

# Neutron and x-ray reflectometry

## Neutron reflectometry

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10th anniversary

# French-Swedish Winter School in Neutron Scattering: Applications to Soft Matter (6-9 Dec. 2016)

<http://snss.se/SFN/>



The Swedish Neutron Scattering Society and Société Française de Neutronique jointly organize a school on the use of neutrons for soft matter. The school will take place 6 -9 December 2016 at the Ångström Laboratory of Uppsala University, Sweden. For more information, program and registration please visit the school's webpage at: <http://snss.se/SFN/>



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# Reflection from interfaces





# Content

Recapture: Scattering problem

Scattering at small momentum transfer

Specular reflectivity

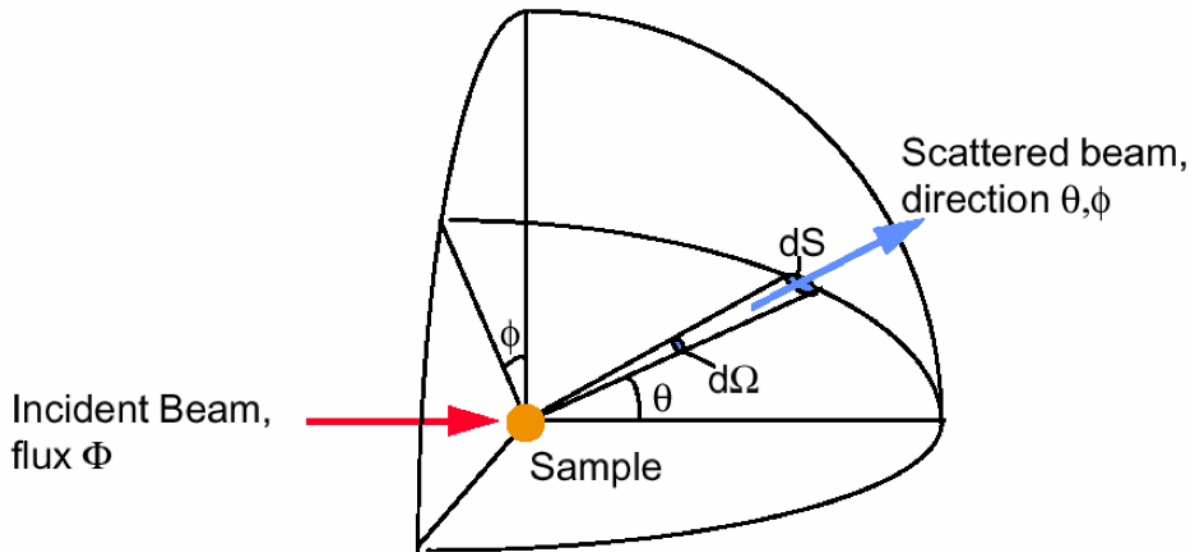
Off-specular scattering

Gracing incidence scattering



# What do we measure?

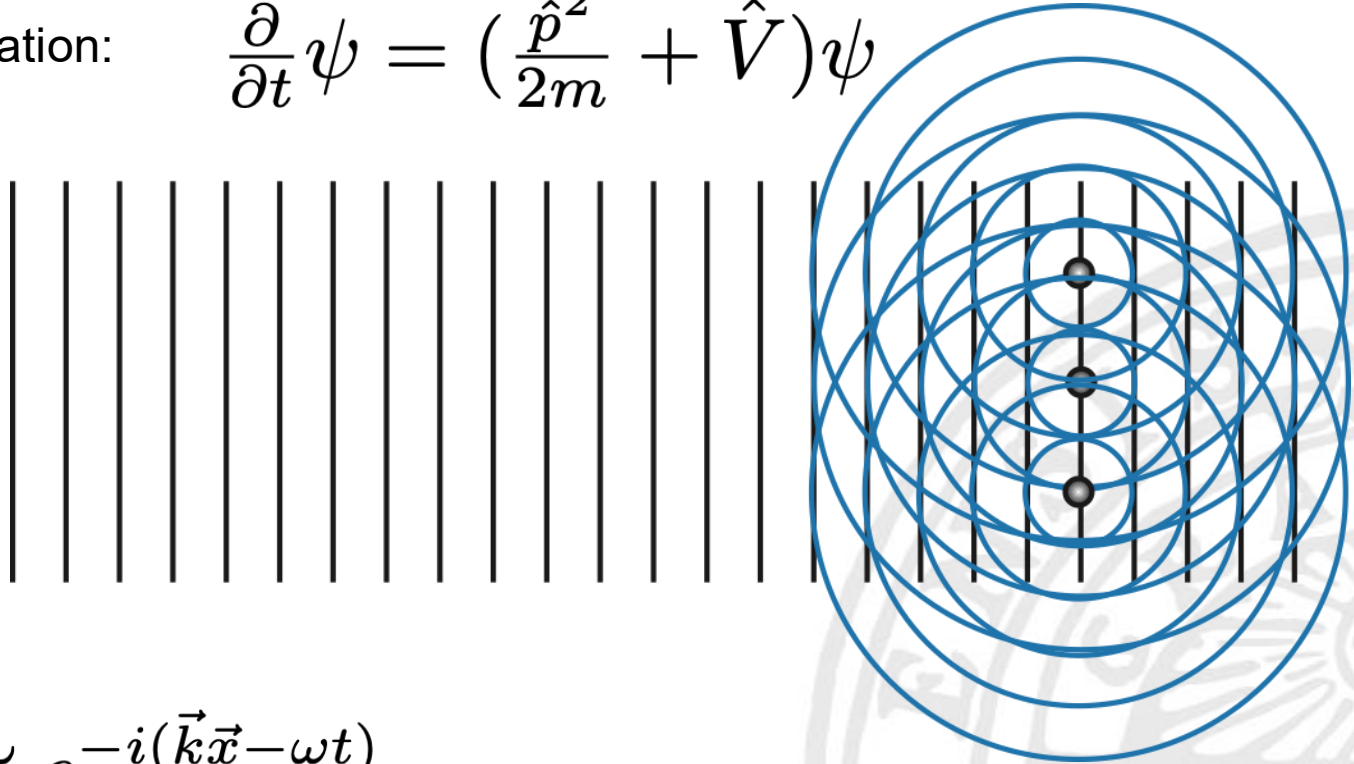
- $\Phi$  = number of incident particles per area and time
- $\sigma$  = total number of particles scattered per time and  $\Phi$
- $d\sigma/d\Omega$  = number of particles scattered per time into a certain direction per area and  $\Phi$
- $d^2\sigma/d\Omega dE$  = number of particles scattered per time into a certain direction per area with a certain energy per energy interval and  $\Phi$





# The scattering problem

Schrödinger equation: 
$$\frac{\partial}{\partial t} \psi = \left( \frac{\hat{p}^2}{2m} + \hat{V} \right) \psi$$



$$\psi_i(\vec{r}, \omega) \cong e^{-i(\vec{k}\vec{x} - \omega t)}$$

$$\psi_f(\vec{r}, \omega) \cong e^{-i(\vec{k}\vec{x} - \omega t)} + f(\theta) \frac{e^{-i(\vec{k}\vec{r} - \omega t)}}{r}$$



# Potential

$$S(Q, E) = \frac{4\pi}{\sigma_s} \frac{k_i}{k_f} \frac{\partial^2 \sigma}{\partial \Omega \partial E_f} \cong \sum_{\alpha' \alpha} \rho(E_f) \left| \langle k_f, E_f | \hat{V} | k_i, E_i \rangle \right|^2 \delta(\hbar\omega - E_{\alpha' \alpha})$$

This equation is also known as scattering law or dynamical structure factor. It **completely describes the structural and dynamic properties** of the sample.

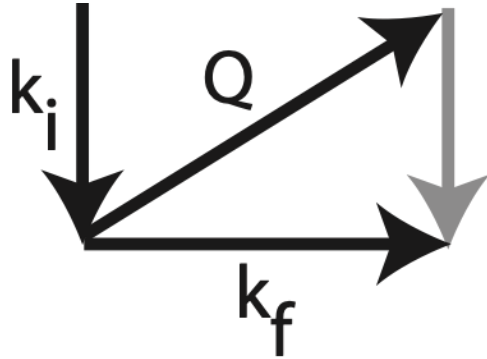
For neutrons (scattering at the core) wave length ( $10^{-10}$  m) is much larger than the size of the scattering particle ( $10^{-15}$  m). This implies that no details of the core can be seen and the scattering potential can be described by a single constant  $b$ , the scattering length:

$$V(\vec{r}) = \frac{2\pi\hbar}{m} b \delta(\vec{r} - \vec{R})$$

This is called the Fermi pseudo potential.  $b$  is a phenomenological constant and has to be determined experimentally.



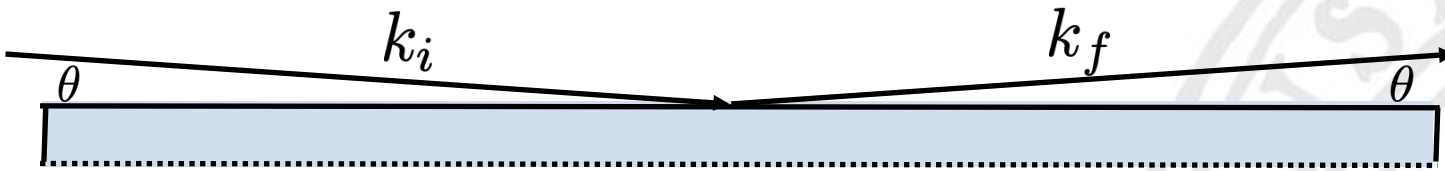
# Small momentum



$$\vec{Q} = \vec{k}_f - \vec{k}_i$$

$$|Q| = \frac{2\pi}{\lambda} \sin(2\theta)$$

$$\omega = \frac{\hbar k_f^2}{2m} - \frac{\hbar k_i^2}{2m}$$



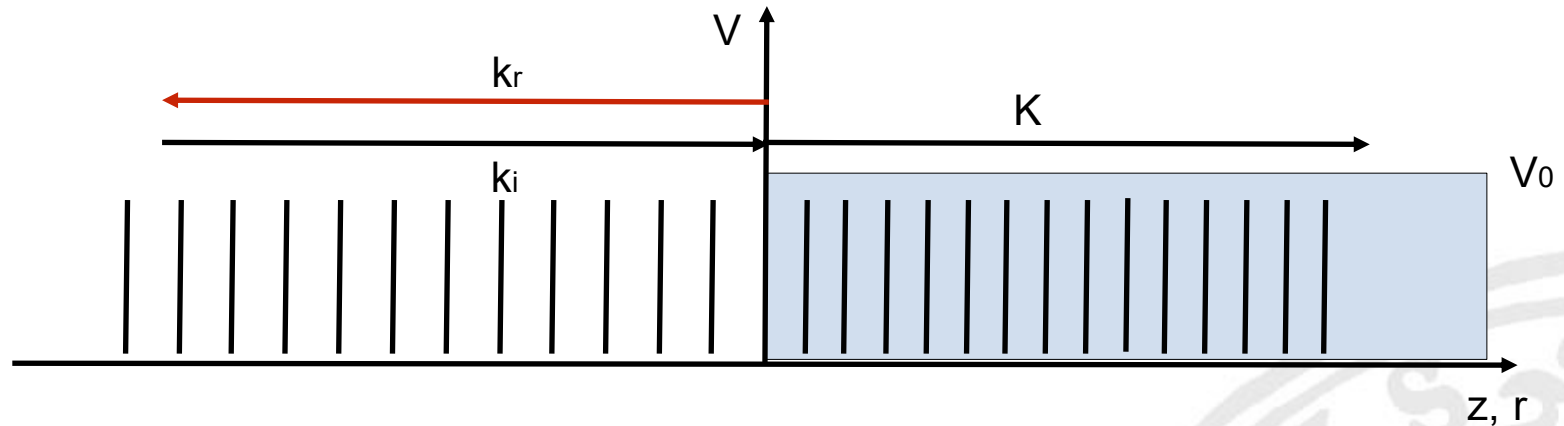
Example: Wavelength 1 nm scattered at an angle of  $0.6^\circ$ .

