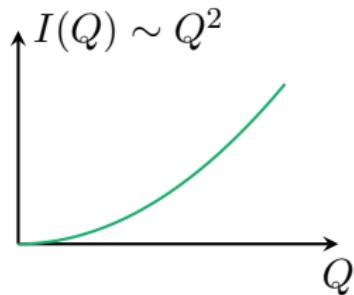
# Distinction between lattice and magnetic excitations

real space

nucleus = point

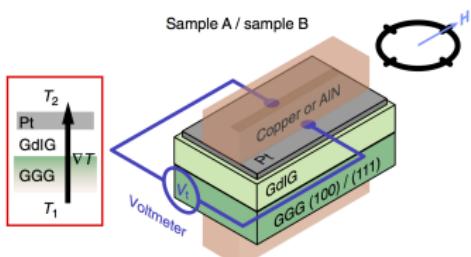
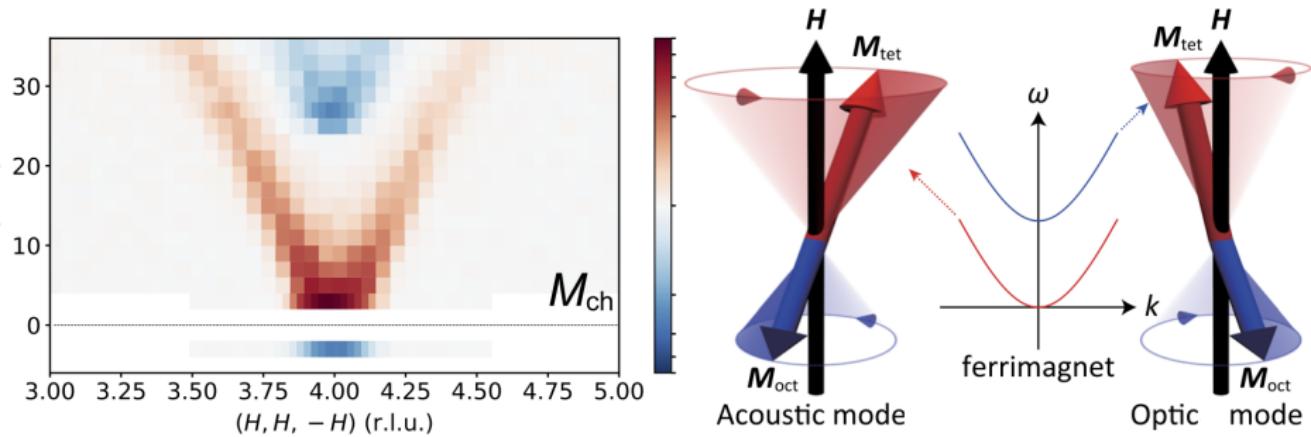


extended magnetic electron shell



# "Chirality" – precession sense of magnetic excitations

Polarized neutrons - polarization analysis of scattered neutrons



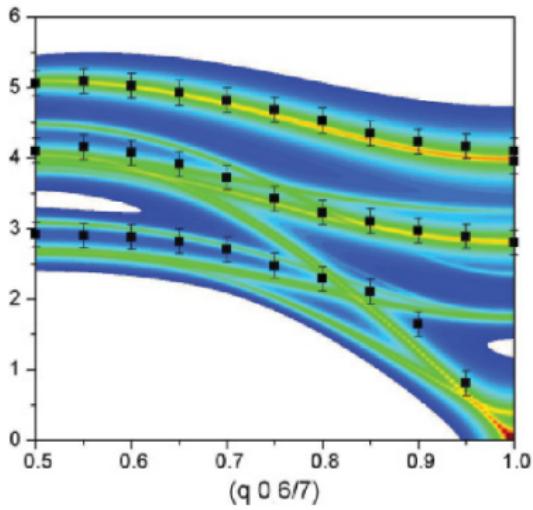
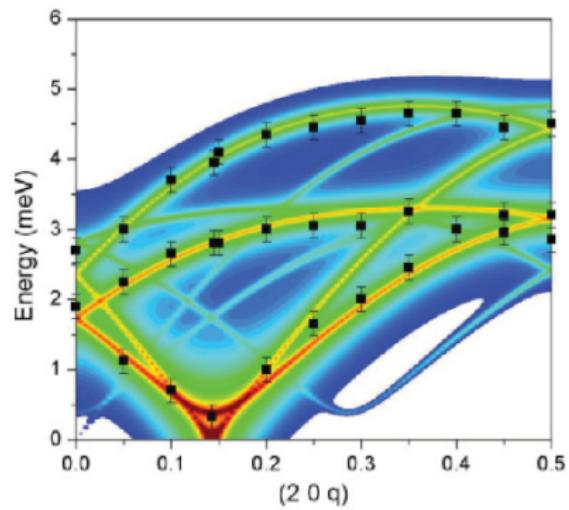
↑ Nambu et al PRL 2020 (IN20)

Spin-Seebeck effect

← Gepraegs et al. Nat Com. 2016

# Reality is not always simple . . . – Intensities !

J. Jensen (2011) PRB 84, 104405



## Coherent intensities – 4D interference pattern

$$\frac{d^2\sigma}{d\Omega dE_f} = \frac{k_f}{k_i} \left( \frac{m}{2\pi\hbar^2} \right)^2 \sum_{\substack{\mathbf{n}_0, \sigma_{i,f}}} p(n_0) \left| \langle \mathbf{k}_f \sigma_f \mathbf{n}_1 | \mathbf{V} | \mathbf{k}_i \sigma_i \mathbf{n}_0 \rangle \right|^2 \cdot \underbrace{\delta(\epsilon_1 - \epsilon_0 - (E_i - E_f))}_{S(\mathbf{Q}, \omega)}$$

$$S_N(\mathbf{Q}, \omega) = \frac{1}{2\pi\hbar} \int d\mathbf{r} dt e^{i(\mathbf{Q}\mathbf{r} - \omega t)} \langle N(\mathbf{0}, 0) N(\mathbf{r}, t) \rangle_T$$
$$S_M(\mathbf{Q}, \omega) = \frac{(\gamma r_0)^2}{2\pi\hbar} \int d\mathbf{r} dt e^{i(\mathbf{Q}\mathbf{r} - \omega t)} \langle \mathbf{M}_\perp(\mathbf{0}, 0) \cdot \mathbf{M}_\perp(\mathbf{r}, t) \rangle_T$$