$Q = 0.0658 \text{ nm}^{-1}$ ,  
and a length scale of approx. 100 nm is probed

**No details on the atomic ordering seen anymore**  
**Continuum theory**



# Scattering length density



$$V_0 = \frac{2\pi\hbar^2}{m_n} \sum b_{coh,i} \rho_{n,i} = \frac{2\pi\hbar^2}{m_n} SLD$$

$$\rho_n = \frac{\rho N_A}{m_a}$$

Examples:

$$\text{H}_2\text{O}: \quad \rho_n = \frac{1}{30} \frac{1}{\text{\AA}^3} \quad \sum b_i = -1.68 * 10^{-5} \text{\AA}$$

$$\text{D}_2\text{O}: \quad \rho_n = \frac{1}{30} \frac{1}{\text{\AA}^3} \quad \sum b_i = 19.15 * 10^{-5} \text{\AA}$$

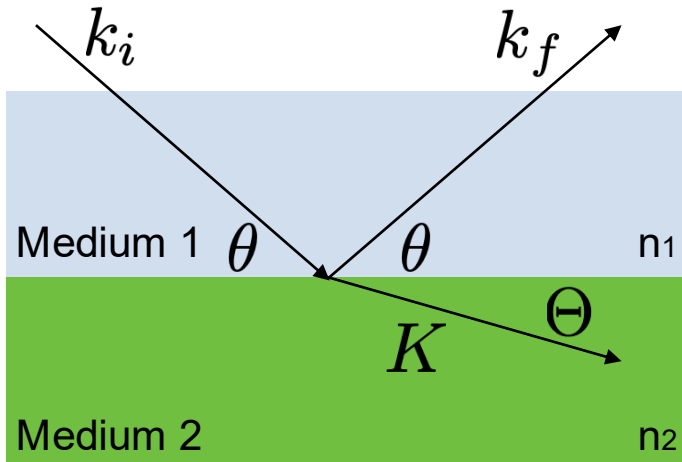
$$SLD = -0.56 * 10^{-6} \frac{1}{\text{\AA}^2}$$

$$SLD = 6.4 * 10^{-6} \frac{1}{\text{\AA}^2}$$

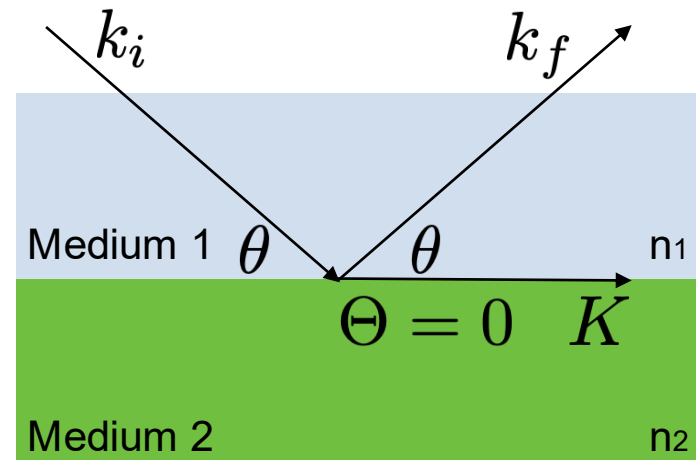


# Reflection and refraction

## General case



## Evanescent wave



Snellius law:

$$\frac{n_2}{n_1} = \frac{\cos \theta}{\cos \Theta}$$

$$n_1 < n_2$$

$$\frac{n_2}{n_1} = \cos \theta_c$$

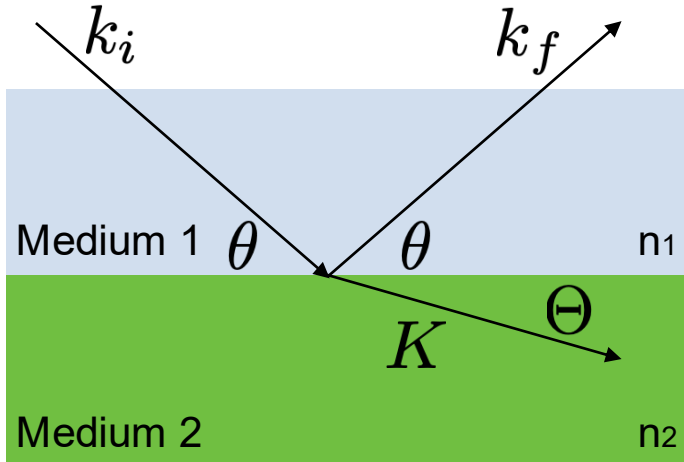
For  $n_1 = 1$ :  $n = \cos \theta_c$

$$Q_c = \frac{4\pi}{\lambda} \sin \theta_c = 2k \sqrt{1 - \cos^2 \theta_c}$$

$$Q_c = \sqrt{4k^2(1 - n^2)} = \sqrt{16\pi SLD}$$



# Fresnel reflectivity



Above the surface:

$$\Psi_z = e^{ik_{i\perp,z}} + re^{ik_{f\perp,z}}$$

Below the surface:

$$\Psi'_z = te^{iK_{\perp,z}}$$

Specular  
condition:

$$k_{i\perp} = k_{f\perp} = k_{\perp}$$

Continuity of  
wave functions:

$$1 + r = t$$

$$k_{i\perp}(1 - r) = K_{\perp}t$$

Reflection  
coefficient:

$$r = \frac{k_{\perp} - K_{\perp}}{k_{\perp} + K_{\perp}}$$

Transmission  
coefficient:

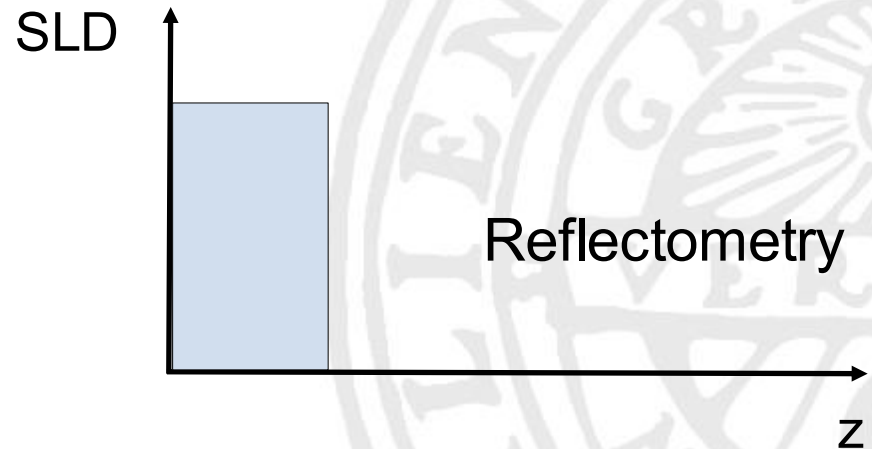
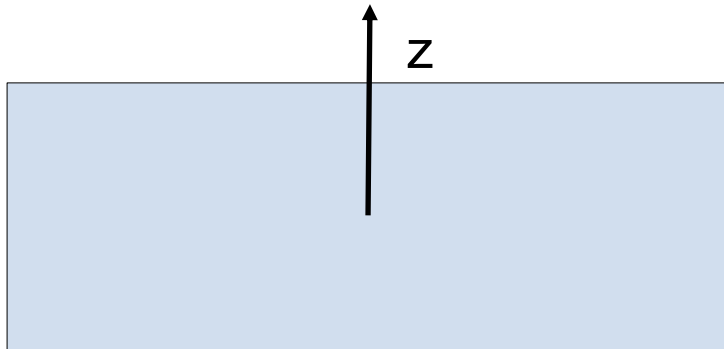
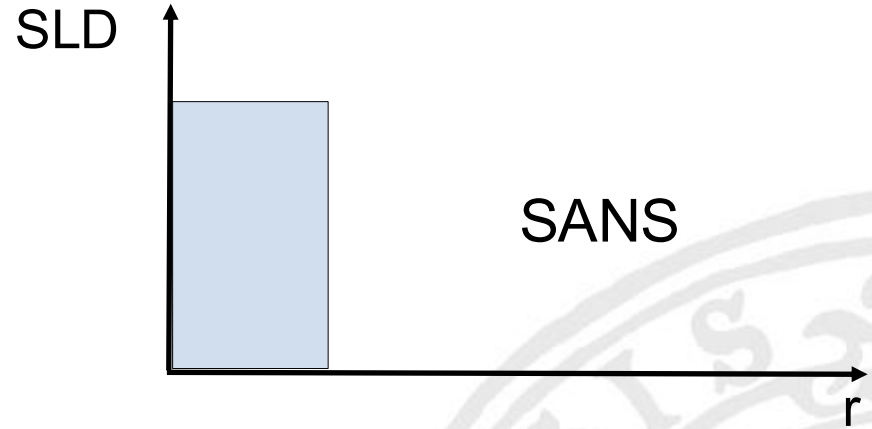
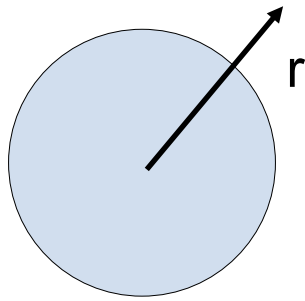
$$t = \frac{2k_{\perp}}{k_{\perp} + K_{\perp}}$$

For  $Q_z \gg Q_c$ :

$$R = r^2 \approx \frac{Q_c^2}{Q_z^4}$$



# Density profil



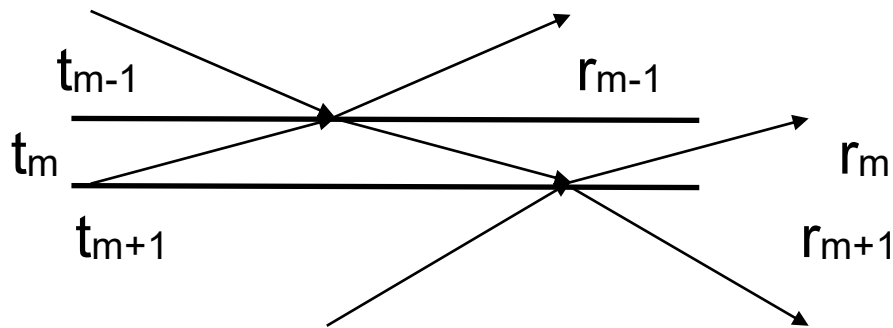


# Non sharp/multiple interfaces - Layers

Non sharp interfaces (Born approximation):

$$R(Q_z) = R_F(Q_z) \frac{1}{SLD} \int \frac{\partial SLD}{\partial z} e^{iQ_z z} dz$$

Layers:



Fresnel amplitude for the interface m, m-1:

$$R_{m,m-1} = a_{m-1}^4 \left( \frac{R_{m+1,m} + F_{m-1,m}}{R_{m+1,m} F_{m-1,m} + 1} \right)$$

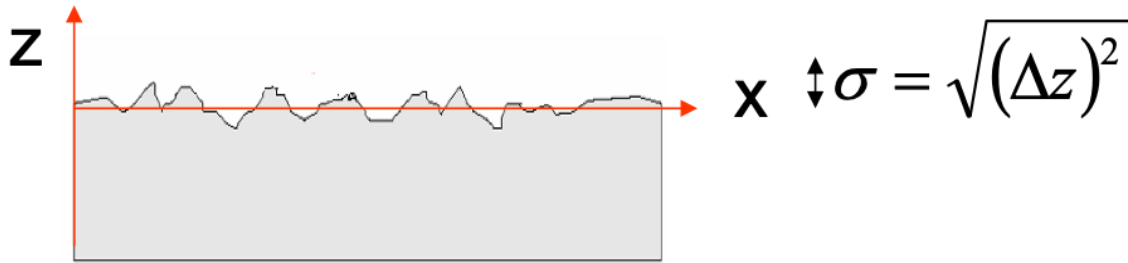
$$R_{m,m+1} = a_m^2 \frac{r_m}{t_m}$$

$$F_{m-1,m} = \left( \frac{Q_{m-1} - Q_m}{Q_{m-1} + Q_m} \right)$$

$$a_m = e^{\frac{iQ_m d_m}{2}} \quad Q_m = \sqrt{Q_z^2 - Q_{c,m}^2}$$



# Rough surface

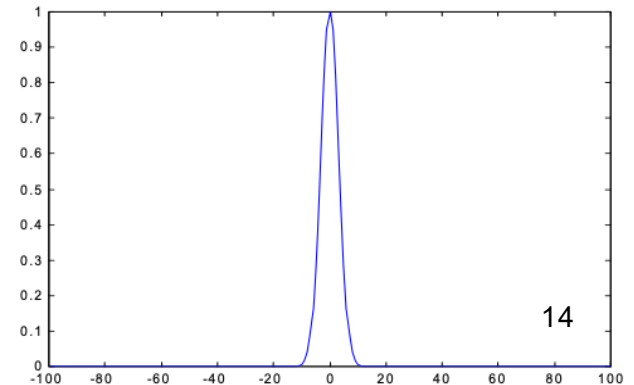
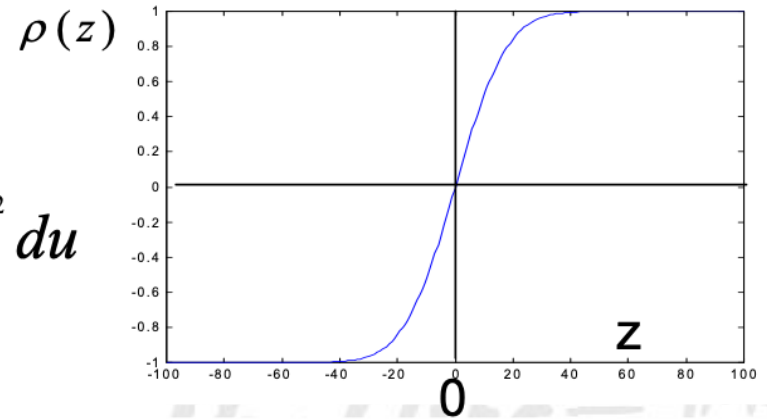


Surface profile (error function):

$$\rho(z) = \rho_0 \operatorname{erf}(z) = \frac{\rho_0}{\sqrt{2\pi}\sigma} \int_0^z e^{-u^2/2\sigma^2} du$$

Derivative:

$$\frac{d\rho(z)}{dz} = \frac{\rho_0}{\sqrt{2\pi}\sigma} e^{-z^2/2\sigma^2}$$



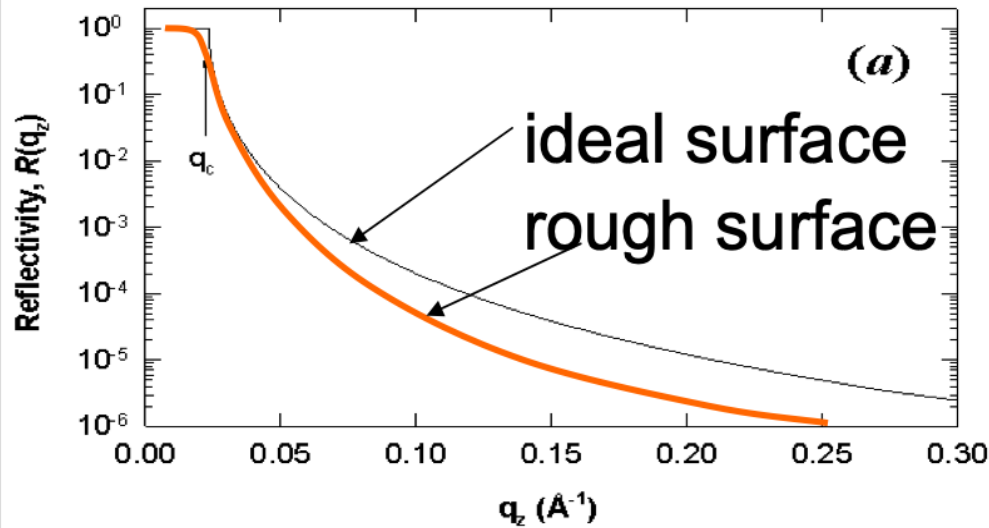


# Gaussian roughness

Master formular yields for a Gaussian roughness a damped Fresnel reflectivity:

$$R(Q_z) = R_F(Q_z) \exp(-Q_z^2 \sigma^2)$$

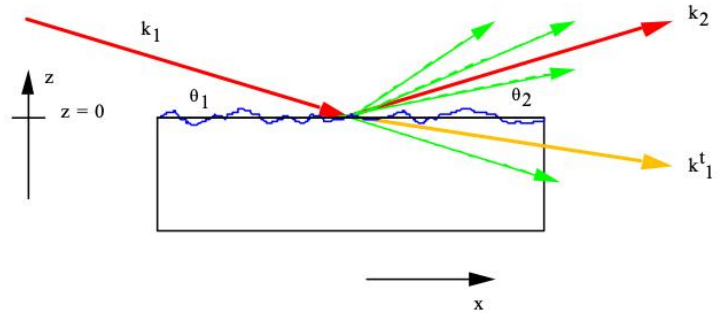
$R_F(Q_z)$  is the Fresnel reflectivity of the ideal surface.  
Roughness adds a damping factor, similar to the Debye-Waller factor:



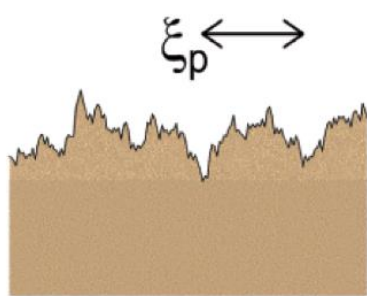


# Roughness length scales

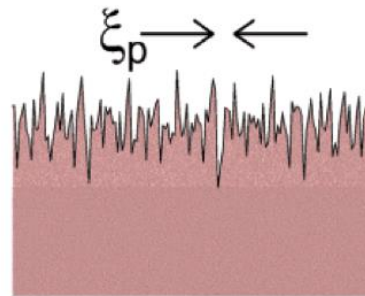
- Surface roughness causes diffuse (non-specular) scattering and so reduces the magnitude of the specular reflectivity



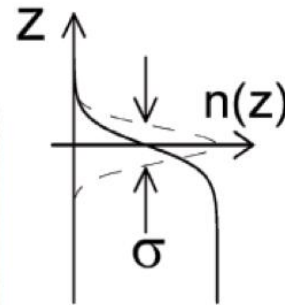
- The way in which the specular reflection is damped depends on the length scale of the roughness in the surface as well as on the magnitude and distribution of roughness



“sparkling sea”model  
-- specular from many facets



each piece of surface scatters independently  
-- Nevot Croce model

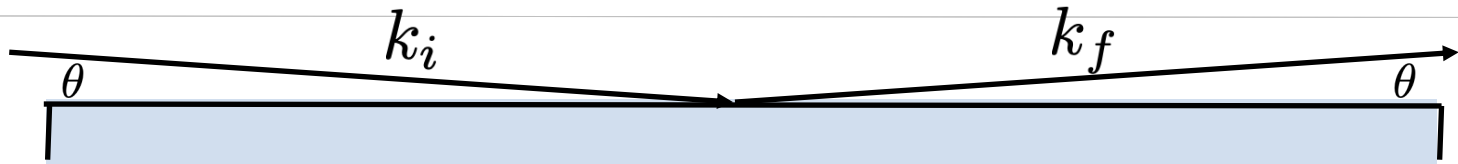


Note that roughness introduces a SLD profile averaged over the sample surface

$$R = R_F e^{-2k_{Lz} k_{1z}^t \sigma^2}$$

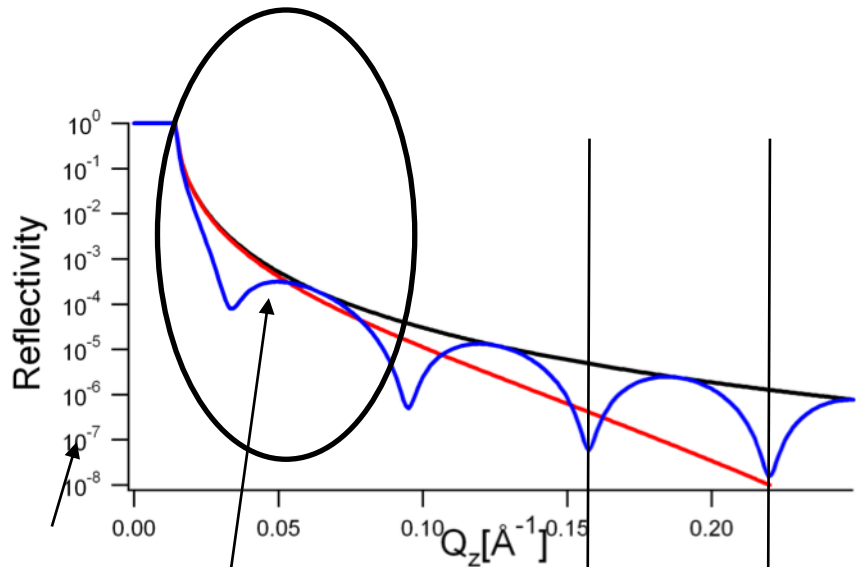


# Reflectivity



Braggs law:

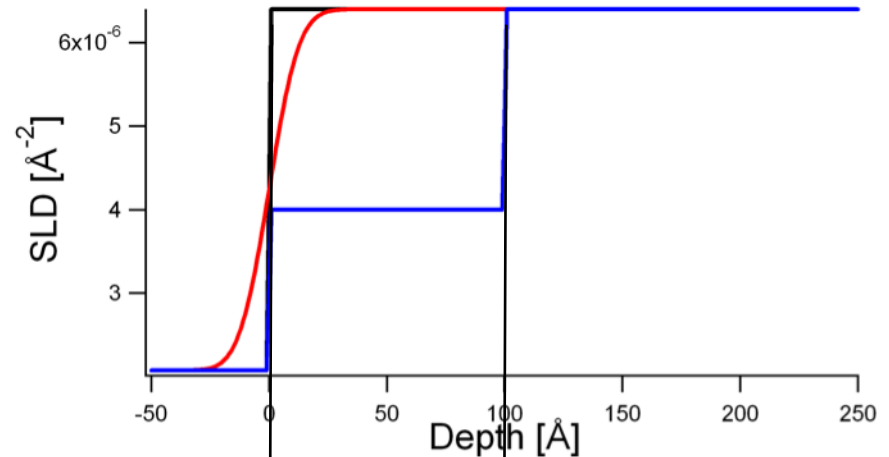
$$n\lambda = 2d \sin \theta$$



Huge  
dynamic  
range

$$\frac{2\pi}{d} = 0.63 \text{ nm}^{-1}$$

Optical correction needed:  $Q_z = \sqrt{Q_m^2 - Q_c^2}$

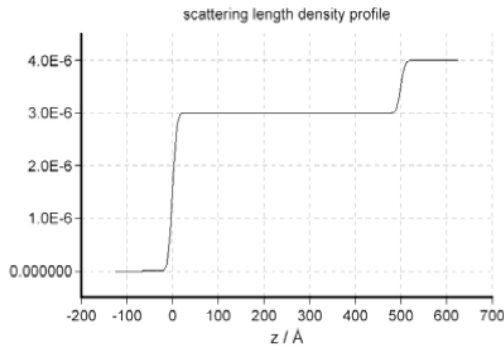


10 nm

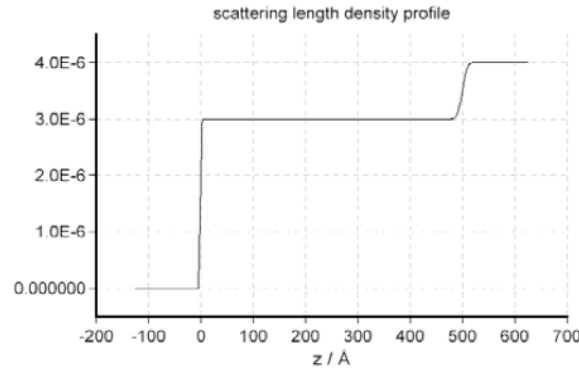


# Rough surface and interface

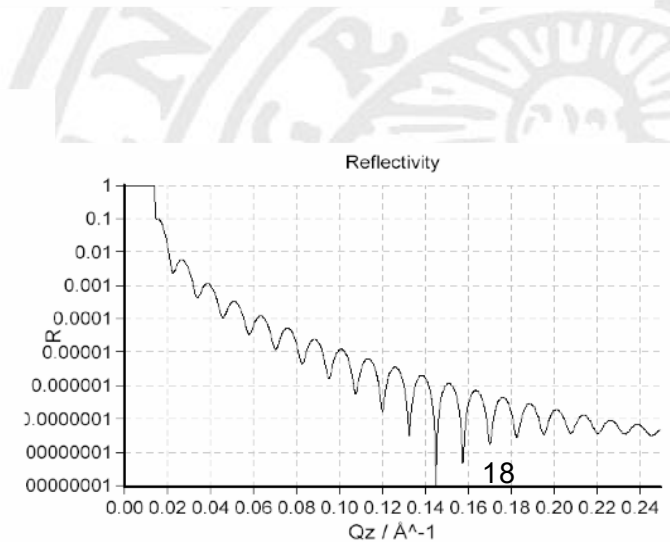
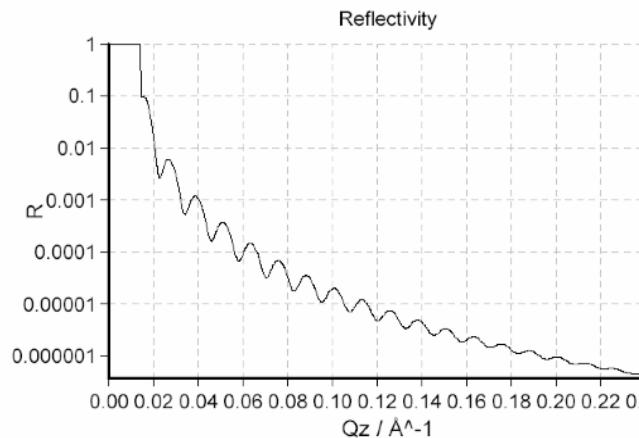
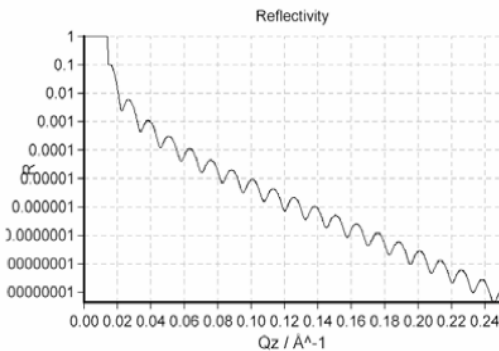
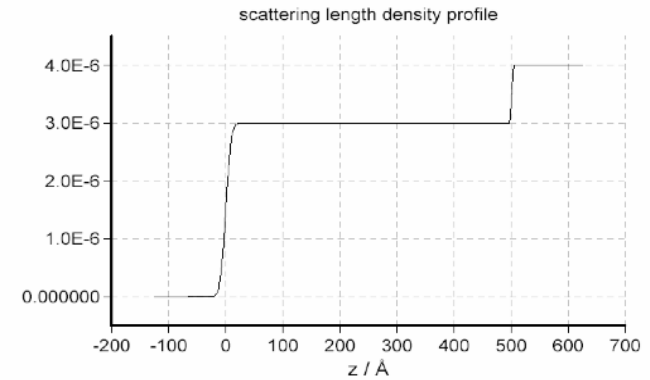
Rough surface,  
rough interface



smooth surface,  
rough interface

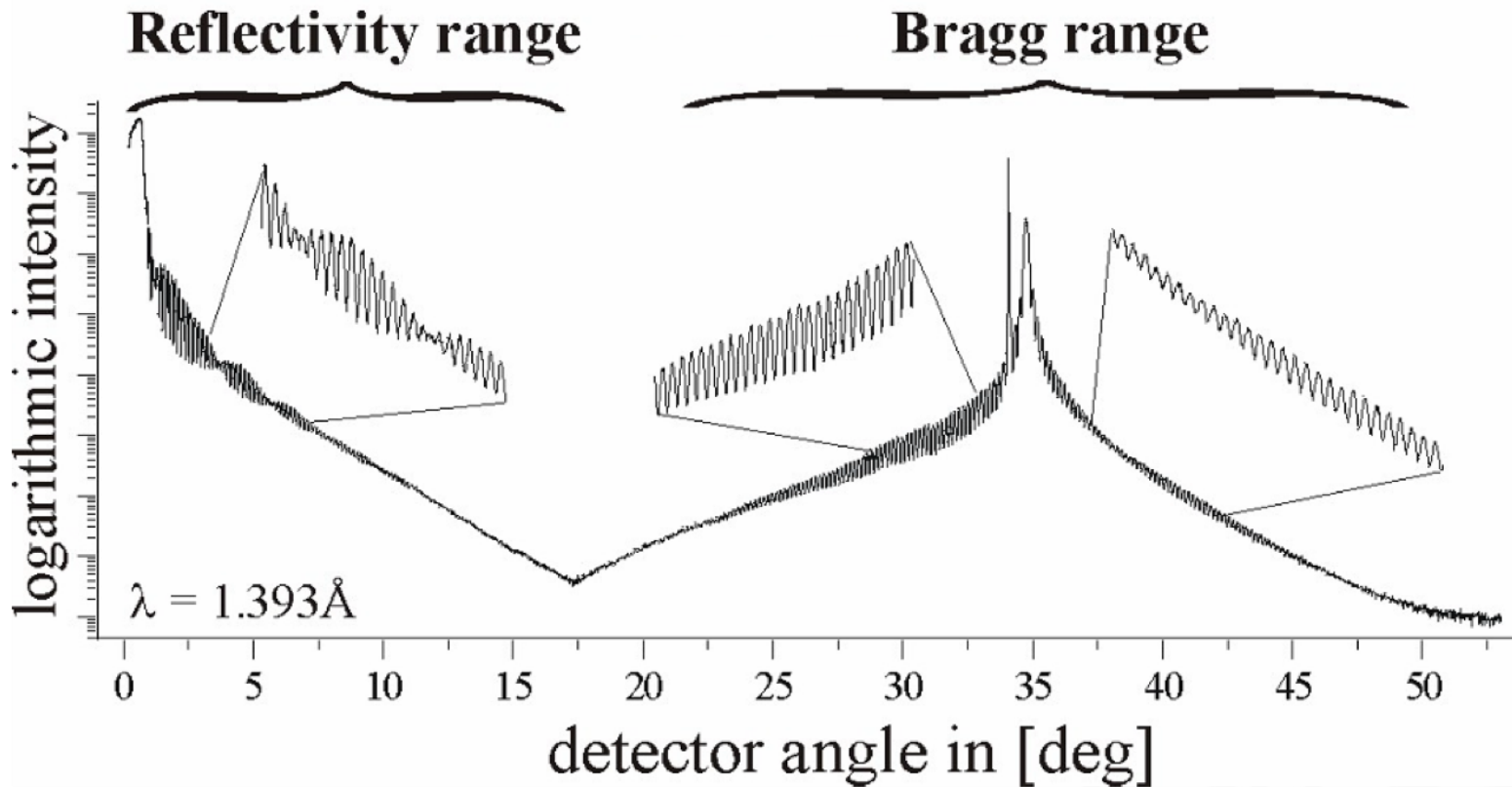


rough surface,  
smooth interface



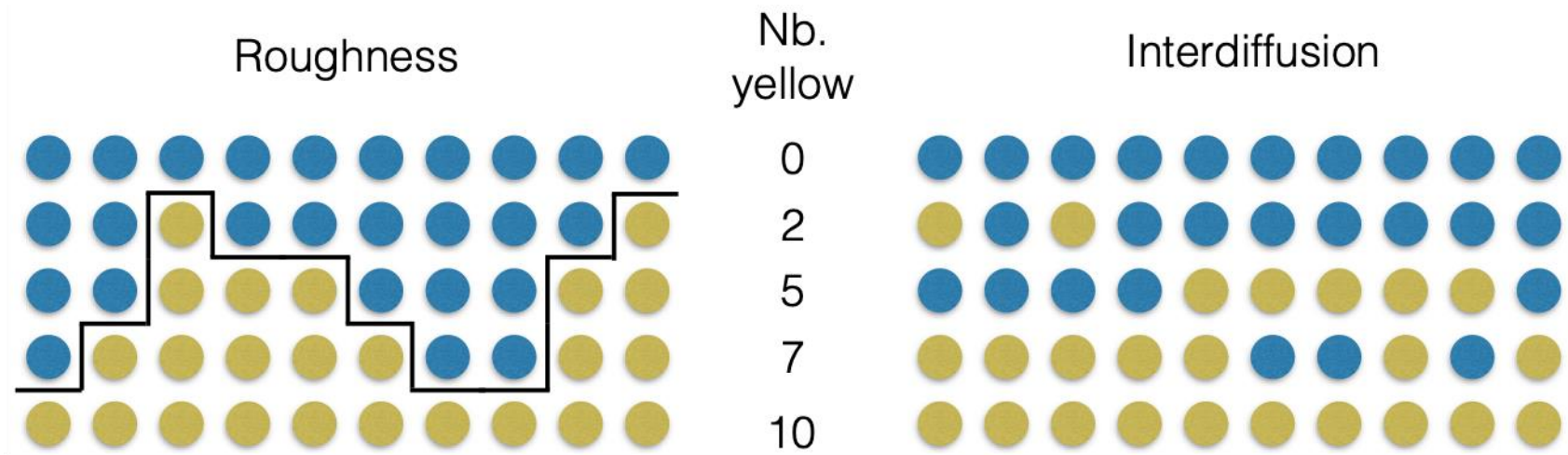


# Reflectivity - Bragg range





# Roughness or interdiffusion



The reflectivity is the same!