## Coherent intensities – 4D interference pattern

$$\frac{d^2\sigma}{d\Omega dE_f} = \frac{k_f}{k_i} \left( \frac{m}{2\pi\hbar^2} \right)^2 \sum_{\mathbf{n}_0, \sigma_{i,f}} p(n_0) \left| \langle \mathbf{k}_f \sigma_f \mathbf{n}_1 | V | \mathbf{k}_i \sigma_i \mathbf{n}_0 \rangle \right|^2 \cdot \underbrace{\delta(\epsilon_1 - \epsilon_0 - (E_i - E_f))}_{S(\mathbf{Q}, \omega)}$$

$$S_N(\mathbf{Q}, \omega) = \frac{1}{2\pi\hbar} \int d\mathbf{r} dt e^{i(\mathbf{Q}\mathbf{r} - \omega t)} \langle N(\mathbf{0}, 0) N(\mathbf{r}, t) \rangle_T$$
$$S_M^{\alpha\beta}(\mathbf{Q}, \omega) = \frac{(\gamma r_0)^2}{2\pi\hbar} \int d\mathbf{r} dt e^{i(\mathbf{Q}\mathbf{r} - \omega t)} \langle M_\perp^\alpha(\mathbf{0}, 0) M_\perp^\beta(\mathbf{r}, t) \rangle_T$$

## Coherent intensities – 4D interference pattern

$$\frac{d^2\sigma}{d\Omega dE_f} = \frac{k_f}{k_i} \left( \frac{m}{2\pi\hbar^2} \right)^2 \sum_{n_0, \sigma_{i,f}} p(n_0) \left| \langle \mathbf{k}_f \sigma_f n_1 | V | \mathbf{k}_i \sigma_i n_0 \rangle \right|^2 \cdot \underbrace{\delta(\epsilon_1 - \epsilon_0 - (E_i - E_f))}_{S(\mathbf{Q}, \omega)}$$

$$S_N(\mathbf{Q}, \omega) = \frac{1}{2\pi\hbar} \int d\mathbf{r} dt e^{i(\mathbf{Q}\mathbf{r} - \omega t)} \langle N(\mathbf{0}, 0) N(\mathbf{r}, t) \rangle_T$$

$$S_M(\mathbf{Q}, \omega) = \frac{(\gamma r_0)^2}{2\pi\hbar} \int d\mathbf{r} dt e^{i(\mathbf{Q}\mathbf{r} - \omega t)} \langle \mathbf{M}_\perp(\mathbf{0}, 0) \cdot \mathbf{M}_\perp(\mathbf{r}, t) \rangle_T$$

intensity

nuclear-positional  
magnetic

} density pair correlation function

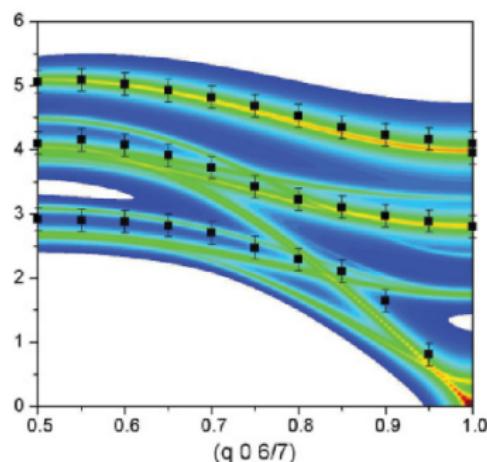
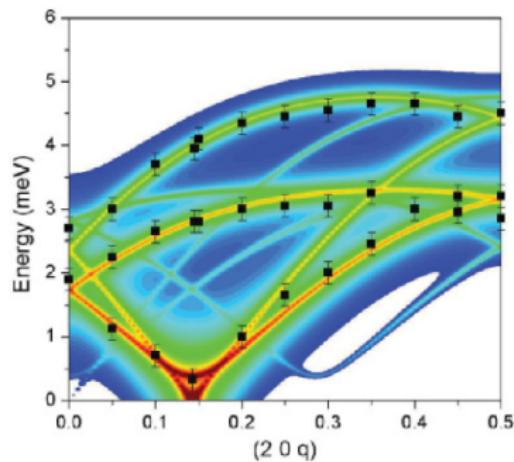
# Magnon intensities