# Diffuse scattering

Scattering function in the Born approximation:

$$S(\vec{Q}) = \int \langle \rho(0) \rho(R) \rangle e^{i\vec{Q} \cdot \vec{R}} d^3 R$$

Pair correlation function:

$$\begin{aligned} C(\vec{R}) &= \langle (\rho(0) - \langle \rho(0) \rangle) (\rho(\vec{R}) - \langle \rho(\vec{R}) \rangle) \rangle \\ &= \langle \rho(0) \rho(\vec{R}) \rangle - \langle \rho(0) \rangle \langle \rho(\vec{R}) \rangle \\ &= \langle \rho(0) \rho(\vec{R}) \rangle - \langle \rho(0) \rangle^2 \end{aligned}$$

Inserting:

$$\begin{aligned} S_{tot}(\vec{Q}) &= \underbrace{\langle \rho(0) \rangle^2 \int e^{i\vec{Q} \cdot \vec{R}} d^3 R}_{\text{Specular Reflection}} + \underbrace{\int C(\vec{R}) e^{i\vec{Q} \cdot \vec{R}} d^3 R}_{\text{Diffuse Scattering}} \\ &= S_{spec}(\vec{Q}) + S_{diff}(\vec{Q}) \end{aligned}$$

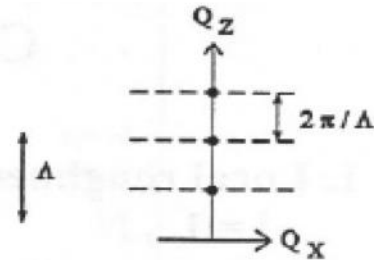
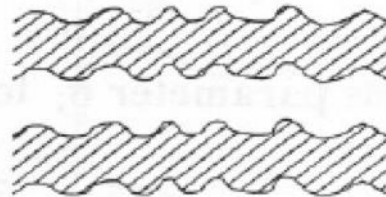


# Diffuse scattering from multilayers

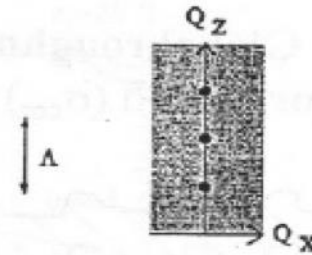
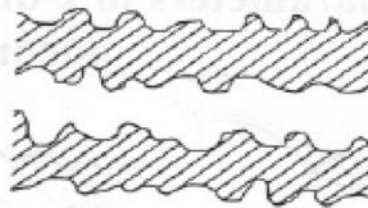
Real space:

Reciprocal space:

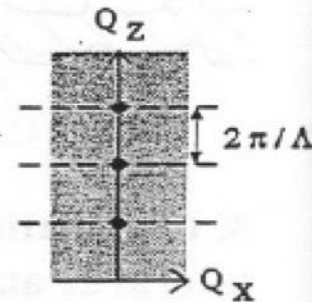
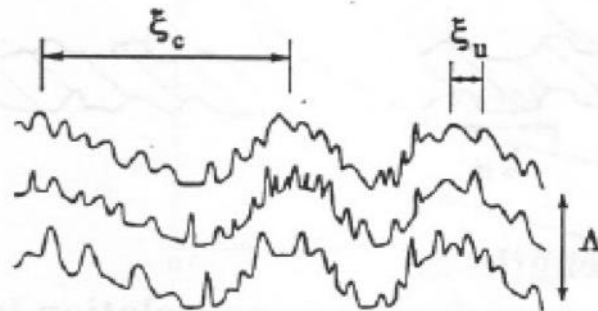
a) correlated



b) uncorrelated

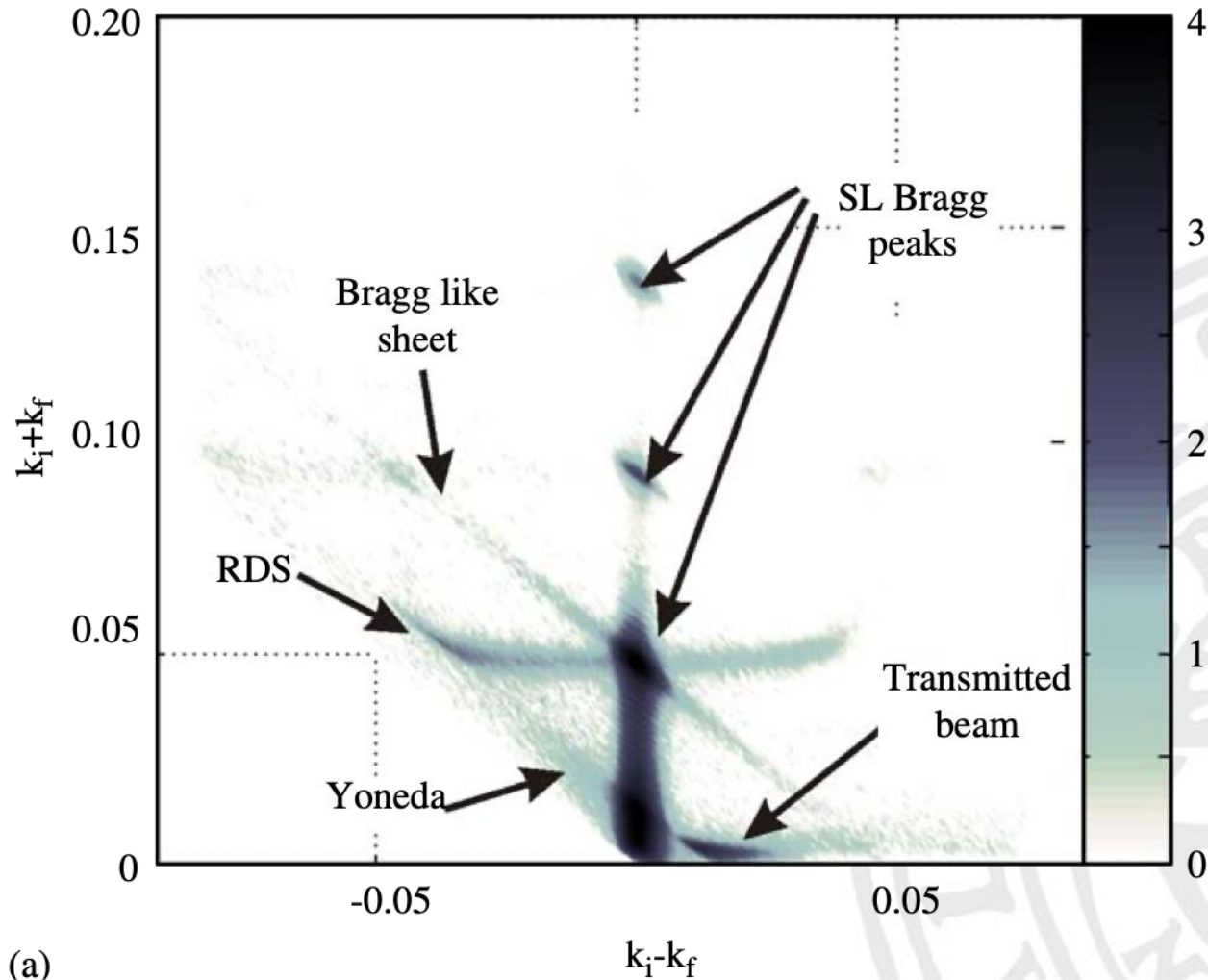


c) mixed





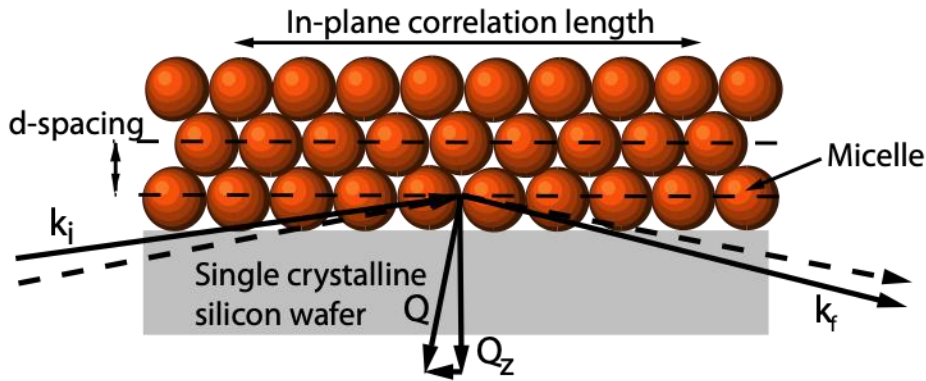
# Resonant diffuse scattering





# Grazing incidence scattering

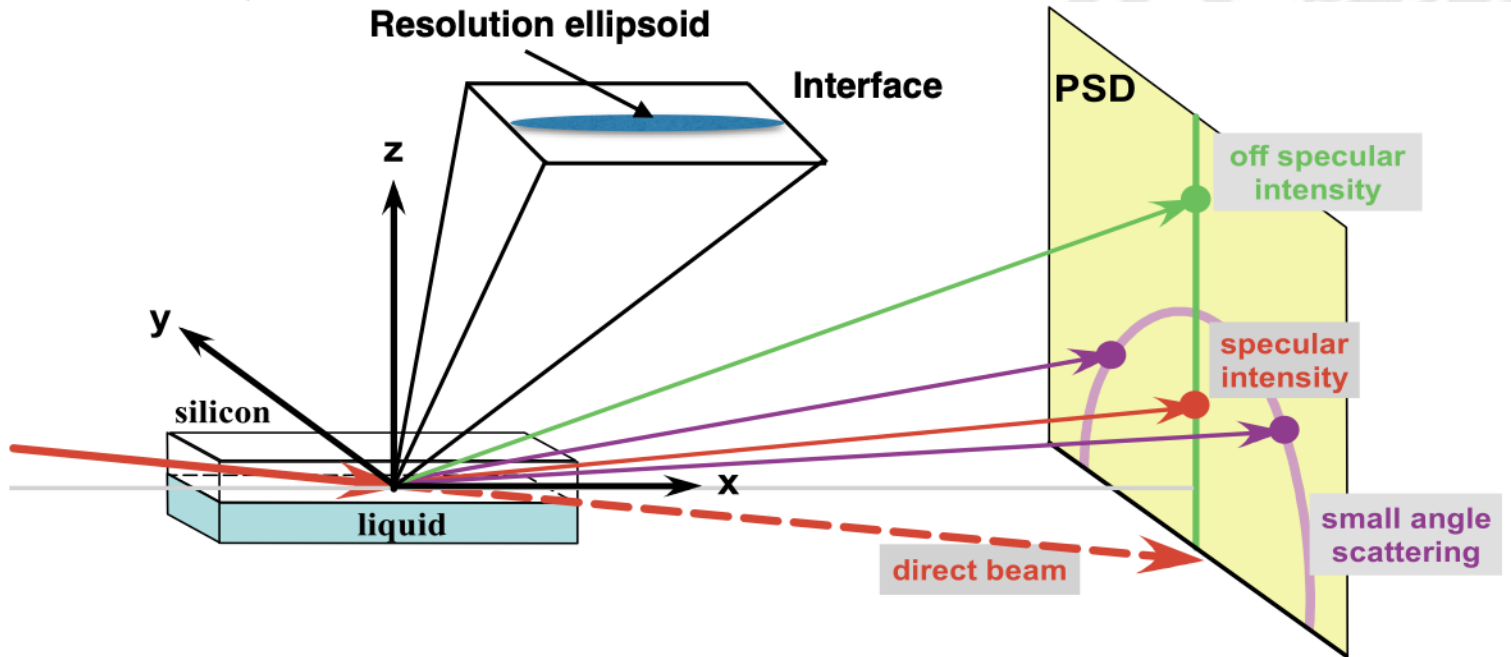
Typetext



$$Q_x = \frac{2\pi}{\lambda} [\cos(\alpha_i) - \cos(\alpha_f)]$$

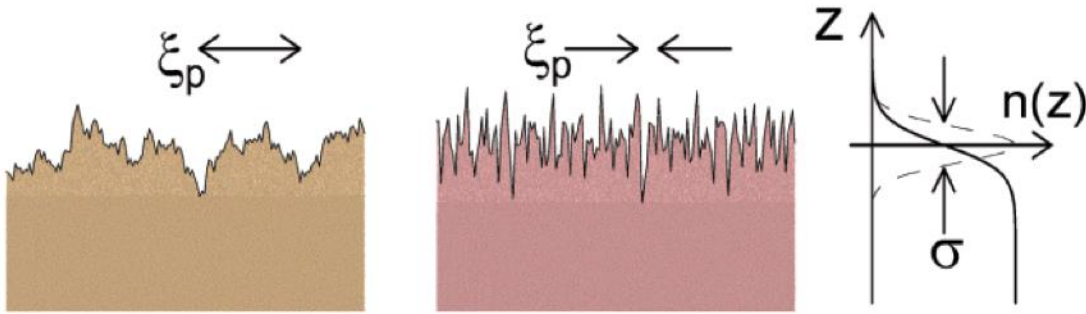
$$Q_y = \frac{2\pi}{\lambda} [\sin(\phi_f) - 0]$$