Long-range ordered structures

length of ordered moment identical at equivalent sites  
transverse excitations

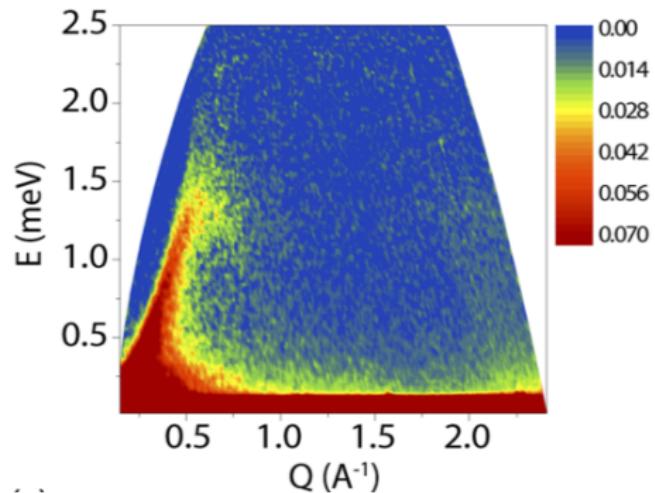
"Classical" Spin Wave Theory

J. Jensen (2011) PRB 84, 104405

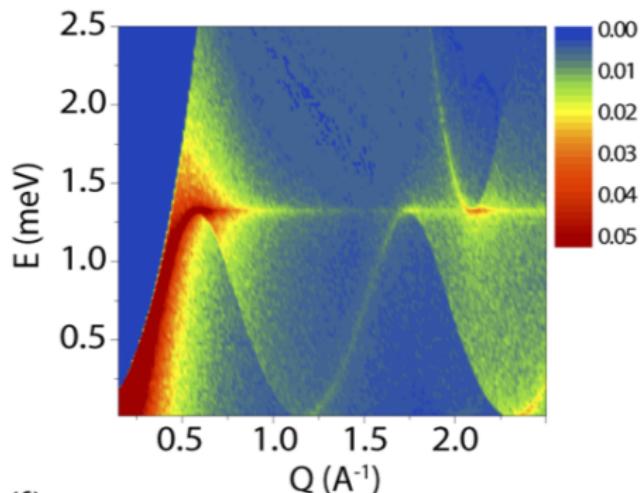


# Magnon intensities – essential for powder experiments !

IN5 Haydeite



Spin wave theory



D. Boldrin, B. Fåk, M.E., et al. PRB 2015

# Magnetic excitations: more than spin waves

## So far:

Ground state: periodically ordered atoms or magnetic moments

Collective excitations:

phonons	small oscillations around the	structural	order
spin waves		magnetic	

## Now:

periodically ordered magnetic **sites** with a local magnetic moment

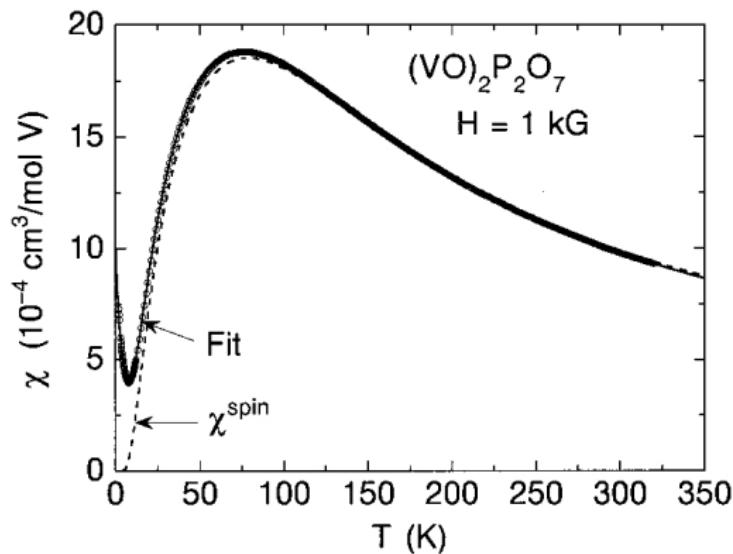
interaction between the spins (e.g. visible in  $\chi(T)$ )

**no long-range ordered** magnetic moment

Collective excitations ?

# Collective phenomena without magnetic long-range order

$\chi$  displays interactions – but no phase transition



# Two spins $\frac{1}{2}$ and an antiferromagnetic spring

$S = \frac{1}{2}$  at each site

strong antiferromagnetic coupling between next-neighbours  
no coupling between pairs



Dimer: Pair spin 0

$$\frac{1}{\sqrt{2}} [ | \uparrow\downarrow \rangle - | \downarrow\uparrow \rangle ]$$

# Local singlet-triplet excitations

$S = \frac{1}{2}$  at each site

strong antiferromagnetic coupling between next-neighbours  
no coupling between pairs



Triplon: Pair spin 1

$$\left\{ \begin{array}{c} |\uparrow\uparrow\rangle \\ \frac{1}{\sqrt{2}} [ |\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle ] \\ |\downarrow\downarrow\rangle \end{array} \right.$$

# Triplons – Signature Zeeman splitting

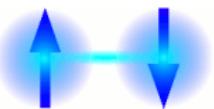
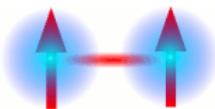
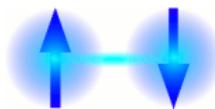
$S = \frac{1}{2}$  at each site

strong antiferromagnetic coupling between next-neighbours

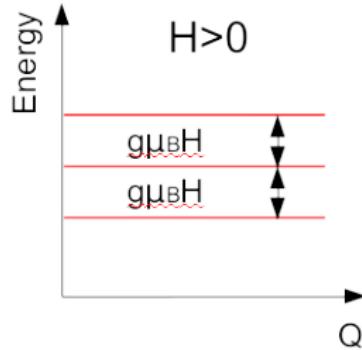
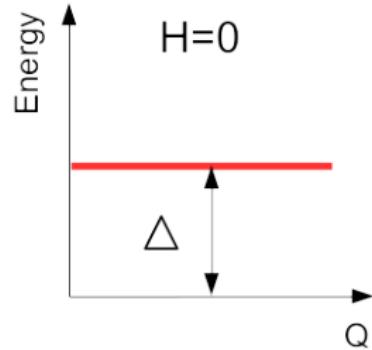
no

coupling between

pairs



$$\frac{1}{\sqrt{2}} \left[ |\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle \right]$$



# Triplons – Signature Zeeman splitting

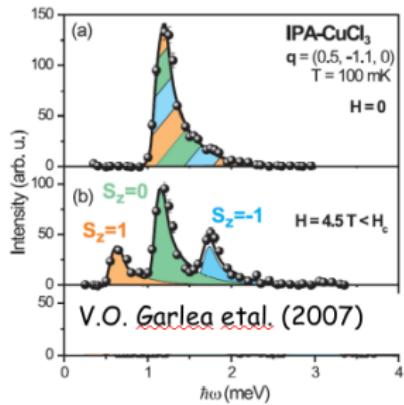
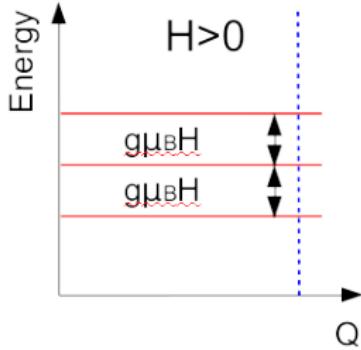
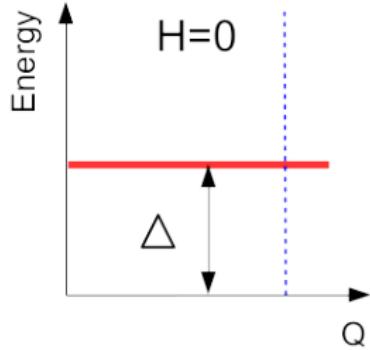
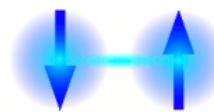
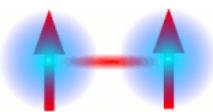
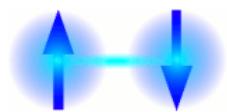
$S = \frac{1}{2}$  at each site

strong antiferromagnetic coupling between next-neighbours

no

coupling between

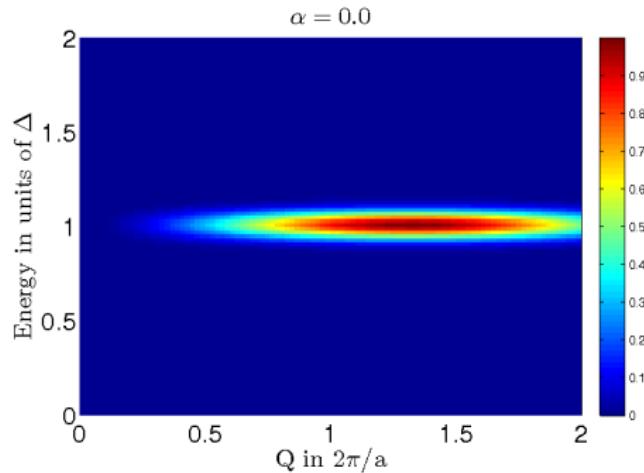
pairs



# Non-Interacting triplons – intensity signature

$S = \frac{1}{2}$  at each site

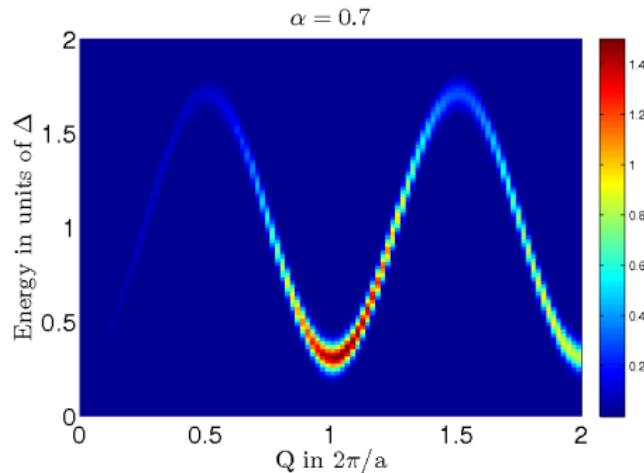
strong antiferromagnetic coupling between next-neighbours  
no coupling between pairs



# Interacting triplons – propagation – dispersion

$S = \frac{1}{2}$  at each site

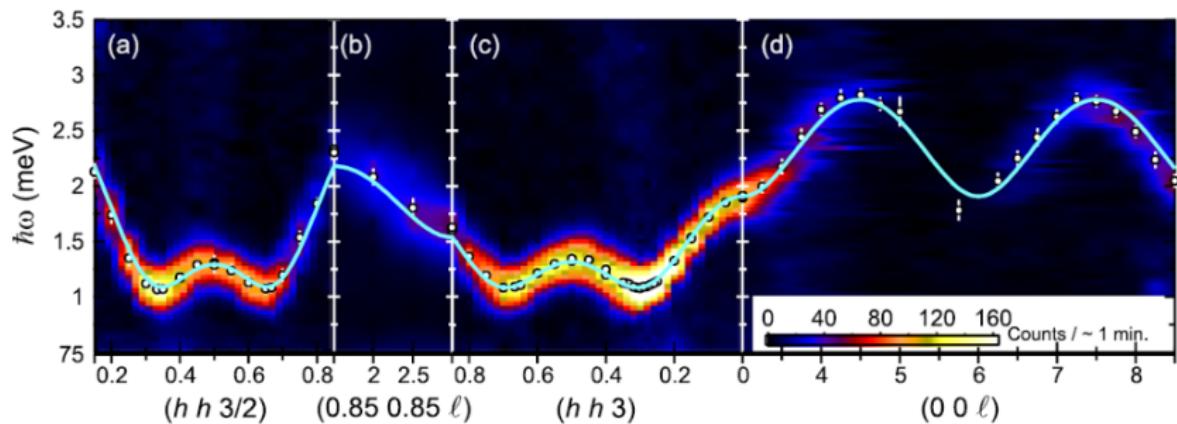
strong antiferromagnetic  
increasing coupling between  
coupling between next-neighbours  
pairs