$$Q_z = \frac{2\pi}{\lambda} [\sin(\alpha_i) + \sin(\alpha_f)]$$





# Coherent and incoherent sum

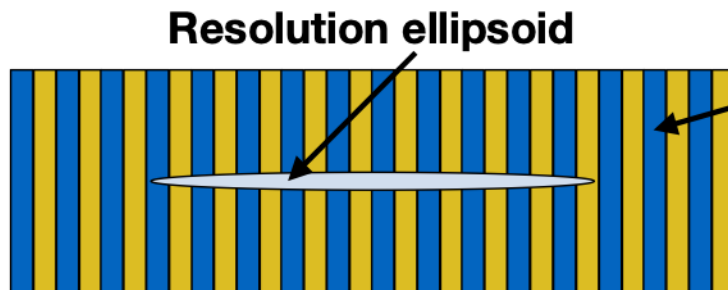


Note that roughness introduces a SLD profile averaged over the sample surface

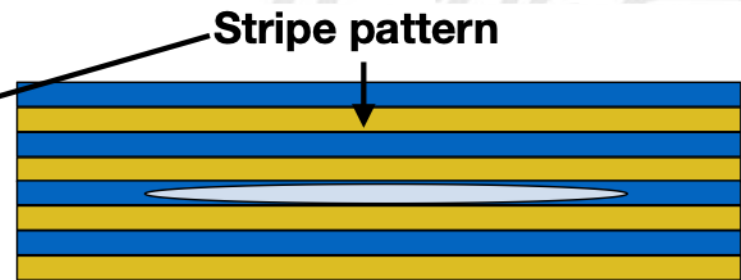
“sparkling sea” model  
-- specular from many facets

each piece of surface scatters independently  
-- Nevot Croce model

$$\longrightarrow R = R_F e^{-2k_{Iz}k_{1z}^t \sigma^2}$$



Coherent sum



Incoherent sum

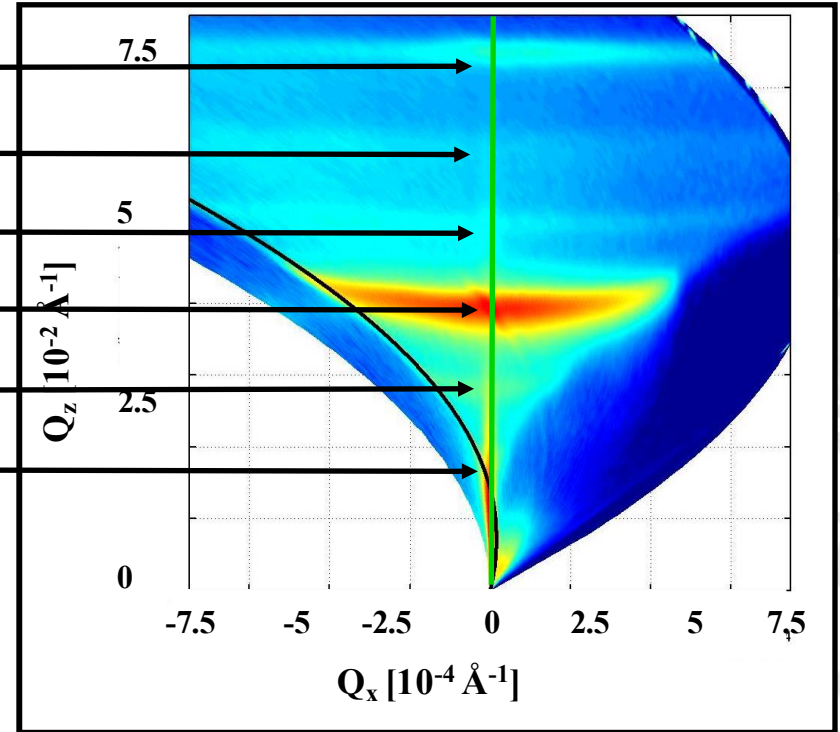
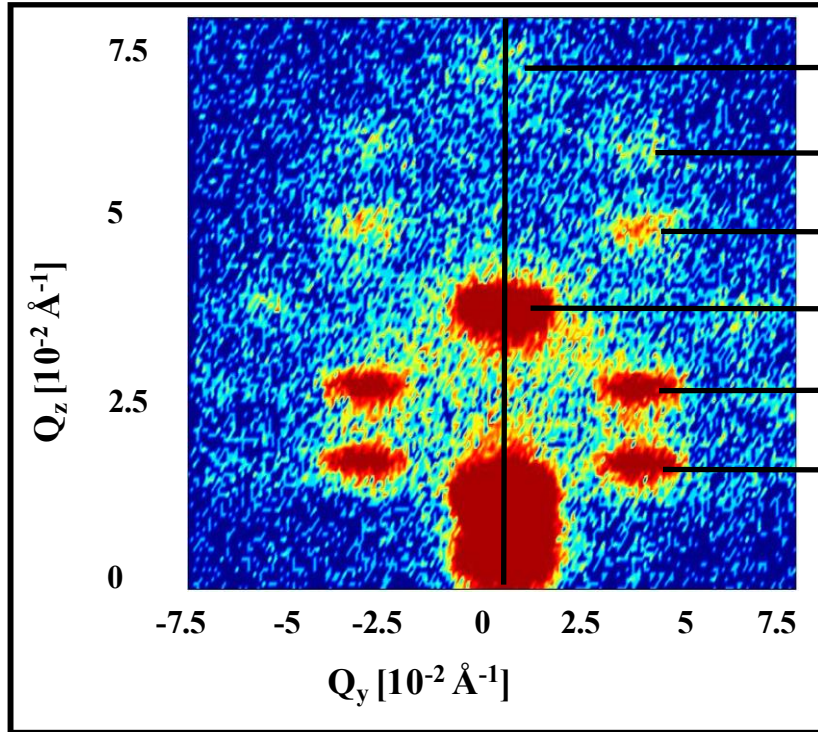
T  
y  
p  
e  
t  
o  
e  
n  
t  
e  
r  
t  
e  
x  
t



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# Length scales

Y  
p  
e  
t  
o  
e  
n  
t  
e  
r  
t  
e  
X  
+



$\Delta Q_x \sim 10^{-5} \text{ \AA}^{-1}$   
 $\Leftrightarrow \sim 65 \text{ \mu m}$

$\Delta Q_y \sim 4 \cdot 10^{-3} \text{ \AA}^{-1}$   
 $\Leftrightarrow \sim 150 \text{ nm}$

$\Delta Q_z \sim 7 \cdot 10^{-4} \text{ \AA}^{-1}$   
 $\Leftrightarrow \sim 850 \text{ nm}$



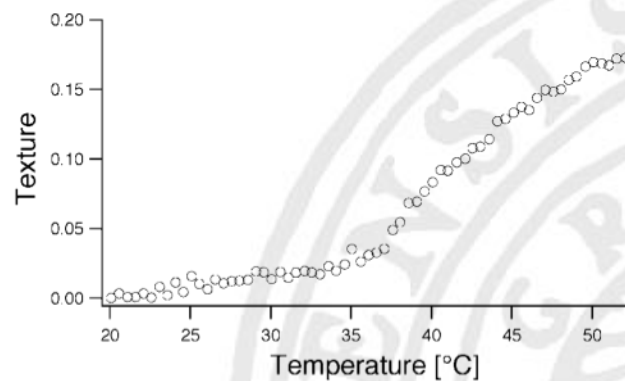
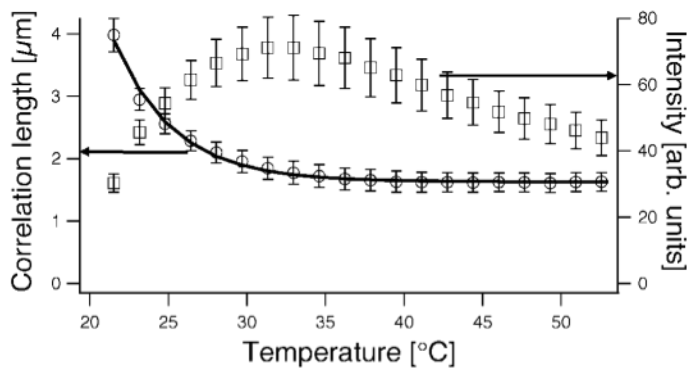
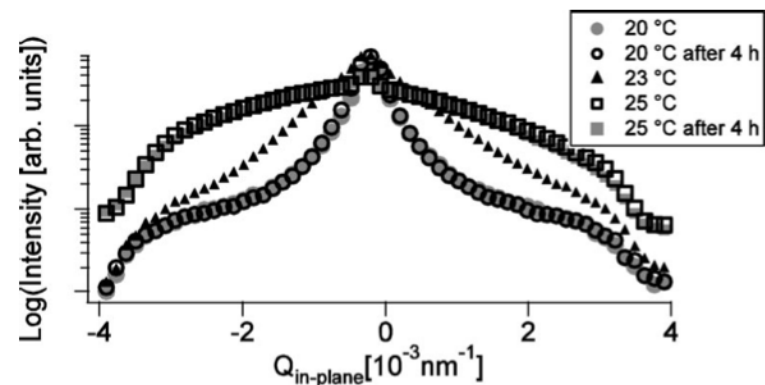
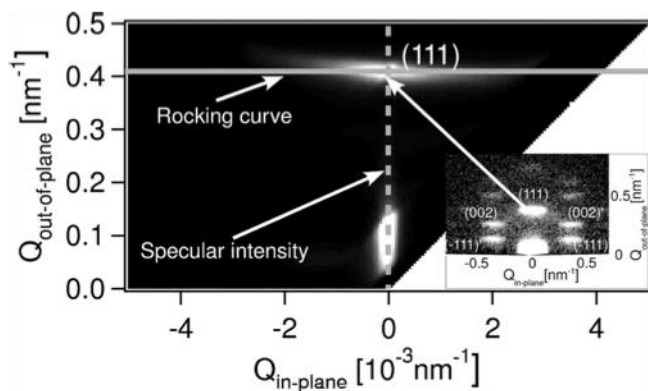
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# Crystallisation

Langmuir 2009, 25, 64–66

## Crystallization of Soft Crystals

Max Wolff,<sup>\*,†</sup> Andreas Magerl,<sup>‡</sup> and Hartmut Zabel<sup>†</sup>

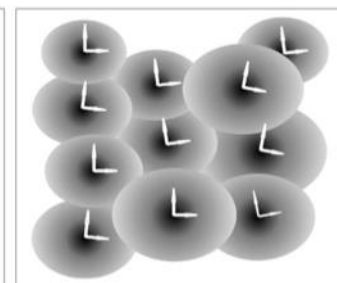
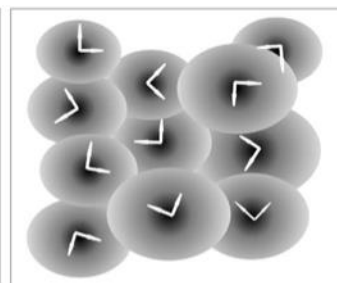
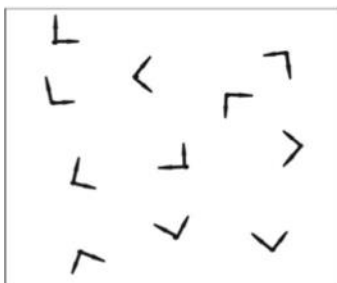