# Interacting triplons – propagation – dispersion

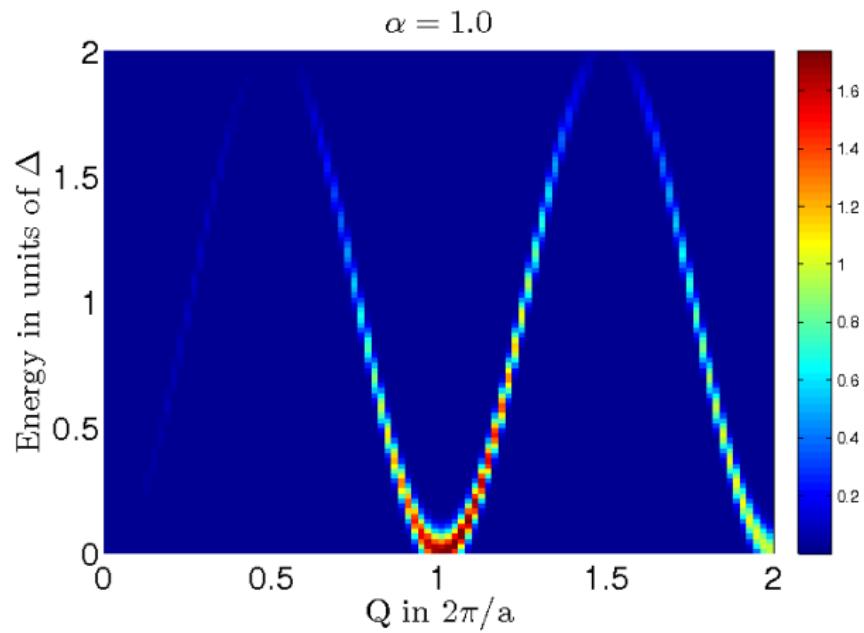
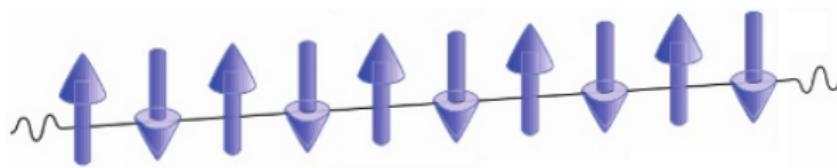
$S = \frac{1}{2}$  at each site

strong antiferromagnetic      coupling between      next-neighbours  
increasing                          coupling between                  pairs

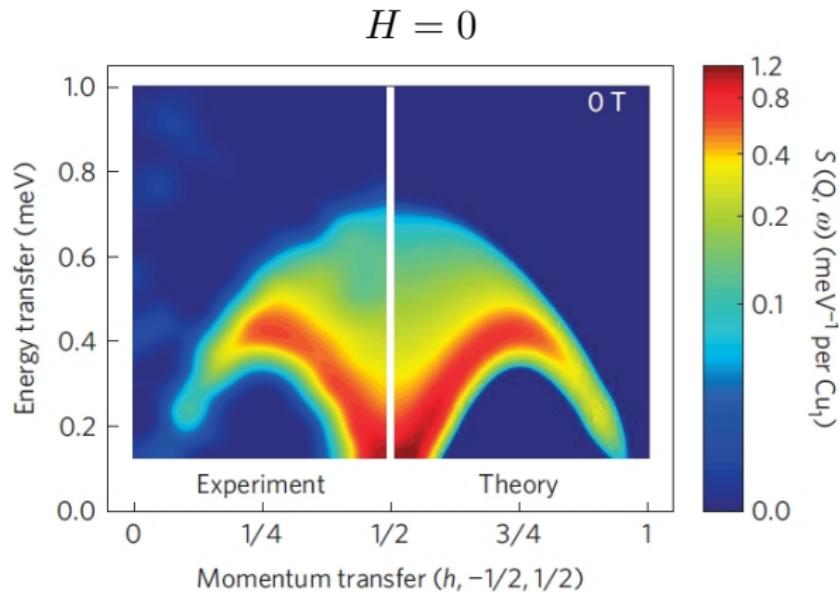


M.B. Stone *et al.* PRL 100 237201 (2008)

# 1D array – Limit of uniform coupling between $S = \frac{1}{2}$



# 1D array – Limit of uniform coupling between $S = \frac{1}{2}$



M. Mourigal, M.E. *et al.* Nat.Phys. **9** 435 (2013)

# Weakly coupled dimer array

Ground state



Triplon

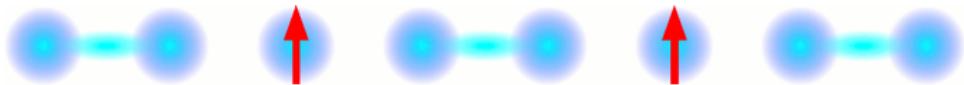


# Stronger coupled dimer array

Ground state



Triplon

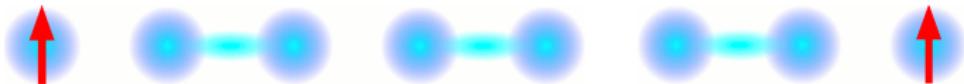


# Stronger coupled dimer array

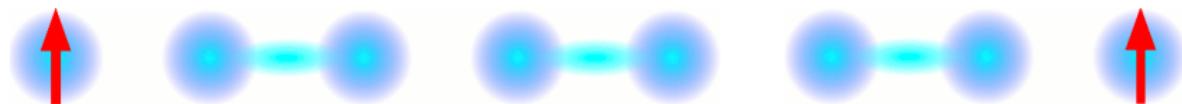
Ground state



Triplon



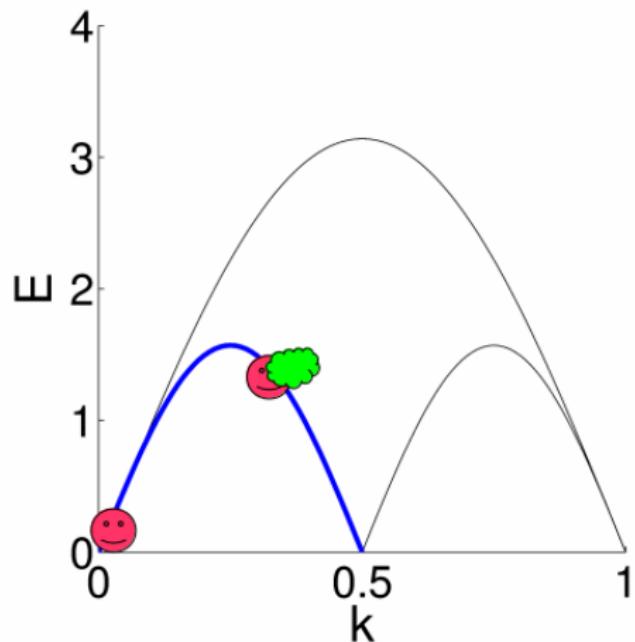
# 1D $S = \frac{1}{2}$ dimer array – Limit of uniform coupling



freely propagating **spin  $\frac{1}{2}$**  particles: **spinons**

## Two-particle excitation: Signature continuous scattering

Neutron excites **pairs** of **freely propagating spin  $\frac{1}{2}$**  particles



1-particle dispersion  
  $E(k)$

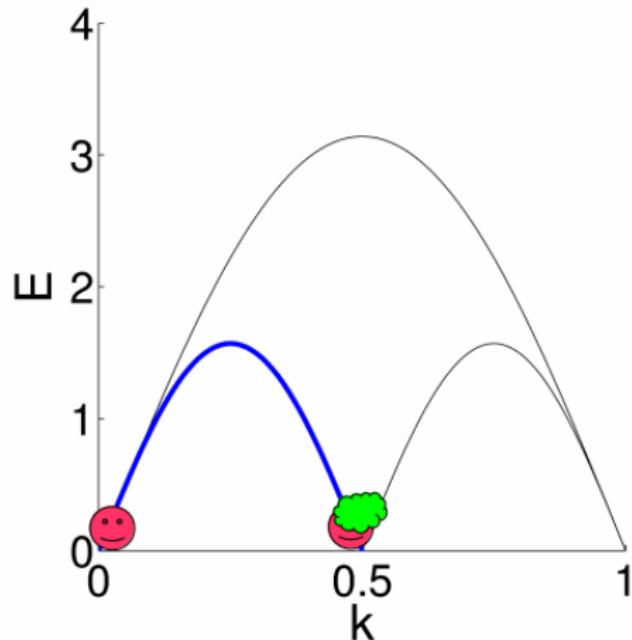
neutron excites pair

$$\text{cloud} = \text{smiley} + \text{smiley}$$

$$Q = k_1 + k_2$$
$$E = E(k_1) + E(k_2)$$

## Two-particle excitation: Signature continuous scattering

Neutron excites **pairs** of **freely propagating spin  $\frac{1}{2}$**  particles



1-particle dispersion  
  $E(k)$

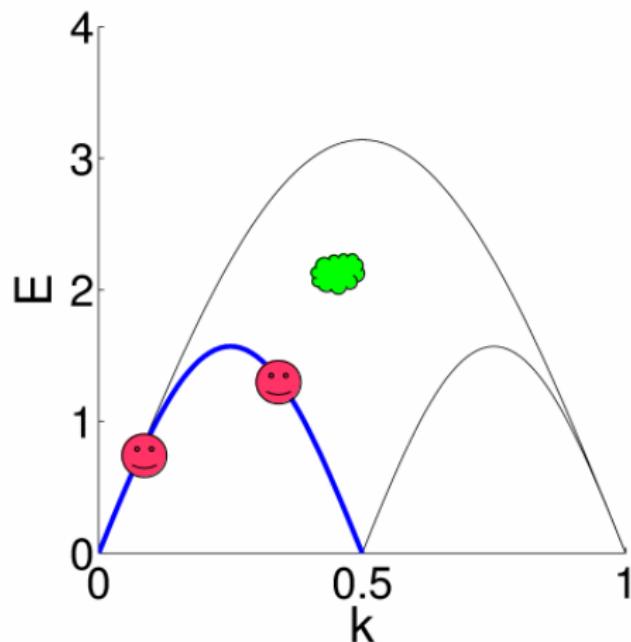
neutron excites pair

= +

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1-particle dispersion  
  $E(k)$