T = 20 °C

T = 21 °C

T = 35 °C

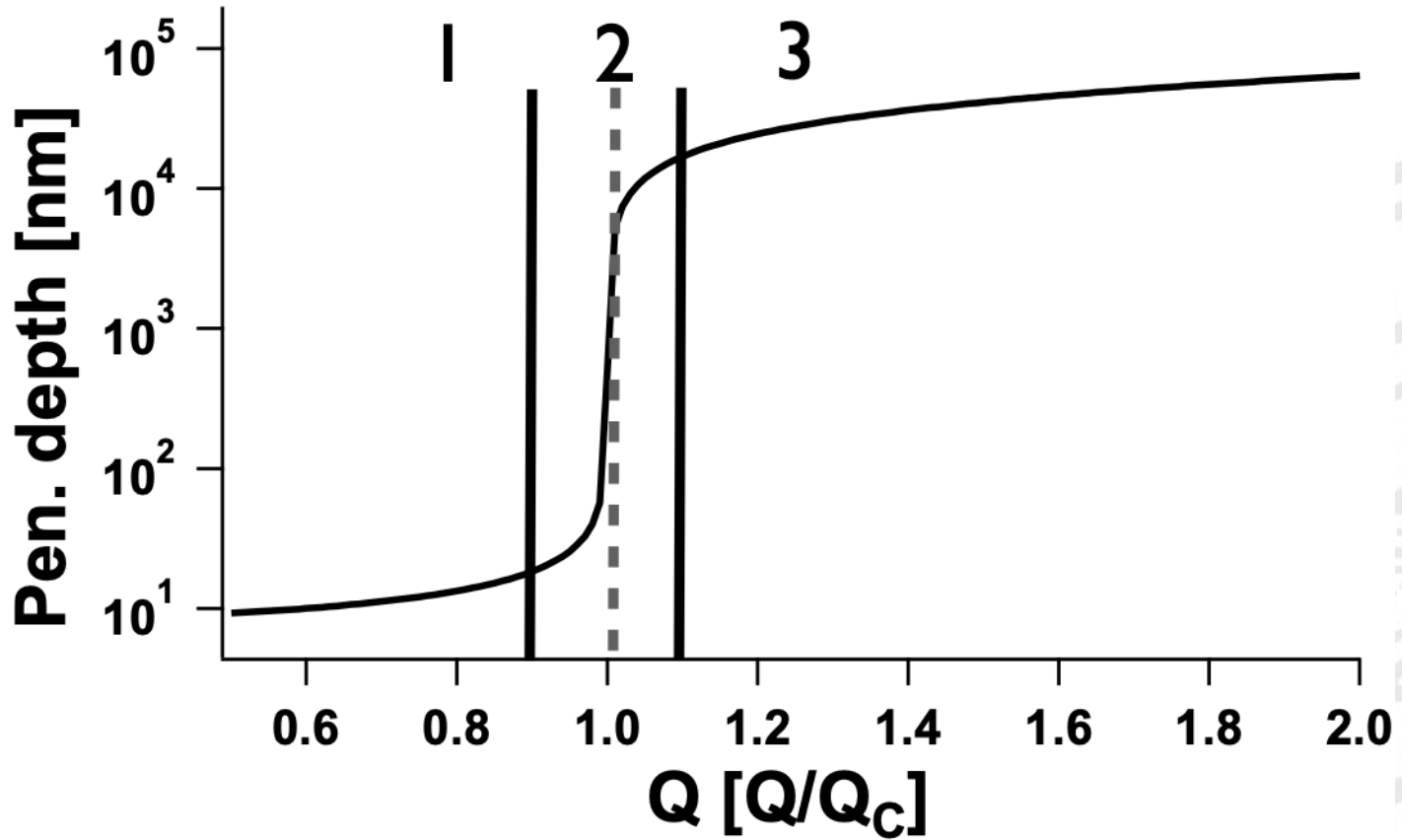
T = 55 °C



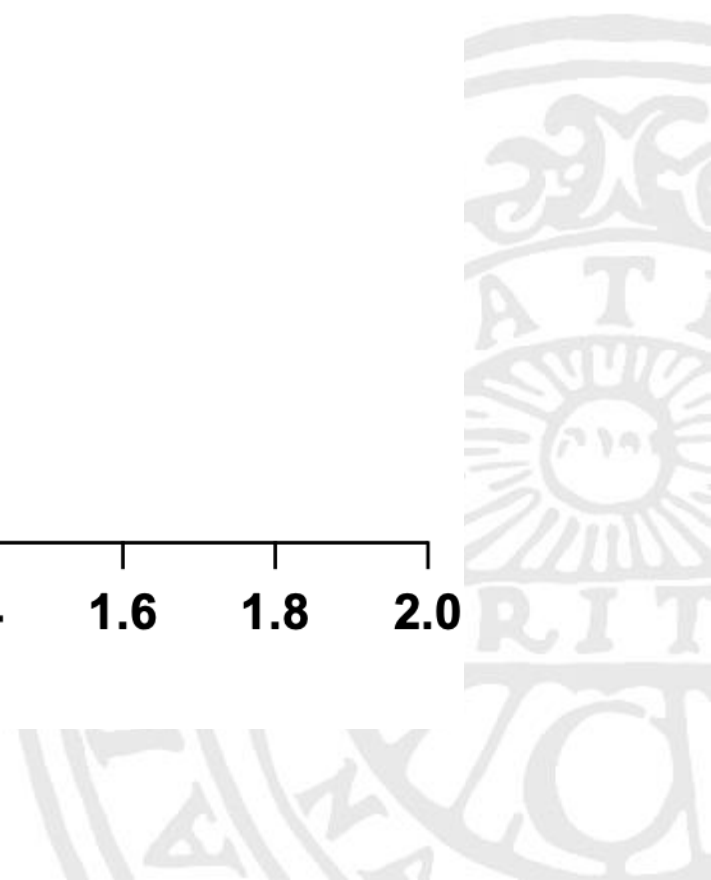
Y  
p  
e  
t  
o  
e  
n  
t  
e  
r  
t  
e  
x  
t



# Penetration depth



T  
y  
p  
e  
r  
t  
e  
r  
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e  
x  
t