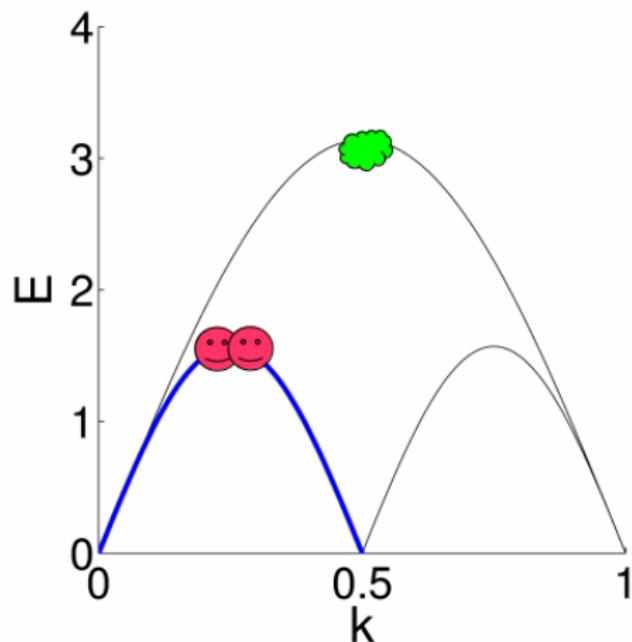
neutron excites pair

$$\text{green cloud icon} = \text{red smiley face icon} + \text{red smiley face icon}$$

$$Q = k_1 + k_2$$
$$E = E(k_1) + E(k_2)$$

## Two-particle excitation: Signature continuous scattering

Neutron excites **pairs** of freely propagating spin  $\frac{1}{2}$  particles



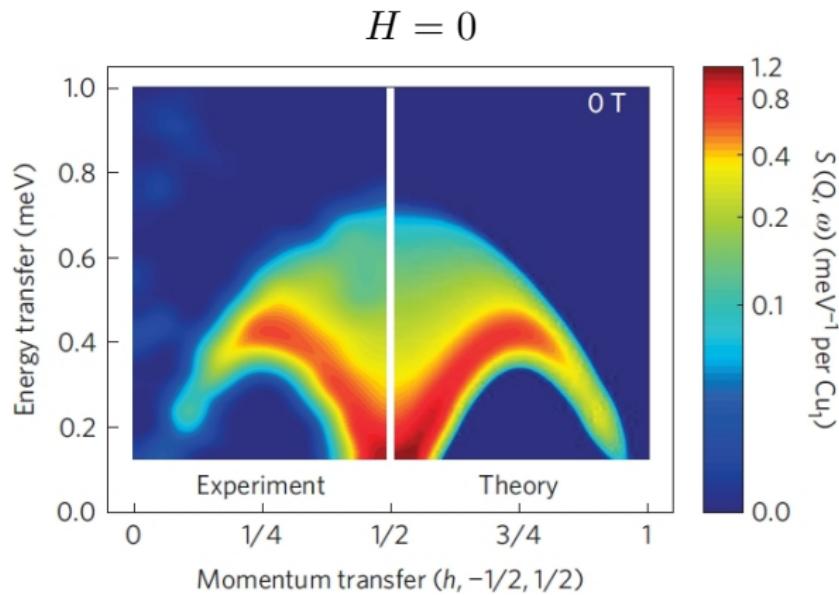
1-particle dispersion  
  $E(k)$

neutron excites pair

$$\text{green cloud} = \text{red smiley} + \text{red smiley}$$

$$Q = k_1 + k_2$$
$$E = E(k_1) + E(k_2)$$

# Spinon continuum in CuSO<sub>4</sub>.5D<sub>2</sub>O

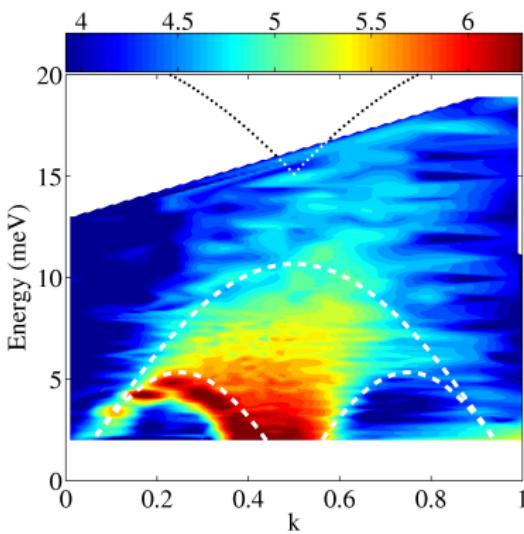


M. Mourigal, M.E. *et al.* Nat.Phys. **9** 435 (2013)

# New quantum mechanical many-particle states/excitations

2 zig-zag coupled 1D spin  $\frac{1}{2}$  arrays

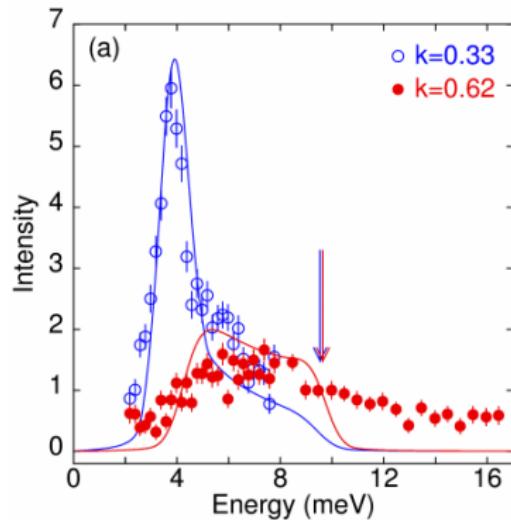
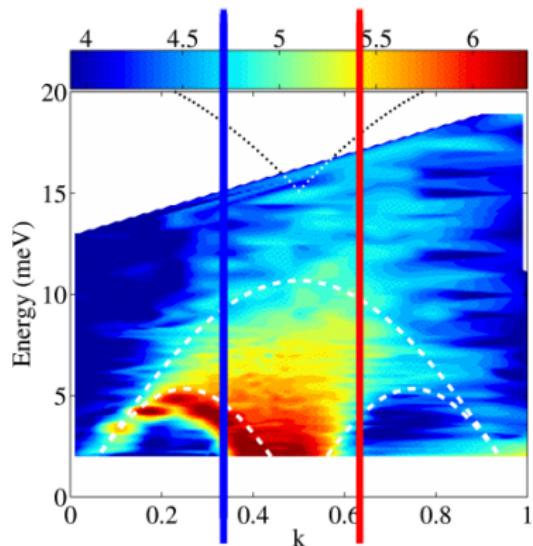
Continuum:  
pairs of free particles  
  
discrete branch:  
bound particle-pairs



M.E. et al. PRL 2010 (IN20)