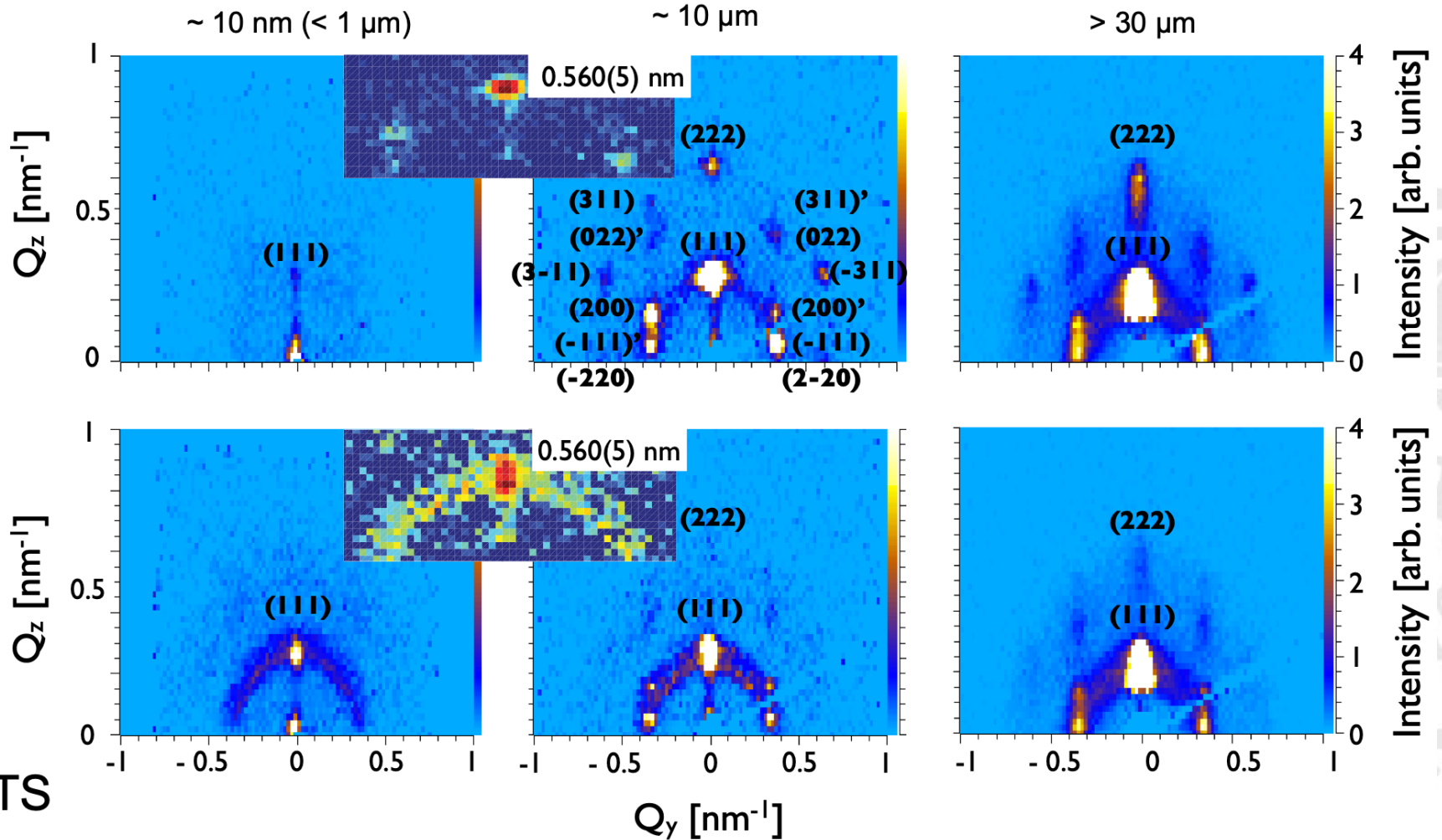




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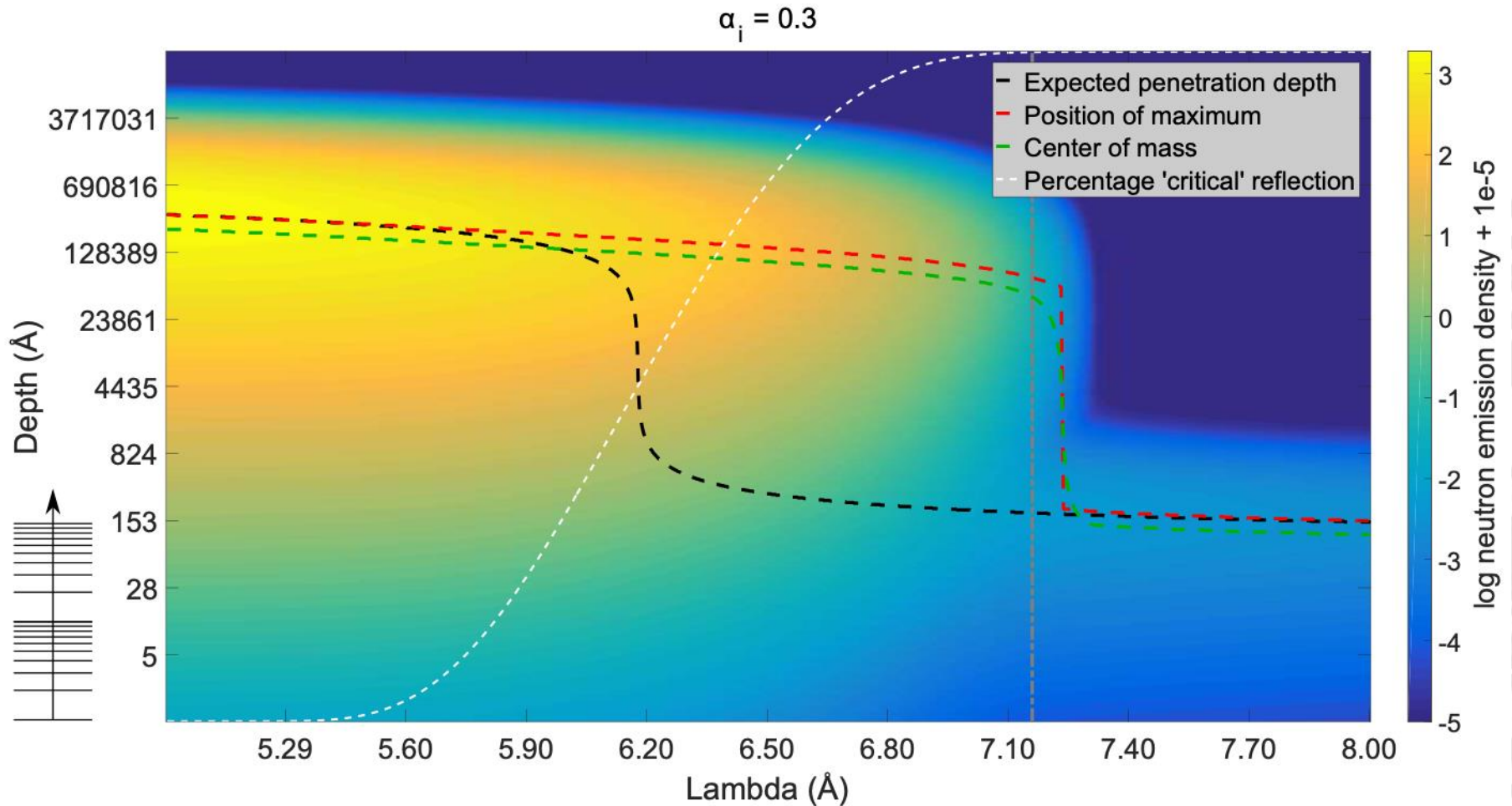
# Depth sensitive information

T  
y  
Piranha





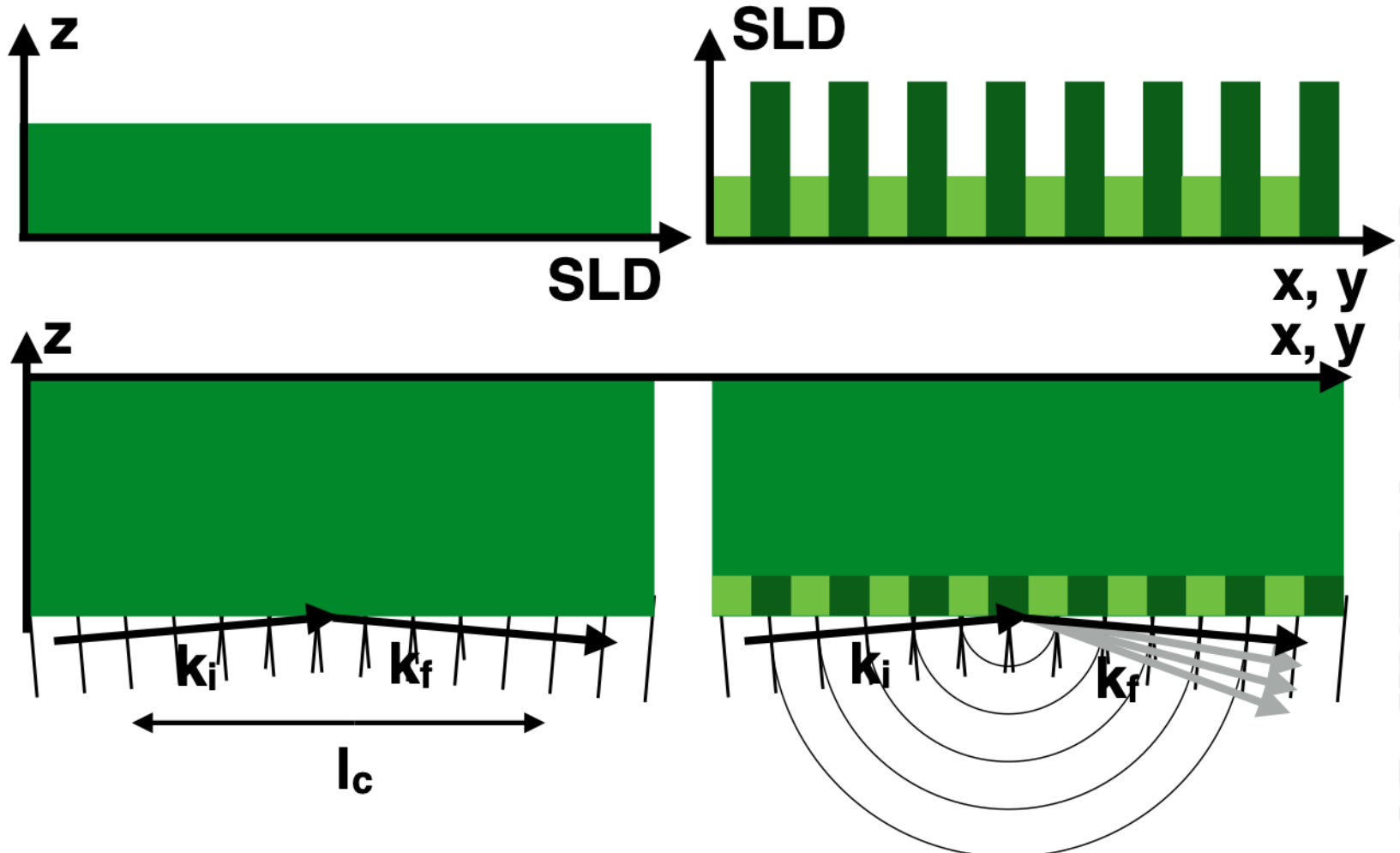
# Main contribution to scattering





# Distorted wave Born approximation

y  
p  
e  
t  
o  
e  
n  
t  
e  
r  
t  
e  
x  
t





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# Further reading

Silva D.S., “Elementary scattering theory: For x-ray and neutron users”, Oxford Scholarship, Print ISBN-13: 9780199228676 DOI:10.1093/acprof:oso/9780199228676.001.0001

S. Köhler, T. Arnold, J. Birch, M. Cardenas, S. Dorri, M. Månsson, T. Nylander, S. Rogers, S. V. Roth, Y. Sassa, M. Wolff, Recent progress in Grazing Incidence Small-Angle Neutron Scattering, *Advances in Colloid and Interface Science* **349**,103757 (2026).

M. Wolff, H. Frielinghaus, M. Cárdenas, J. F. Gonzalez, K. Theis-Bröhl, O. Softwedel, R. von Klitzing, G. A. Pilkington, M. W. Rutland, R. Dahint, P. Gutfreund, Grazing incidence neutron scattering for the study of solid-liquid interfaces, in: *Encyclopedia of Solid-Liquid Interfaces*. Vol. 1, Eds.: K. Wandelt, G. Busetti, Page 305-323, ISBN 978-0-323-85669-0, Elsevier (Amsterdam, Oxford, Cambridge) (2024)., DOI: 10.1016/B978-0-323-85669-0.00014-3

M. Wolff, P. Gutfreund, Neutron reflectivity for the investigation of coatings and functional layers, in: *Handbook of Modern coating technologies, Advanced characterisation methods, Volume 2*, Eds.: Mahmood Aliofkhaezrai, Ali Nasar, Mircea Chipara, Nadhira Laidani, Jeff Th.M. De Hosson, Page 143-175, ISBN 978-0-444-63239-5, Elsevier (Amsterdam, Oxford, Cambridge) (2021)., [doi.org/10.1016/B978-0-444-63239-5.00004-4](https://doi.org/10.1016/B978-0-444-63239-5.00004-4)

M. Wolff , Grazing incidence scattering,, in: *French-Swedish winterschool on neutron scattering: Applications to soft matter*, Eds.: M. Wolff, F. Cousin, *EPJ Web of Conferences* **188**, 04002 (2018).

S. Gayen, M. K. Sanyal, M. Wolff, Neutron Reflectivity to Characterise Nanostructured Films,, in: *Magnetic Characterization Techniques for Nanomaterials*, Eds.: C. Kumar, Page 339-373, ISBN 978-3-662-48604-7, Springer (2017), DOI: 10.1007/978-3-662-52780-1\_10

H. Fritzsche, B. Hjörvarsson, M. Wolff, F. Klose, Z. Tun, Neutron Reflectometry, in: *Nuclear Characterization Techniques for the Investigation of Hydrogen in Metals*, Eds.: H. Fritzsche, D. Furchart, J. Huot, ISBN: 978-3-319-22791-7 (Print) 978-3-319-22792-4 (Online), Springer (2016)



# Summary

Scattering at small momentum transfer can be described by plane waves

The scattering potential becomes continuous

Reflectivity measurements assess the scattering length density profile

Small angle scattering can address single particle form factors

Grazing incidence scattering probes in-plane correlations at surface



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# Super-ADAM instrument

The core of Super-ADAM is the instrument @ Institut Laue-Langevin (Grenoble, France):



Super-ADAM is one of the approx. 30 neutron reflectometers world wide and 1 out of 3 @ ILL, 2 @ ESS

Super-ADAM is unique in terms of resolution and polarisation analysis for science on quantum technology

Demand is twice of what Super-ADAM can accommodate

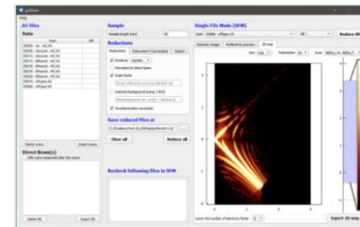
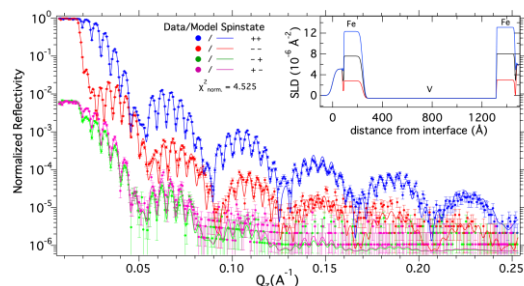
Community on surface science using neutrons is one of the fastest growing

Support for users:

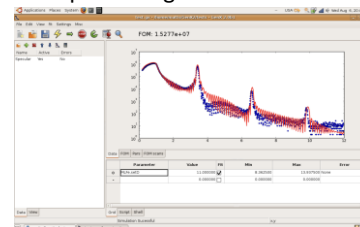
- Beam time
- User training
- Design of the experiment
- Conducting the experiment (24/7 support)
- Data processing
- Fitting
- Answer to the scientific question

Example of high resolution measurement:

100 nm thick layer  
0.2 % spin leakage

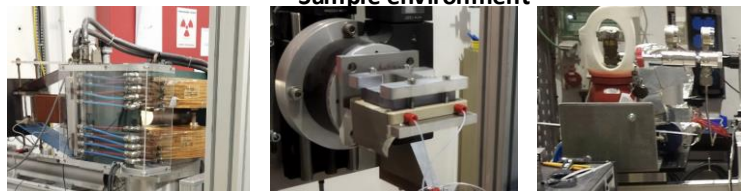


Data processing and visualisation



Fitting and simulations (BoToFit/Sim):  
GenX: <https://aglavic.github.io/genx/>

## Sample environment



Electromagnet: 5 T, 0.02 - 1200 K

Solid-liquid cells

Gas loading

Projects benefiting from Super-ADAM:

**SAGA**  
GISANS beamline for ESS

Optimisation of reflectometry /reference layers (ESS-ISIS project)



Port-GISANS (RAC project)

Neutron optics/detectors  
Characterised @ Super-ADAM