# New quantum-mechanical many-particle states/excitations

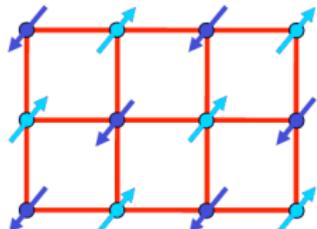
2 zig-zag coupled 1D spin  $\frac{1}{2}$  arrays



M.E. et al. PRL 2010 (IN20)

# Topological quantum mechanical excitations Senthil et al 2004

Néel order

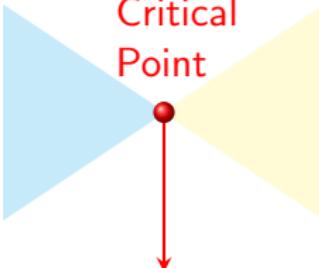


spinons condensed & confined

merons confined

vector boson excitations  
(topological excitations)

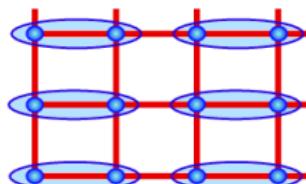
Quantum Critical Point



emergent symmetry

spinons free

Valence bond solid



merons condensed & confined

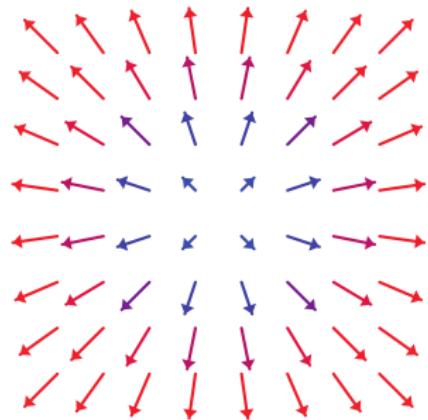
spinons confined

spinon-pair excitations



# Skyrmion fractionalization Senthil et al. Science 303, 1490 (2004)

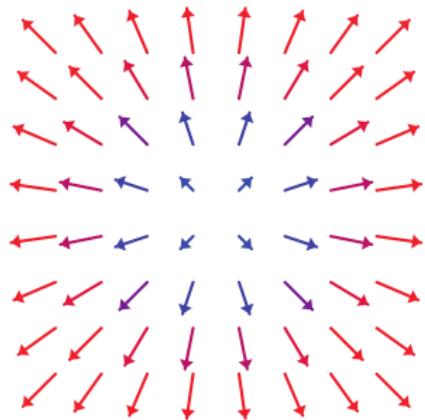
Meron  $\psi_1$   
top view



side view



Meron  $\psi_2$   
top view



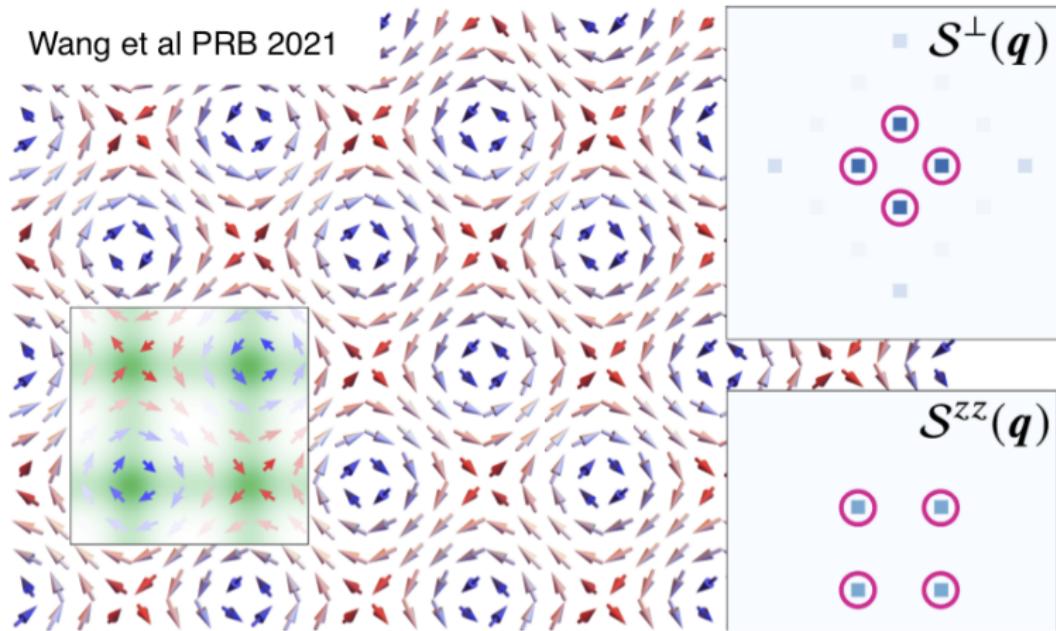
side view



# Topological quantum mechanical excitations

meron - antimeron pattern

Wang et al PRB 2021



## Coherent excitations – How do we measure them ?

- ▶ powder on TOF – valuable info
- ▶ single crystal TOF – large overview of Q-E-space
- ▶ single crystal TAS – large overview of Q-space at selected E
- ▶ questions at specific  $Q$ , specific H,p,T: TAS
- ▶ small single crystal: TAS
- ▶ inelastic polarized: TAS (today !)